New gTLD Program Update

April 2013

Christine Willett
ICANN, VP gTLD Operations
Agenda

- Accomplishments since Toronto meeting
- Timeline
- Application Processing
  - Initial Evaluation Status
  - Objections & Dispute Resolution
  - Agreements & Contracting
  - Pre-Delegation Testing
- Operational Readiness
- Rights Protection Mechanisms
- Operational Support Mechanisms
Accomplishments since October 2012

- TAS reopens
- Clarifying Questions (CQs) for Geographic Names issued
- Prioritization Draw
- Technical/Operational, Registry and Financial Panel CQs begin
- New gTLD Investment Policy published
- Revised Registry Agreement, Public Interest Commitments (PIC)
- Communication improvements
- Government funding for Objections, instructions published
- First URS Provider announced
- String Similarity contention sets published & String Similarity IDN Variant Review results published
- URS Procedure and Rules published
- Posting of PICs
- Call for Data Escrow Agent Applications
- Objection Period closes
- PIC DRP made available for public comment
- Applicant Outreach
- Pre-Delegation Testing Pilot
- Initial Evaluation Results published
- TMCH Verification Services open
Application Processing

+ Initial Evaluation
+ Objections & Dispute Resolution
+ Transition to Delegation
Application Statistics

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Applications Received</td>
<td>1930</td>
</tr>
<tr>
<td>Application Withdrawals Processed</td>
<td>39</td>
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<tr>
<td>Active Applications</td>
<td>1891</td>
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<tr>
<td>Application Failed String Similarity</td>
<td>1</td>
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<tr>
<td>Applications Failed Applicant Support</td>
<td>2</td>
</tr>
</tbody>
</table>

+ Initial Evaluation results released weekly starting 22 March
+ As of 5 April – 93 passing of first 108 applications
  - First 108 applications includes prioritized IDNs
  - Results for 15 applications not yet available
  - Possible reasons include: pending change requests, clarifying questions, or follow-up with applicants regarding missing information.
Initial Evaluation Reports

+ Reports for applications recommended for Extended Evaluation will have detailed information on questions that did not receive the maximum scores.

+ IE reports for applicants and public include the same information, except:
  - Detailed information for Questions 30-50 are removed from the public IE report.

+ IE reports for applicants are available through TAS.

+ IE reports for the public are available on the Microsite: https://gtldresult.icann.org/application-result/applicationstatus
## Initial Evaluation Panel Statistics

<table>
<thead>
<tr>
<th>As of 5 April</th>
<th>Pre-CQ Eval</th>
<th>Pending CQ Response</th>
<th>Post CQ Eval</th>
<th>Prelim Panel Results</th>
<th>IE Results Published</th>
</tr>
</thead>
<tbody>
<tr>
<td>Background Screening</td>
<td></td>
<td>12</td>
<td>-</td>
<td>1787</td>
<td>93</td>
</tr>
<tr>
<td>String Similarity</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1930</td>
</tr>
<tr>
<td>DNS Stability</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1799</td>
<td>93</td>
</tr>
<tr>
<td>Geographic Names</td>
<td>2</td>
<td>11</td>
<td>1</td>
<td>1785</td>
<td>93</td>
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<tr>
<td>Financial</td>
<td>679</td>
<td>455</td>
<td>264</td>
<td>401</td>
<td>93</td>
</tr>
<tr>
<td>Technical &amp; Operational</td>
<td>700</td>
<td>434</td>
<td>271</td>
<td>394</td>
<td>93</td>
</tr>
<tr>
<td>Registry Services</td>
<td>406</td>
<td>224</td>
<td>226</td>
<td>943</td>
<td>93</td>
</tr>
</tbody>
</table>
Objections & Dispute Resolution

- 274 Objections submitted / 220 Objections validated to date:
  - 33 String Confusion Objections
  - 69 Legal Rights Objections
  - 23 Limited Public Interest Objections
  - 95 Community Objections

- Objection filings that comply with the Applicant Guidebook will be posted on the Dispute Resolution Service Providers (DRSP) websites.

- Formal notifications will be sent to Applicants and Objectors after the release of the Dispute Announcement on 12-April-2013.

- Applicants will have 30 days to respond after notification is received.
  - If fees and responses are not filed by the Applicant, the Objector will prevail by default.
  - Copies of Applicant responses to ICANN are optional; please send copies to DRfiling@icann.org.
Independent Objector

+ IO filed 24 Objections
  - 11 Limited Public Interest
  - 13 Community

The IO’s objections are posted on his site: http://www.independent-objector-newgtlds.org/english-version/news/
Registry Agreement & Public Interest Commitments

+ Revised Registry Agreement & Public Interest Commitment published 5 February
+ PIC Specs solicited from applicants through 5 March
+ 506 PIC Specs received and posted from Applicants
+ Public comment period closed 17 March
+ Agreement discussions ongoing
+ Updated agreement posted 2 April for public review and discussion during Beijing meetings
Contracting Phase: Registry Agreement Eligibility

+ Applications eligible for Contracting: Passed Initial Evaluation, no pending objections/GAC advice, and not in string contention.

+ Receive formal notification to begin the process. Receive Contracting Information Request, including:
  - Continued Operations Instrument (COI) documentation
  - Contact and signatory information
  - Cross-ownership declaration
  - Indication of acceptance (or not) of base registry agreement

+ Applicants accepting the base registry agreement will be processed rapidly; applicants seeking to negotiate will require more time.
Pre-Delegation Testing Pilot Update

+ 12 Pilot Participants Selected.
  - Over 80 Applicants volunteered over 140 Applications.
  - 1 Participant per volunteered Registry Service Provider.

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>App ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>International Domain Registry Pty. Ltd.</td>
<td>1-1926-49360</td>
</tr>
<tr>
<td>CITIC Group Corporation</td>
<td>1-914-3594</td>
</tr>
<tr>
<td>Qatar Telecom (Qtel)</td>
<td>1-1928-24515</td>
</tr>
<tr>
<td>Deutsche Vermögensberatung Aktiengesellschaft DVAG</td>
<td>1-904-60726</td>
</tr>
<tr>
<td>Guangzhou YU Wei Information Technology Co., Ltd</td>
<td>1-1121-82863</td>
</tr>
<tr>
<td>Rusnames Ltd</td>
<td>1-1957-68557</td>
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<tr>
<td>Afilias Limited</td>
<td>1-868-7047</td>
</tr>
<tr>
<td>China Organizational Name Administration Center (CONAC)</td>
<td>1-922-56316</td>
</tr>
<tr>
<td>dotCareer LLC</td>
<td>1-907-61259</td>
</tr>
<tr>
<td>Neustar, Inc</td>
<td>1-863-45071</td>
</tr>
<tr>
<td>VIP Registry Pte. Ltd.</td>
<td>1-878-22942</td>
</tr>
<tr>
<td>Uniregistry, Corp.</td>
<td>1-855-72194</td>
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</table>

+ Pilot Status
  - Pilot Started 13 March and completed 5 April.
  - 9 applicants participated
  - Team analyzing results to improve process
  - Lessons learned are being captured & will be published.
Pre-Delegation Testing

+ PDT Resources
  - PDT Test Specifications published 20 March.
  - Instructions & Templates for Input Data updated 15 March.
  - PDT System user guide to be made public by 17 April.
  - Materials will be finalized by 17 April based on Pilot lessons learned.
    - Changes expected to primarily be enhancements to the instructions documents.
  - PDT Session planned for Beijing: Focus on preparing applicants for successful PDT.
<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aug 13</td>
<td>SLA Monitoring</td>
<td>Operational August 2013</td>
</tr>
<tr>
<td>Aug 13</td>
<td>EBERO</td>
<td>3 Providers Announced - Operational August 2013</td>
</tr>
<tr>
<td>Jul 13</td>
<td>URS</td>
<td>1st Provider Contract signed - Operational July 2013</td>
</tr>
<tr>
<td>Jul 13</td>
<td>TMCH</td>
<td>Verification System Live – Sunrise Operational July 2013</td>
</tr>
<tr>
<td>Jun 13</td>
<td>Delegation Testing</td>
<td>Enhanced RZM 1 May - Operational 1 June</td>
</tr>
<tr>
<td>May 13</td>
<td>Pre-Delegation Testing</td>
<td>Pilot Complete - Operational 1 May</td>
</tr>
<tr>
<td>Apr 13</td>
<td>Contracting</td>
<td>Pending Agreement Approval</td>
</tr>
<tr>
<td>Mar 13</td>
<td>Initial Evaluations</td>
<td>Operational - IE results published weekly through Aug 2012</td>
</tr>
<tr>
<td>Dec 12</td>
<td>Prioritization</td>
<td>Draw Completed</td>
</tr>
<tr>
<td>Jun 12</td>
<td>Applications</td>
<td>Posting Completed</td>
</tr>
<tr>
<td></td>
<td>SSR</td>
<td>Final SSR Pre-launch Update Apr 8</td>
</tr>
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**NEW gTLD OPERATIONAL READINESS**
Rights Protection Mechanisms

- Trademark Clearinghouse
- Uniform Rapid Suspension (URS)
- Post Delegation Dispute Resolution Process (PDDRP)
Trademark Clearinghouse

- 26 March - TMCH Verification Service Launched
- 6 April – DRAFT Rights Protection Mechanism Requirements Posted
- Upcoming – Agreements to be posted
- July 2013 – Sunrise capability operational
- August 2013 – Claims capability operational

Rights holders can submit trademark data at: http://trademark-clearinghouse.com
Uniform Rapid Suspension (URS)

- National Arbitration Forum (NAF) announced as first URS provider
- April - Supplementary Rules to be published
- April - Additional provider(s) to be appointed
- April to June - System details published (announcement page, interfaces, verification)
- July - System launch and demonstration
- Verification of each registry’s operational readiness for URS
Post Delegation Dispute Resolution Process (PDDRP)

3 PDDRPS:
• Registry Restrictions
• Trademark
• Public Interest Commitments

+ PIC DRP Specification has been published
+ RFPs to be issued for provider selection
+ To be operational for first operational new gTLD
Operational Support Mechanisms

- Data Escrow
- SLA Monitoring
- Centralized Zone Data Access
- Emergency Back-end Registry Operator (EBERO)
SLA Monitoring

+ Registry Monitoring Platform in development with partner Zabbix SIA
+ Monitoring nodes across all ICANN regions
+ August - Release 1
  + Full DNS testing operational
  + WHOIS and EPP tested using standard TCP port test
+ October - Release 2
  + Full EPP testing operational
  + Full WHOIS testing operational
  + Full correlational testing operational
Centralized Data Access

- Request from zone data consumers
- Model developed by community of registry operators and security experts
- A new model for zone file access that can scale with the number of new gTLDs
  - A central point of access
  - Data delivered in standardized, consistent format
- Projected launch date – June 10th
Emergency Back End Registry Operator (EBERO)

+ Providers selected and announcement 2 April
+ Program active in August 2013
+ Next steps:
  - Contracting
  - Process Workshop – finalize details
  - Testing and Simulation
<table>
<thead>
<tr>
<th>SESSION</th>
<th>DESCRIPTION</th>
<th>DAY</th>
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</thead>
<tbody>
<tr>
<td>New gTLD Program Status</td>
<td>Get the latest update on the New gTLD Program. Review what we have accomplished since Toronto, learn what is coming next in the program, and find out what we are doing to prepare for it.</td>
<td>Monday</td>
</tr>
<tr>
<td>New gTLD Security Stability &amp; Resiliency (SSR) Update</td>
<td>Get a status update on how the Internet community is resolving security issues that have been identified as part of the SSR Program. The session will include presentation and discussion of the general DNS and TLD threat landscape. The session will include a presentation of SSR next steps and what role the ICANN community has in managing risk as new TLDs are delegated into the root</td>
<td>Monday</td>
</tr>
<tr>
<td>New gTLD Registry, 2013 RAA, &amp; Registrants Rights &amp; Responsibilities</td>
<td>Get an overview of the contents of the New gTLD Registry and 2013 Registrar Agreements (RAA) including the new Registrants Right and Responsibilities (R3) document. Also learn about the process involved post the signing of the Agreements.</td>
<td>Monday</td>
</tr>
<tr>
<td>IDN Variant TLDs Program</td>
<td>Get a status update on each of the projects under the IDN Variant TLDs Program. The session will include presentation and discussion of the Program's projects with a focus on the IDN root LGR Procedure and the User Experience Study Recommendations. The updates will also incorporate a presentation of Program's next steps and projects.</td>
<td>Wednesday</td>
</tr>
<tr>
<td>New gTLD Pre-Delegation Testing Process Review</td>
<td>Learn how to prepare the required data and documents for PDT, selecting a point of contact, scheduling your PDT appointment and see a demo of the system.</td>
<td>Wednesday</td>
</tr>
<tr>
<td>Trademark Clearinghouse</td>
<td>Learn about the TMCH data verification system and what's coming up with Sunrise and TM Claims.</td>
<td>Thursday</td>
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Thank You