



# IANA Stewardship Transition Process

09 March 2016

# Implementation Planning Update

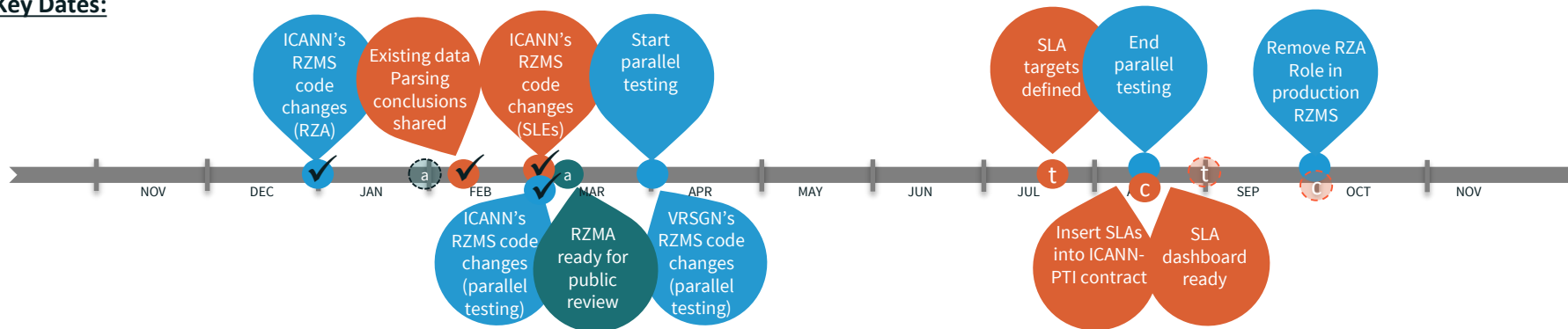
# RZMS, RZMA & Names SLEs

Status As Of Date:

03/08/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/15 08/15/16	<span style="color: green;">●</span>	<div style="width: 40%;"><div style="background-color: #002060; height: 10px;"></div></div> 40%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/15 08/15/16	<span style="color: green;">●</span>	<div style="width: 15%;"><div style="background-color: #002060; height: 10px;"></div></div> 15%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 02/29/16	<span style="color: red;">■</span>	<div style="width: 90%;"><div style="background-color: #002060; height: 10px;"></div></div> 90%

## Key Dates:



## Project Updates:

- ✓ Met with DT-A on 07 March to agree on future work phases and approach
- ✓ Met with Verisign to finalize the remaining issues
- ✓ Plan completion date for RZMS and Names SLEs changed to 15 August due to NTIA's requirement

## Upcoming Activities:

- Finalize draft RZMA
- Begin tool development to aggregate and convert data

## Open Items:

- Pending Verisign's code changes to start parallel testing





DRAFT for Discussion

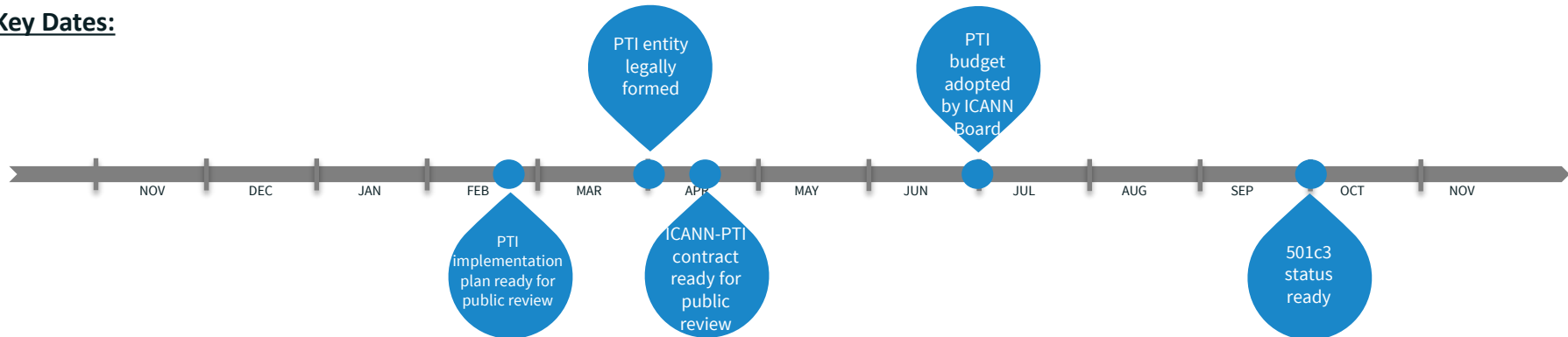
- On-track
- Not started
- ▲ Behind schedule, but recovery still possible
- Target will be missed

\* All dates are estimates | 3



Project	Description	Plan Completion Date	Status	% Complete
PTI <i>(Post-Transition IANA)</i>	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16 08/15/16		 10%

**Key Dates:**



**Updates:**

- ✓ Working with CWG on engagement process to implement PTI
- ✓ Plan completion date for PTI changed to 15 August due to NTIA's requirement

**Upcoming activities:**

- Engagement with CWG on PTI implementation

**Open Items:**

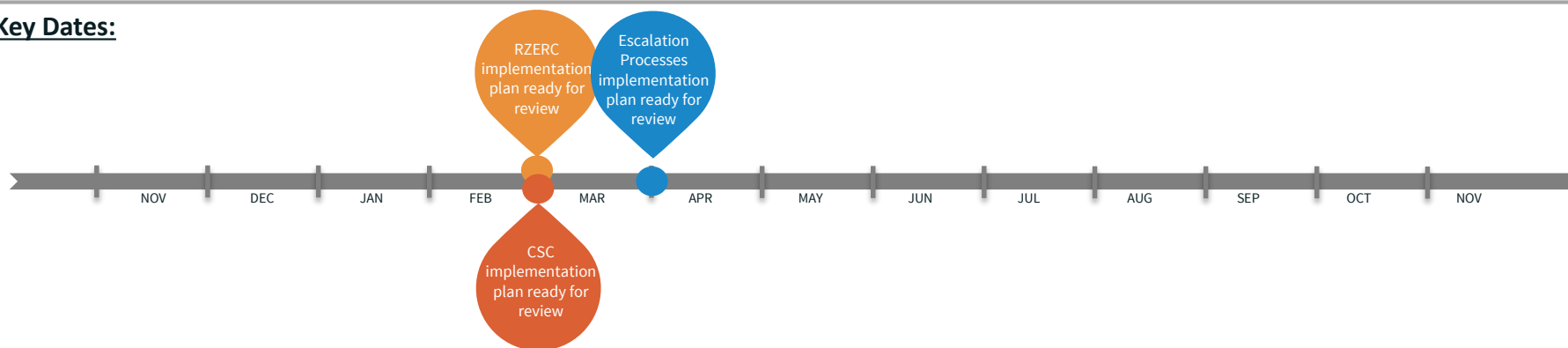
# IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

03/08/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.		■	<input type="text"/> 0%
RZERC ( <i>Root Zone Evolution Review Committee</i> )	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 08/15/16	●	<input type="text"/> 10%
CSC ( <i>Customer Service Standing Committee</i> )	Formation of committee to monitor PTI performance.	09/30/16 08/15/16	●	<input type="text"/> 10%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16	●	<input type="text"/> 10%

## Key Dates:



## Project Update:

- ✓ Working with CWG on engagement process to implement RZERC, CSC, and Escalation Processes
- ✓ Plan completion date for RZERC, CSC, and Escalation Processes changed to 15 August due to NTIA's requirement

## Upcoming Activities:

- Engagement with CWG on PTI implementation









## Open Items:

- Waiting on implementation requirements for IANA IPR

# Enhancing ICANN's Accountability

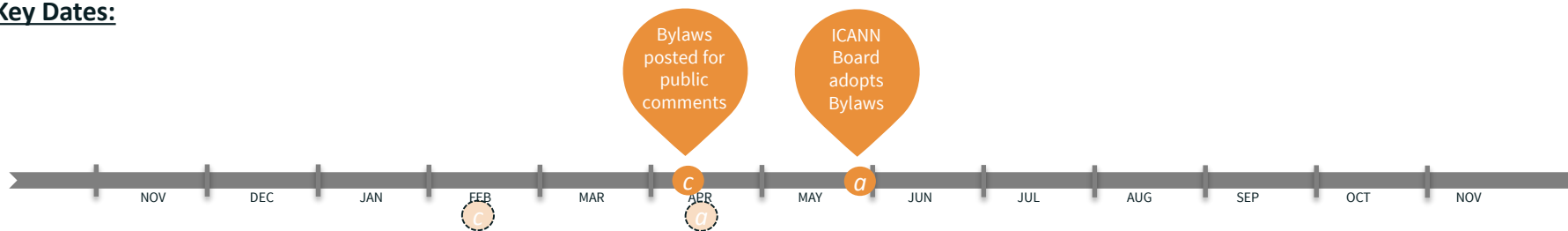
Status As Of Date:

03/08/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	<del>06/09/16</del> 05/27/16*		 10%
IRP Enhancements	Implement enhancements to Independent Review Process.	<del>09/30/16</del> 08/15/16		 0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	<del>09/30/16</del> 08/15/16		 0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	<del>09/30/16</del> 08/15/16		 0%

\* Assuming a 90-days NTIA inter-agency review period

## Key Dates:



- Key dates are based on assumption of proposals being delivered at ICANN55.

## Project Update:

- ✓ The representatives from the CWG, CCWG, NTIA, Board, and staff met 08 March to discuss Bylaws drafting process and timeline
- ✓ Plan completion date for all projects changed to 15 August due to NTIA's requirement

## Upcoming Activities:

## Open Items:

- Final language of CCWG proposal



DRAFT for Discussion

-  On-track
-  Not started
-  Behind schedule, but recovery still possible
-  Target will be missed

\* All dates are estimates | 6



# Implementation of CWG-Stewardship Proposal: What does the ccNSO need to do?

# Post-Transition New Entities

Entity	Description	Occurrence	Classification
Customer Standing Committee (CSC)	To monitor the performance of the PTI	On-Going	Standing Committee
Root Zone Evolution Review Committee (RZERC)	To advise the ICANN Board of the architectural and operational changes to the root zone environment	As needed	Standing Committee
IANA Function Review Team (IFRT)	To review PTI's SOW and PTI's performance against ICANN-PTI contract and SOW	Periodic (Within 2 years from the transition, then no more than every 5 years thereafter)	Cross Community Working Group
Special IANA Function Review Team (Special IFRT)	To review the issues identified by CSC (only ccNSO and GNSO can initiate Special IFR)	As needed	Cross Community Working Group
Separation Cross-Community Working Group (SCWG)	To review the issues raised by the Special IFR and make recommendation	As needed	Cross Community Working Group
[CSC Charter Review]	To review CSC Charter	As needed (1 year after the first CSC meeting, then as requested thereafter)	Cross Community Working Group
[CSC Effectiveness Review]	To review the effectiveness of CSC	Periodic (2 years after the first CSC meeting, then every 3 years thereafter)	Cross Community Working Group
[CSC Service Level Target Review]	To review the requests of review or change to the service level targets	As needed	Cross Community Working Group



# Required Work for Transition

- Appointment of CSC members
- Approval of the whole composition of CSC
- Appointment of RZERC member

# Customer Standing Committee (CSC)

**CSC**

**Members:** ccTLD ROs | gTLD ROs | TLD Rep (non g/ccTLD) | PTI

**Liaisons:** GAC | NRL/ASO | RSSAC | SSAC | GNSO (non-registry) | ALAC

**Activity:**

- Ongoing membership management

**Touch point with ccNSO**

- Reappointment due to recall or expiration of term

**Activity:**

- Monitor PTI performance against agreed service level targets

**Touch point with ccNSO:**

- Review CSC escalated issues and decide whether to take further action with GNSO

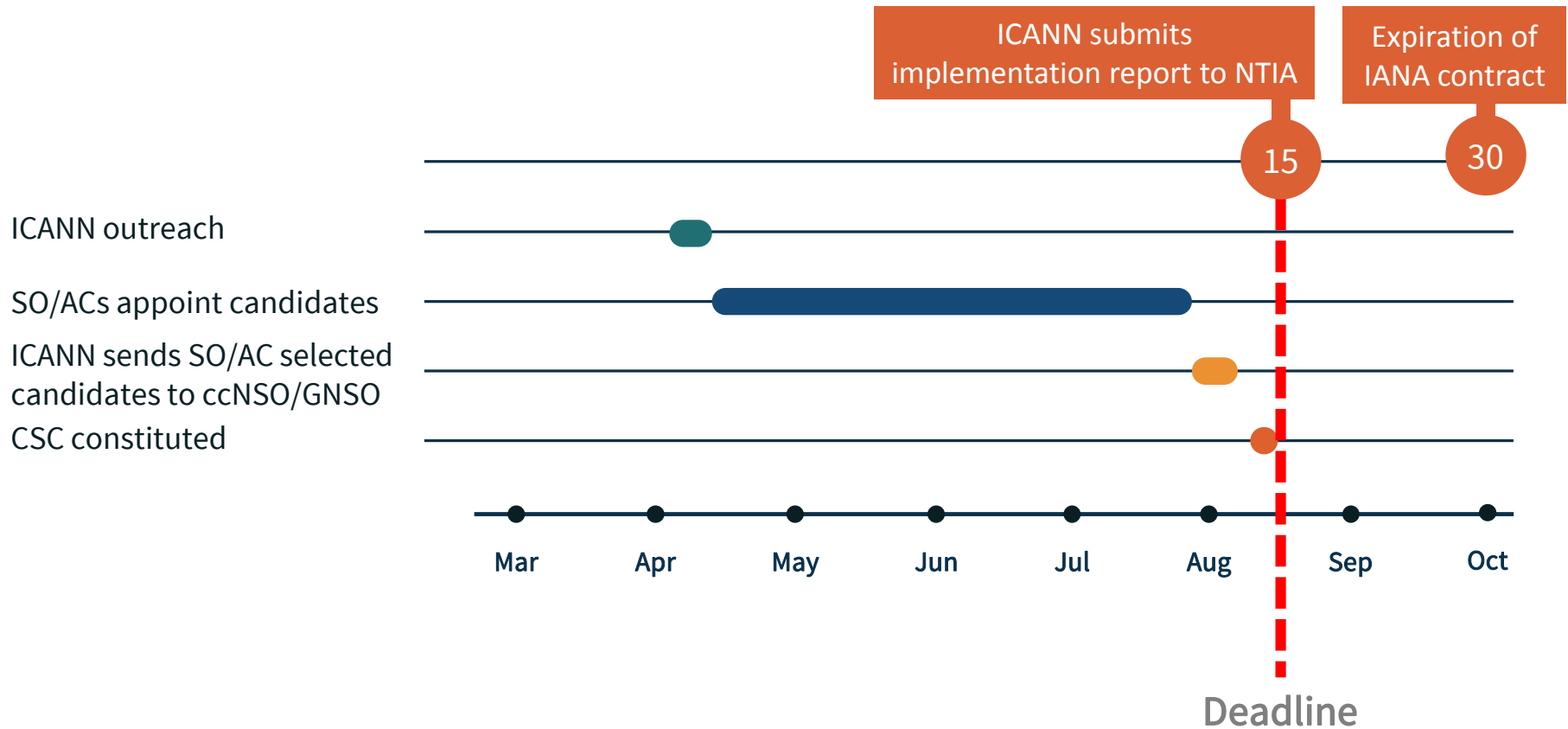
**Activity:**

- Provide regular updates to the direct customers of the IANA naming function

**Touch point with ccNSO:**

- Provide briefing to the ccNSO and RySG 3 times a year (i.e. ICANN meeting)

# Timing of CSC Constitution



# Root Zone Evolution Review Committee (RZERC)

## RZERC

Members: ccNSO | GNSO RySG | ASO | IETF | RSSAC | SSAC | RZM  
IFO | ICANN Board

### Activity:

- Ongoing membership management

### Activity:

- TBD per RZERC Charter

### Touch point with ccNSO

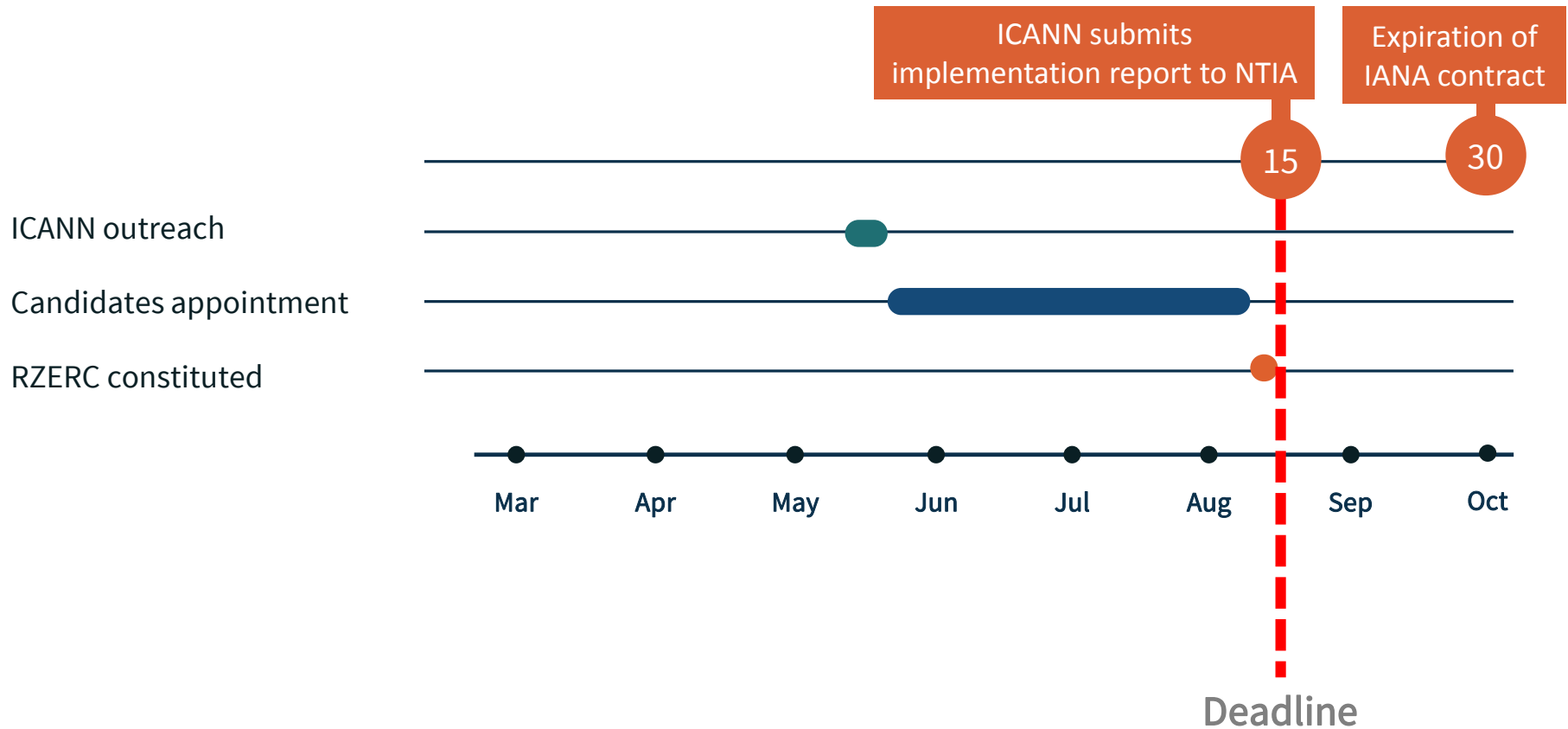
- Reappointment due to recall or expiration of term

### Touch point with ccNSO

- TBD



# Timing of RZERC Constitution



# IANA Function Review Team (IFRT)

## IFRT

Members: ccNSO | ccTLD (non-ccNSO) | RySG | RrSG  
CSG | NSCG | RSSAC | GAC | ALAC | CSC

### Activity:

- Appoint member

### Activity:

- Review and recommend changes to IANA SOW

### Touch point with ccNSO

- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations

### Touch point with ccNSO

- Provide ratification to IANA SOW amendment with GNSO

# Special IANA Function Review Team (Special IFRT)

## Special IFRT

Members: ccNSO | ccTLD (non-ccNSO) | RySG | RrSG  
CSG | NSCG | RSSAC | GAC | ALAC | CSC  
Numbers Community | Protocol Community

### Activity:

- N/A

### Touch point with ccNSO

- Review CSC escalated issues with GNSO to determine whether to trigger a Special IFR

### Activity:

- Appoint member

### Touch point with ccNSO:

- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations

### Activity:

- Recommend the creation of the Separation Cross-Community Working Group (SCWG)

### Touch point with ccNSO:

- Approve the creation of the SCWG with GNSO

# Separation Cross Community Working Group (SCWG)

## SCWG

**Members:** ccNSO | ccTLD (non-ccNSO) | RySG | RrSG | CSG  
NSCG | RSSAC | SSAC | GAC | ALAC | CSC | IFRT  
Numbers Community | Protocol Community

### Activity:

- Appoint member

### Touch point with ccNSO

- Appoint members from ccNSO
- Appoint non-ccNSO ccTLD representative by consulting with Regional ccTLD Organizations



## [CSC Charter Review]

Members:  
ccNSO  
RySG

### Activity:

- Appoint member

### Activity:

- Make recommendation to change CSC Charter

### Touch point with ccNSO

- Appoint representatives from ccNSO

### Touch point with ccNSO

- Provide ratification to recommended changes with GNSO

## [CSC Effectiveness Review]

Members:  
TBD

### Activity:

- TBD

### Touch point with ccNSO

- Determine methods of review with GNSO

## [CSC Service Level Target Review]

Members:  
ccNSO  
GNSO

### Activity:

- Recommend change to the service level targets

### Touch point with ccNSO

- Provide ratification to proposed changes to service level targets with GNSO



**CWG-Stewardship Proposal Paragraph 1106 6):**

**Appeal mechanism.** An appeal mechanism, for example in the form of an Independent Review Panel, for issues relating to the IANA functions. For example, direct customers with non-remediated issues or matters referred by ccNSO or GNSO after escalation by the CSC will have access to an Independent Review Panel. **The appeal mechanism will not cover issues relating to ccTLD delegation and redelegation, which mechanism is to be developed by the ccTLD community post-transition.**