New gTLD Program Update
15 July 2013

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ICANN, VP gTLD Operations
New gTLD Program: History

2007
- GNSO policy: new gTLDs into DNS

2008
- Board approves GNSO policy

2009
- Applicant Guidebook (Version 1)

2010
- AGB (Proposed Final)

2011
- Board authorizes New gTLD Program
- Batch 1 Initial Evaluation Results

2012
- 1,930 Apps
- Prioritization Draw
- Accept Applications

2013
- Batch 1 Applications

2014
- First New gTLD
Agenda

+ Milestones
+ Application Processing
+ Security & Stability
+ Rights Protection Mechanisms
+ Operational Support Mechanisms
+ Next Steps
Registrar Accreditation Agreement

27 June 2013: Approved by ICANN Board

Next steps:

- New RAA application being posted
- Registrar enrollment / early adoption
- Conflicts / local law waiver process
- Whois validation working group: identification of feasible “tools”
- Educational outreach:
  - ICANN U.S. Headquarters, China
  - Web and other locations to be determined
### Registry Agreement

**2 July 2013:** Approved by ICANN Board
New gTLD Program Committee

+ **Changes From 29 April Draft:**
  - ICANN must provide 30 days notice for fee changes
  - Specification 2, clarifications to Data Escrow data format, notifications, and RO indemnification
  - Specification 3, clarifications to RO reporting
  - Specification 7, clarifications to RPM requirements
  - Specification 11 updates to include GAC Advice
  - General clarifications
GAC Advice Update

+ 28 total items of advice/information requests, 15 fully addressed
+ Contracting now possible with all except GAC-identified strings
+ Remaining items to be discussed in Durban:
  – .halal & .islam
  – 14 deferred strings: GAC expected to provide definitive advice in Durban
  – IGO Protections: temporary protections in place
  – Category 1, items 1-8 & Category 2, restricted access
  – Category 2, exclusive access
Application Processing
Application Statistics

Total Announced June 2012: 1,930
Withdrawn: 97
Active: 1,833
IE Results Posted Through Priority Number: 1,200
Contracting Information Request Initiated: 31
Initial Evaluation
Current Status
(12 July 2013)

Eligible for Extended Evaluation: 13
Withdrawn: 49
On Hold: 43
Not Approved: 3
Passed: 1,092
Applications: 1,200
Initial Evaluation Status

+ IE results released weekly for batches of 100 priorities

+ Some applications may be on hold due to:
  - Pending change request
  - Outreach
  - Pending Clarifying Questions (CQs)

+ IE to be completed by 30 August
  - Applications with pending change requests or CQs may be delayed
Objections & Dispute Resolution

Admissible Objection Filings
Total = 263

Community
The International Center of Expertise of the International Chamber of Commerce (ICC)
- 104

String Confusion
The International Centre for Dispute Resolution (ICDR)
- 67

Limited Public Interest
The International Center of Expertise of the International Chamber of Commerce (ICC)
- 23

Legal Rights
World Intellectual Property Organization (WIPO)
- 69
Objections & Dispute Resolution

Objection & Dispute Resolution Progress

<table>
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<tr>
<th>Category</th>
<th>Applicant Prevailed</th>
<th>Objector Prevailed</th>
<th>Terminated</th>
<th>In Progress</th>
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Objections & Dispute Resolution

+ Items in discussion with Dispute Resolution Service Providers (DRSPs):
  + Estimated Advance Fee Inquiries
  + Applications subject to New gTLD Program Committee (NGPC) resolution regarding Beijing GAC Communiqué
Contracting Process

+ 3 July 2013 – Contracting Information Request (CIR) Initiated
+ Application Priority # 1-50 received notifications
  – 31 Applicants received a CIR
+ Attend the Contracting Session
  – Monday, 15 July at 16:30 – 17:30
+ Learn More
  – Contracting page of Microsite (with CIR Guidance):
Pre-Delegation Testing

+ PDT Operationally Ready
+ PDT specs updated for Production 12 July 2013
+ Applicants to be invited to begin Pre Delegation Testing once Contract is executed
+ PDT Beta Testing update
  – 45 Beta Tests Conducted or In Progress
  – 24 Registry Service Providers Participated
+ Attend the Pre-Delegation Testing Session
  – Monday, 15 July at 17:45 – 18:45
+ Learn More
  http://newgtlds.icann.org/en/applicants/pdt
Rights Protection Mechanisms
Trademark Clearinghouse

- Verification Service live: 26 Mar
- Sandbox & Test SMDs available: 15 Jul - ongoing
- Production SMDs issued: 31 Jul
- Sunrise Integration Testing: 15 Aug - ongoing
- Sunrise Service live: 23 Aug
- Claims Integration Testing: 4 Sep - ongoing
- Claims Service live: 26 Sep
Trademark Clearinghouse

Related Work

+ Sunrise Portal
+ Inclusion of previously abused labels (+ 50)
+ RPM requirements

+ Attend TMCH session
  – Wednesday, 17 July 2013, 11:00 – 13:00
  – http://durban47.icann.org/node/39747
Uniform Rapid Suspension System

+ Created by the community (IRT, STI-RT) as a complement to UDRP
  - To resolve clear-cut cases of trademark infringement
  - Remedy: suspension of the domain name, meaning that lookups will resolve to a URS information site
  - Fast and inexpensive (< 500 USD)
  - Compulsory for all new gTLDs (only!)

+ Two providers appointed: NAF and ADNDRC

+ Attend the Demo Session
  - Wednesday, 17 July 2013, 13:15 – 14:15
Post-Delegation Dispute Resolution Processes

+ Registry-Restrictions (RRDRP)
  - RFP process concluded
  - Next step: enter into MOU with Provider(s) by 31 July 2013
  - Jointly develop procedures and rules with provider(s)

+ Trademark Post-Delegation (TM-PDDRP)
  - RFP process concluded
  - Next step: enter into MOU with Provider(s) by 31 July 2013
  - Jointly develop procedures and rules with provider(s)

+ Public Interest Commitments (PICDRP)
  - Public Comment process concluded
  - 30 June 2013: Revised PICDRP sent to RA-Negotiating Team
  - 31 July 2013: Post final PICDRP (goal)
  - Next step: Issue RFP for PICDRP providers
Operational Support Mechanisms
Service Level Agreement Monitoring

+ Key Dates
  - September 2013: Full DNS testing operational, WHOIS and EPP tested using standard TCP port test
  - November 2013: Full EPP, WHOIS, and correlational testing operational

+ Ensure registries are providing the required baseline level of service in three primary areas: DNS, RDDS and EPP

+ Platform in development by contractor Zabbix, SIA

+ Monitoring nodes across all ICANN regions
  - 27 of 36 nodes have been deployed
Emergency Back-End Registry Operator

On schedule for September launch

+ Discussing Master Agreement with EBEROEs
+ Finalizing Common Transition Process (CTP) and internal readiness procedures
+ Each EBEROEs provider to go through readiness testing and simulation before the end of 2013
+ ICANN will be Centralized Zone File repository and have dedicated escrow for EBERO cases
+ Detailed program structure and process update will be shared with community in Buenos Aires
Data Escrow

+ Key Milestones
  - 07 March 2013: Call for Data Escrow Agents
  - 31 May 2013: First two ICANN-approved Data Escrow Agents announced
  - 28 June 2013: New gTLD RyDE escrow agreement templates published

+ Data escrow requires each new gTLD registry to save data with an ICANN accredited data escrow agent

+ Learn more
Centralized Zone Data Access Program

+ New gTLD requirement to provide zone data
+ A new model for zone file access that can scale with the number of new gTLDs
  - A central point of access
  - Data delivered in standardized, consistent format
+ Resource to help prepare:
  - Wednesday, 17 July 2013, 11am: Live Demo
  - czdap.icann.org feedback welcome
Extended Evaluation

+ Election to begin in July
  – Eligible applicant may elect EE within 15 days from date of IE results publication

+ Evaluation to start in August
  – Overall Extended Evaluation = 12 weeks

+ Results anticipated by December ‘13/January ‘14
  – Published within 3 weeks of completion of evaluation
Extended Evaluation Process

+ 3-step EE Process

1. EE election

2. Clarifying Questions: Applicants receive and respond; Panels evaluate responses

3. Panels deliver EE recommendations and ICANN posts results

+ More Interactive

- Q&A with ICANN before EE election regarding remaining application deficiencies

- Open dialogue with ICANN during Clarifying Question
String Contention Resolution

218 Active Contention Sets

- Sets With Community
  - 27
- Sets Without Community
  - 191

Contention resolved through two mechanisms

- **Community Priority Evaluation**: For Community Designated Applications
- **Auction**: Mechanism of Last Resort
+ 5 Aug: CPE procedures posted
+ 30 Aug: Updated procedures, if changes
+ 3 Sep: Formal CPE Election/Deposit begins
+ 23 Sep: CPE Batch 1 Begins
Next Steps

Auction Provider Readiness
- System Configuration & User Documentation

3 September - Publish User Documentation

30 September - Training & Mock Auctions

Mid-October - Conduct First Set of Auctions
Earliest Path to First New gTLD (Hypothetical)

- 2 Jul: Registry Agreement Approved
- 3-15 Jul: Contracting
- 16 Jul – 16 Aug: Pre-Delegation Testing
- 19-21 Aug: Transition to the IANA Team
- 22 Aug – 5 Sep: First TLD Delegated
- 5 Sep: First TLD Delegated
- 6 Sep – 5 Oct: Sunrise Notice
- 6 Oct – 5 Nov: Sunrise Period
New gTLD Program Timeline

- Initial Evaluation Results
- Extended Evaluation
- String Contention Resolution
- Board Addresses 15 Items of GAC Advice
  - Board Continues to Address GAC Advice (TBD)
  - Registrar Accreditation Agreement Approved
  - Registry Agreement Approved
- Contracting
  - Pre-Delegation Beta Testing
  - Pre-Delegation Testing
- Rights Protections Mechanisms
  - Operational Support Mechanisms
Thank You!

Attend related New gTLD sessions:

+ Contracting
  Mon, 15 July, 16:30 – 17:30, Hall 1A

+ Pre-Delegation Testing
  Mon, 15 July, 17:45 - 18:45, Hall 1A

+ Trademark Clearinghouse
  Wed, 17 July, 11:00 – 13:00, Hall 1A

+ Uniform Rapid Suspension System
  Wed, 17 July, 13:15 – 14:15, Hall 1A