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An Introduction to ICANN Reviews

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Agenda

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Introduction to ICANN Reviews

Agenda Item #1

ICANN Reviews

What are ICANN reviews?

Reviews are **important accountability mechanisms** that are required by ICANN Bylaws and critical to maintaining a **healthy multistakeholder model**.

How many categories of ICANN reviews?

There are two categories of reviews:
- **Specific Reviews**
- **Organizational Reviews**

ICANN Reviews

Specific Reviews	Organizational Reviews
Accountability and Transparency (**ATRT**)	Address Supporting Organization (**ASO**)*
Competition, Consumer Trust and Consumer Choice (**CCT**)	At-Large (**At-Large**)
Security, Stability, and Resiliency (**SSR**)	Country-Code names Supporting Organization (**ccNSO**)
Registration Directory Service (**RDS**)	Generic Names Supporting Organization (**GNSO**)
Operating Standards guide the work of ongoing specific reviews	Nominating Committee (**NomCom**)
	Root Server System Advisory Committee (**RSSAC**)
	Security and Stability Advisory Committee (**SSAC**)

* Governed by the ASO's Memorandum of Understanding with ICANN not the ICANN Byalws
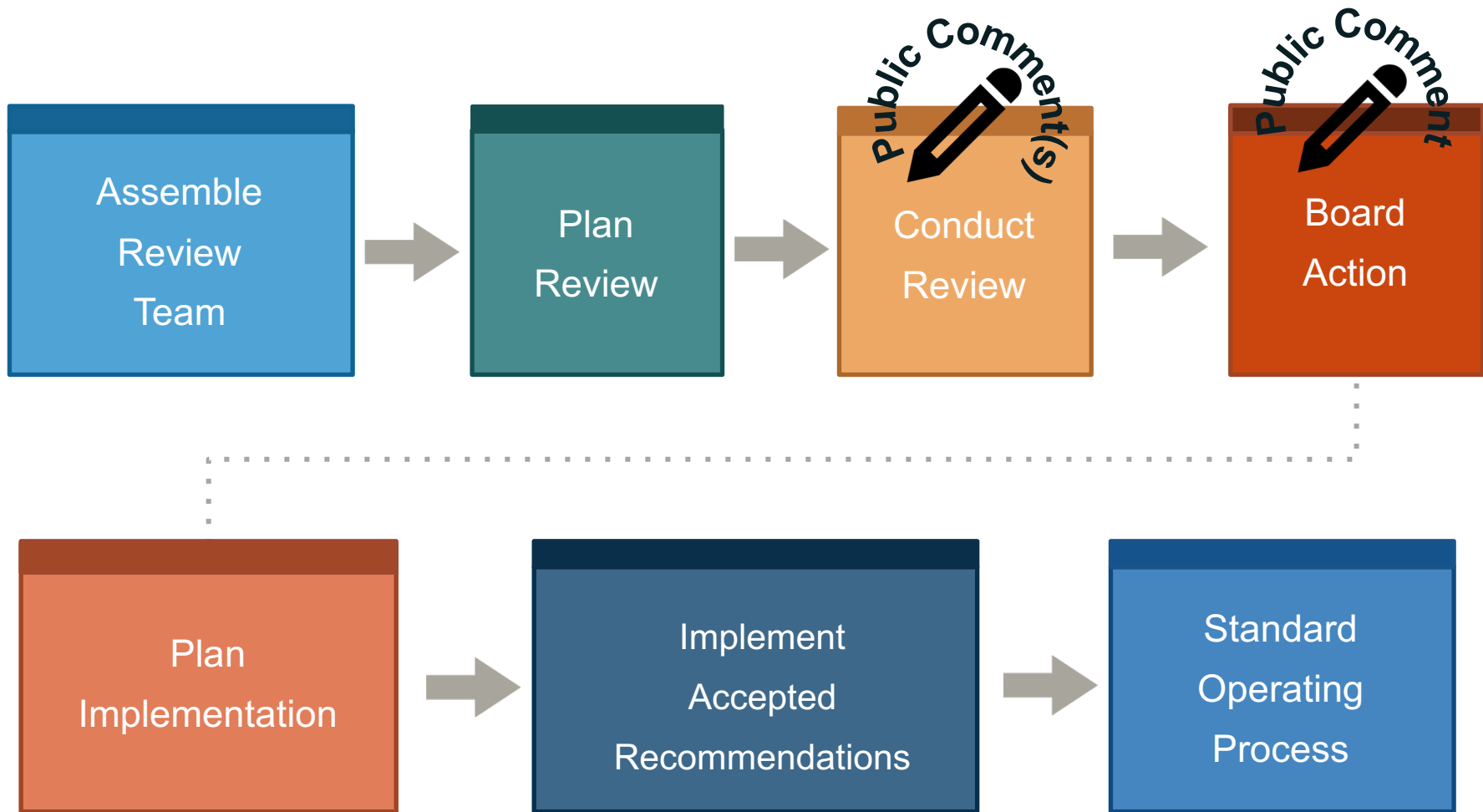
Specific Reviews

Agenda Item #2

Specific Reviews

MANDATE

⊙ Anchored in Article 4.6 of ICANN Bylaws.

⊙ Conducted by **community-led review teams** which assess ICANN's performance in reaching its commitments.

⊙ **Critical to helping ICANN achieve its mission** to ensure the stable and secure operation of the Internet's unique identifier systems.
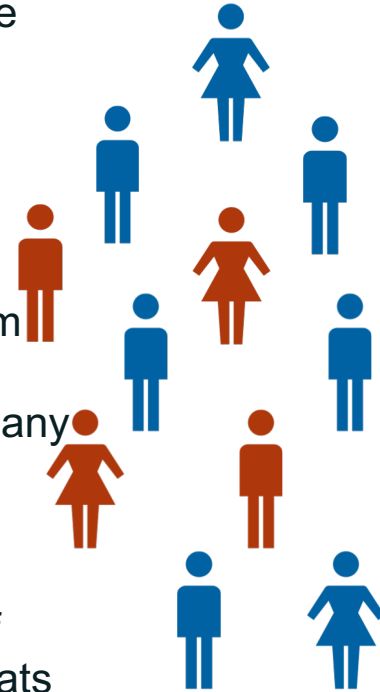
PERIODICITY

⊙ Each of the Specific Reviews (except for the CCT Review) shall be conducted no less frequently than every five years measured from the date the previous review team was convened.

⊙ For the CCT Review, ICANN Bylaws Section 4.6(d)(ii) dictate: "After a New gTLD Round has been in operation for one year, the Board shall cause a competition, consumer trust and consumer choice review as specified in Section 4.6(d).

⊙ Each review team may recommend that the applicable type of review should no longer be conducted or should be amended.

Specific Reviews: Process Phases

Assemble Review Team → Plan Review → Conduct Review *(Public Comment(s))* → Board Action *(Public Comment)*

Plan Implementation → Implement Accepted Recommendations → Standard Operating Process

Review Teams Selection

- Group of up to 21 members from among the prospective members nominated by the Supporting Organizations(SO)/Advisory Committees(AC), balanced for diversity and skill.

    - Board may designate one Director or Liaison to serve as a member of the review team.

    - Each SO/AC participating in the applicable review may nominate up to seven prospective members for the review team.

    - Any SO/AC nominating at least one, two or three prospective review team members shall be entitled to have those one, two or three nominees selected as members to the review team, so long as the nominees meet any applicable criteria for service on the team

    - If any SO/AC has not nominated at least three prospective review team members, the SO/AC chairs shall be responsible for the determination of whether all 21 SO/AC member seats shall be filled and, if so, how the seats should be allocated from among those nominated.

- Specific guidance on the selection process is provided within the operating standards developed for the conduct of reviews

Review Team(s) Methodology

- Review teams may solicit and select independent experts to render advice as requested by the review team.

- Transparent, open to observers

- Publicly archived mailing list, open review team calls

- Consensus building

- Findings informed by ICANN org briefings

- Fact-based analysis to identify possible issues

- Formulate recommendations (if any) to address identified issues

Recommendations

Issues

Facts & Analysis

Specific Reviews Status Update

ATRT3 - Conduct Review Phase

- The ATRT3 Review Team published a survey for community input. The aim of this survey was to collect opinions from SO/ACs and individuals in the ICANN community on ICANN's accountability and transparency, and to help review team identify areas which require improvement and could benefit from a recommendation.
- Draft report expected in December 2019 and final report expected in April 2020.

SSR2 - Conduct Review Phase

- The SSR2 Review Team is continuing its assessment in the detailed scope areas of its review, and advancing the drafting of its report.
- Final report expected in June 2020

RDS-WHOIS2 – Board Action Phase

- The Final Report and Recommendations were submitted to the ICANN Board of Directors on 3 September 2019, and submitted for public comment on 8 October 2019. This Public Comment proceeding aims at gathering community input on the RDS-WHOIS2 Review Team's findings and final recommendations.

CCT – Plan Implementation Phase

- On 1 March 2019, the Board took action on each of the 35 recommendations, as specified within the scorecard titled "Final CCT Recommendations: Board Action (1 March 2019)".

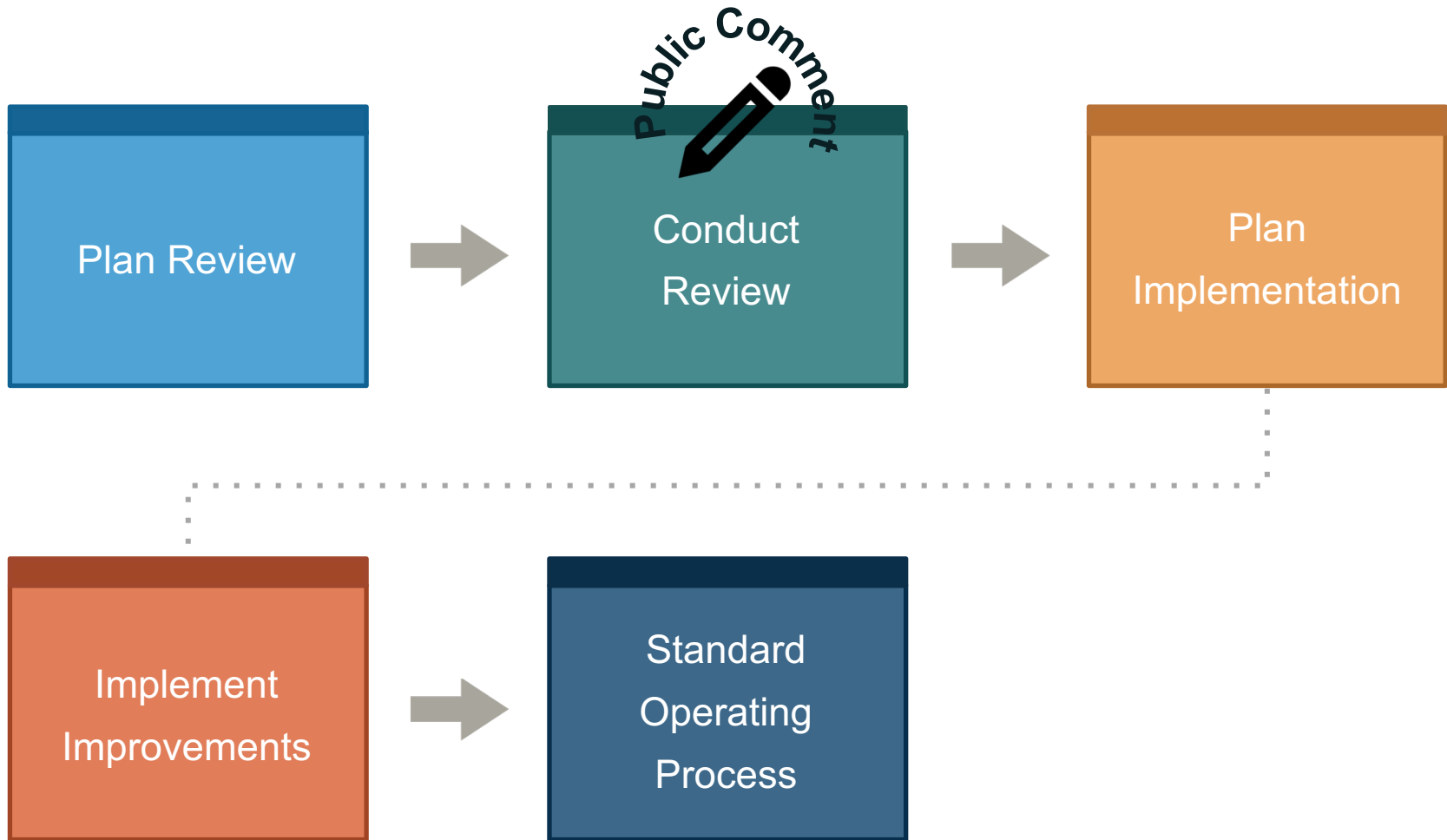# Organizational Reviews

Agenda Item #3

Organizational Reviews

MANDATE

⊙ Anchored in Article 4.4 of ICANN Bylaws.

⊙ Periodic review of the performance and operation of each Supporting Organization, each Supporting Organization Council, each Advisory Committee (other than the Governmental Advisory Committee), and the Nominating Committee (as defined in Section 8.1) by an entity or entities independent of the organization under review.

⊙ Goal is to assess:
  i. Whether that organization, council or committee has a **continuing purpose in the ICANN structure**.
  ii. If so, whether any change in structure or operations is desirable **to improve its effectiveness**.
  iii. Whether that organization, council or committee is **accountable** to its constituencies, stakeholder groups, organizations and other
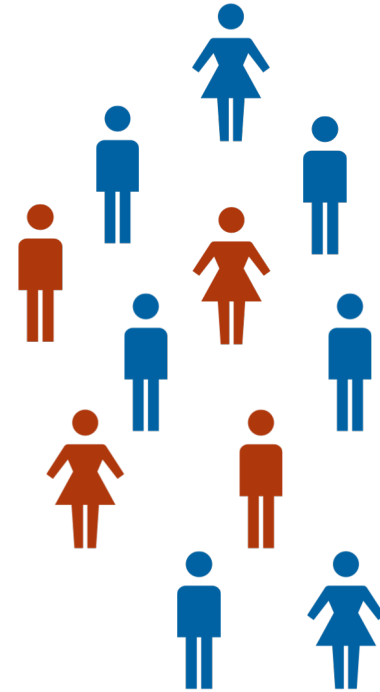
PERIODICITY

⊙ Conducted no less frequently than every five years, based on feasibility as determined by the Board. Each five-year cycle will be computed from the moment of the reception by the Board of the final report of the relevant review Working Group.

Organizational Reviews: Process Phases

Plan Review → Conduct Review (Public Comment) → Plan Implementation

Implement Improvements → Standard Operating Process

Review Working Party Selection

- The entity under review forms a Review Working Party (RWP) to serve as a liaison between its organization, the eventual independent examiner (IE), the wider community and the Organizational Effectiveness Committee of the Board (OEC).

- Review Working Party is self-selected by the org under review. Membership and transparency rules are a matter for the organization under review.

- Membership and transparency concerns are addressed by the entity under review: Public wiki, publicly archived mailing list, open calls.

- RWP may also be asked to conduct a self-assessment of the entity prior to the start of the review.

- When drafting the Request for Proposals (RFP) for an IE to conduct the review, RWP will provide input to the draft scope, selection criteria and timeline.

Methodology of Independent Examiners

- Independent from the organization under review

- Knowledgeable about ICANN and familiar with its processes

- One year review phase

- Fact-based analysis through surveys, interviews

- Community outreach via engagements sessions/webinars to provide updates on findings and/or progress

Organizational Reviews Status Update

At-Large2 – Plan Implementation Phase

- At-Large adopted a detailed implementation plan and implementation is underway.

ccNSO2 – Plan Implementation Phase

- cNSO is conducting a Feasibility Assessment, including an initial implementation plan with respect to the recommendations submitted in the Independent Examiner' final report

GNSO2 – Implement Improvements Phase

- The Board accepted the GNSO's final implementation plan in January 2019. The GNSO3 review, starting June 2021, will mark the third round of organizational reviews.

NomCom2 – Plan Implementation Phase

- The NomCom Review Implementation Working Group submitted to the OEC in September 2019 its Detailed Implementation Plan, for consideration by the ICANN Board.

RSSAC2 - Plan Implementation Phase

- RSSAC review implementation working group will draft a detailed implementation plan to be submitted to the Board by December 2019.

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How to Participate?

Agenda Item #4

How to Participate?

Choose Your Review!

Go to
www.icann.org/resources/reviews
and find out which review
interests you

Get Plugged In!

Go to the reviews dedicated
wiki for the latest updates on
ways to get involved

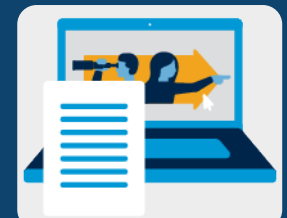Observe
meetings

Email
your input
at any
time

Join open
community
sessions to
voice your
input

Participate in
surveys to
inform
decision-making

Provide your
public comments
on findings and
recommendations

Apply to be
considered
for a review
team

Specific and Organizational Reviews Dedicated Wiki Pages

Specific Reviews

- ATRT3: https://community.icann.org/x/QK7DAw
- CCT: https://community.icann.org/x/145YAw
- RDS-WHOIS2: https://community.icann.org/x/JIfDAw
- SSR2: https://community.icann.org/x/AE6AAw

Organizational Reviews

- At-Large: https://community.icann.org/x/Y5MQAw
- ccNSO2: https://community.icann.org/x/q7TDAw
- NomCom2: https://community.icann.org/x/2wBpBQ
- RSSAC2: https://community.icann.org/x/rbTDAw
- SSAC2: https://community.icann.org/display/ACCSSAC

Q&A

Agenda Item #5

Thank You!