

New gTLD Subsequent Procedures

Operational Design Phase (ODP)



PREP WEEK ICANN74
31 May 2022

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Presenters



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Programs



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Operational Design Phase (ODP) and Subsequent Procedures (SubPro)

Agenda Item 1

Objective of the Operational Design Phase (ODP)

To perform an assessment of GNSO Council policy recommendations in order to **provide the Board with relevant information** to facilitate the Board's determination in accordance with the Bylaws and in consideration of the public interest, **on the operational impact of the implementation of the recommendations**, including whether the recommendations are in the best interests of the ICANN community or ICANN ([Annex A, Section 9 \(a\)](#)).

The ODP supports the **Board's ability to request a focused assessment** of the operational impact of GNSO Council-approved recommendations.

The ODP will also **support ICANN org's consultation with the community to solicit feedback on the assumptions, facts, and figures** that underpin ICANN org's ODP assessment.

Subsequent Procedures Policy Development Process (PDP)

On 18 February 2021, the Generic Name Support Organization (GNSO) Council voted to approve the [New Generic Top-Level Domain \(gTLD\) Subsequent Procedures Policy Development Process Final Report](#) (the “Final Report”).

On 24 March 2021, the GNSO Council transmitted its [Recommendations Report](#) to the ICANN Board, following the GNSO Council’s approval of the Final Recommendations, and the Board is now considering the Outputs contained in the Final Report.

ODP Board Resolution and Scoping

Sub Pro ODP Board Resolutions:

- Resolved (2021.09.12.01) The Board directs the President and CEO, to take the steps needed to **organize the resources required to begin work on the ODP, and to advise the Board when the work of the ODP is initiated** within the organization. The Board requests **regular updates on the progress of the work and delivery of the Operational Design Assessment (ODA)**, the expected output of the ODP, **within ten months from the date of initiation.**
- Resolved (2021.09.12.02) The Board authorizes the President and CEO of **up to US\$9M to fund the internal project needed for initiation of the ODP, the execution of the ODP including community engagement, formation and delivery of an ODA to the Board, and any additional related work that may be required** to support the ICANN Board's consideration of the New Generic Top Level Domain (gTLD) Subsequent Procedures Policy Development Process Final Report.

Sub Pro ODP Scoping Document:

- Board worked with the org to create a structure and outline of the Sub Pro ODP Scoping document

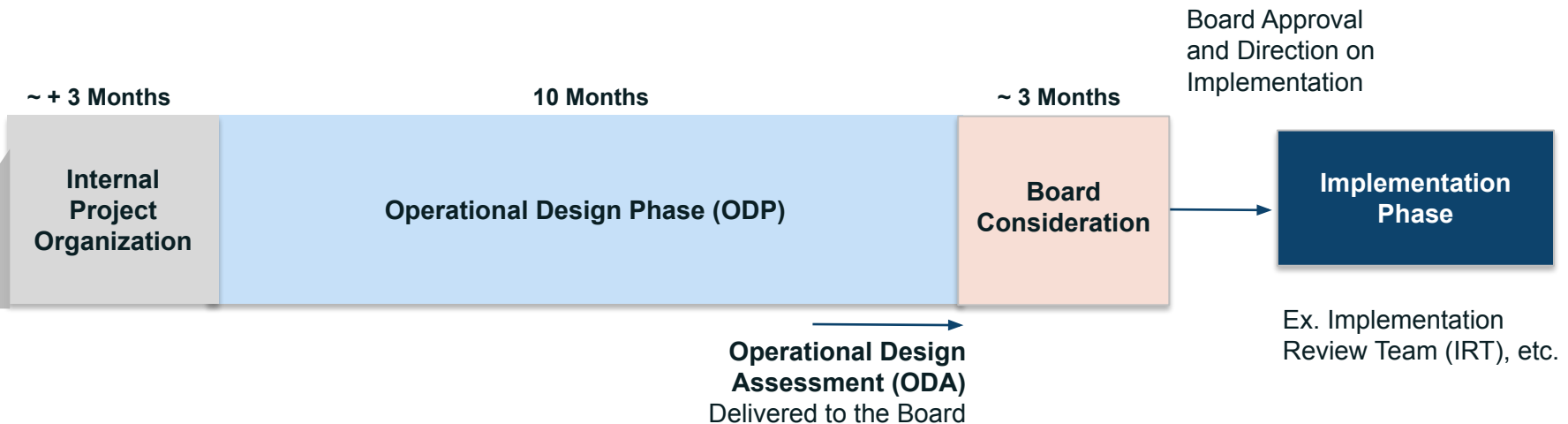
ODP Overview of Work

The ODP is a significant undertaking for which the Board provided approval of up to US\$9 million outside of ICANN's operational budget.

Internal Project Organization: Org internal infrastructure ramp-up to support this effort

Operational Design Phase (ODP): ODP execution stage to deliver the *Operational Design Assessment (ODA)*, based on the Board-determined scope

Board Consideration: Board's determination on the Final Report Outputs



What comes after ODP?

Once the Board considers the Operational Design Assessment (ODA), there are a number of things that need to occur before the launch of the next round application window.

New gTLD Program Subsequent Procedures Milestones

		Stage 1: Policy Development	Stage 2: Implementation & Design and	Stage 3: Operation
ICANN	Community	<ul style="list-style-type: none"> • Launch Working Group • WG finalizes report • GNSO approves report 	<ul style="list-style-type: none"> • Form IRT 	<ul style="list-style-type: none"> • Application System Beta Testing • Form SPIRT
	Board	<ul style="list-style-type: none"> • Request ODP from org 	<ul style="list-style-type: none"> • Consider ODA, Approve Final Report • Approve Readiness Operations • Approve Final AGB 	<ul style="list-style-type: none"> • Approve Opening of the next round
	Organization	<ul style="list-style-type: none"> • Launch Steering Committee • ODP Preparation • Start ODP 	<ul style="list-style-type: none"> • AGB Development • Finalize AGB • Deliver ODA to Board • Comms SubPro Outreach • Process Design 	<ul style="list-style-type: none"> • Open App Window • Begin Application Processing • Begin ongoing operation • Operational review(s) • Build Capacity & Operationalize • Next Round Outreach • Launch RSP Pre-approval

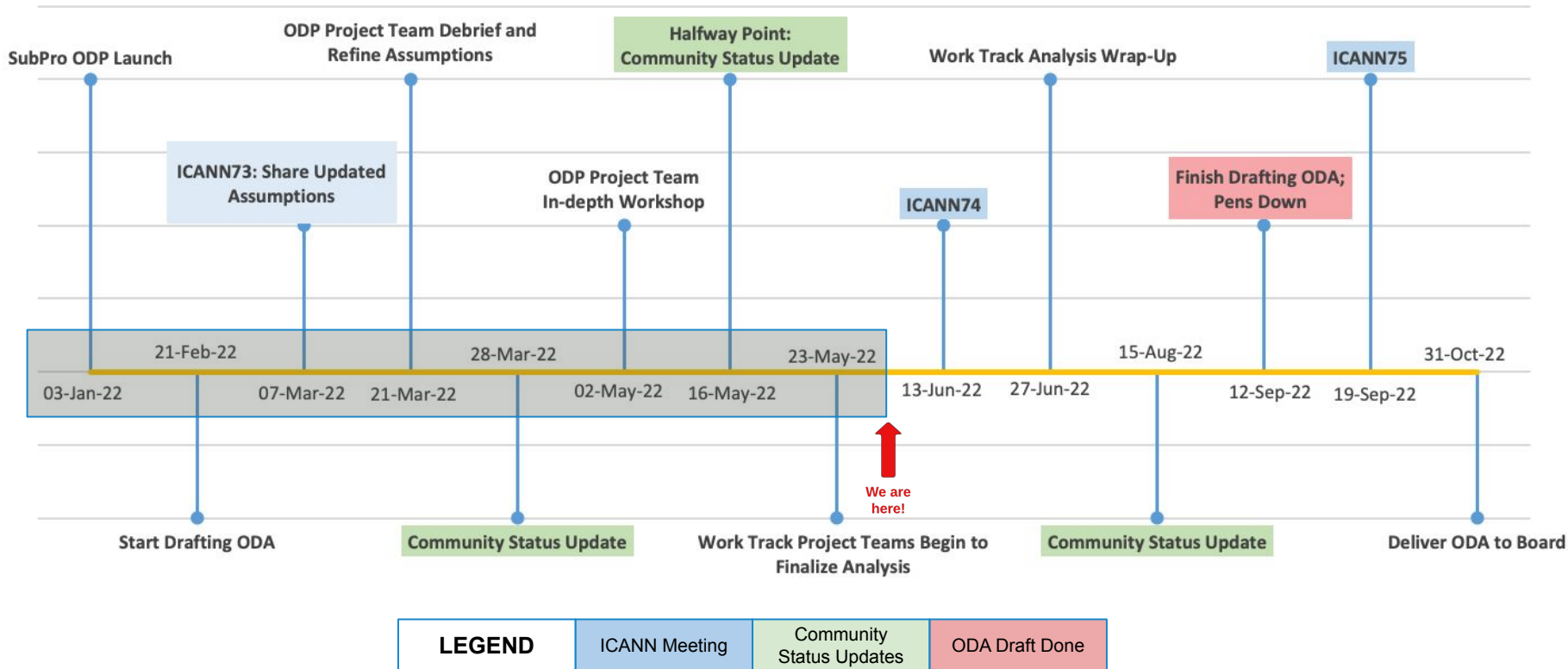
↑
We are here!

ODP Project Update

Agenda Item 2

SubPro ODP High-Level Timeline

Subsequent Procedures ODP High-Level Timeline



Resolved (2021.09.12.01), ... The Board requests regular updates on the progress of the work and delivery of the Operational Design Assessment (ODA), the expected output of the ODP, within ten months from the date of initiation, provided that there are no unforeseen matters that could affect the timeline, of which any such matters are to be communicated to the Board immediately upon identification.

Ongoing Activities:

Work Track + Project Team Analysis, Meeting with liaison, Monthly status report, Legal Review, Comms.

SubPro ODP Activity Since ICANN73

- 23 March 2022: [Initial & Overarching Assumptions](#) posted
 - ICANN org posted a list of assumptions that the ICANN org SubPro ODP team developed. The list included overarching and topic-specific assumptions. Development of assumptions is ongoing and additional lists are posted as they become available.
- 28 March 2022: [Community Status Report](#) posted
 - This report is the first [Community Status Report](#) for the SubPro ODP.
- 11 April 2022: Published a [blog](#) introducing the Policy Development and Implementation Materials Work Track
- 19 April 2022: Supported Board's publication of [blog](#) on Supporting ICANN Community Progress: The Issue of Closed Generics
- 2 May 2022: Published [Assumptions Subsequent Procedures ODP v3](#)
- 16 May 2022: 2nd [Community Status Report](#) posted
- 26 May 2022: Published [Assumptions Subsequent Procedures ODP v4](#)

SubPro ODP Stakeholder Activities

Since ICANN73 the org has provided ongoing support to project stakeholders.

- Board & Board Caucus
 - Supported SubPro ODP discussions during Board workshops and Board Caucus meetings
- GNSO Council Liaison
 - Met with GNSO Council Liaison and shared policy-related questions developed by the SubPro ODP team
- ICANN SubPro Project Steering Committee
 - Supported project steering committee discussions
- Work Track Leads
 - Held several half-day workshops with SubPro Work Track leads to discuss final report topics, outputs, and overall methodology

Sub Pro ODP Finances: Jan-Apr 2022

Resource and Costs Overview by Work Track (WT)

SubPro ODP	Project-to-Date (January to April 2022)		
Work Track (WT)	FTE (avg)	Total Hours	Total Expenses*
WT 1: Project Governance	2.4	1,410	\$183
WT 2: Policy Development and Implementation Materials	2.1	1,245	\$137
WT 3: Operational Readiness	0.6	368	\$40
WT 4: Systems and Tools	0.9	548	\$69
WT 5: Vendors	0.1	48	\$5
WT 6: Communications and Outreach	0.9	518	\$57
WT 7: Resources, Staffing, and Logistics	1.0	585	\$60
WT 8: Finance	0.5	315	\$35
WT 9: Overarching	0.7	443	\$49
Shared Services Support**	NA	NA	\$338
Total	9.1	5,478	\$972

**Expenses presented in \$US thousands*

***Shared Services Support is not a work track and represents allocated costs from ICANN org for general administrative costs. Any arithmetic inconsistencies are due to rounding.*

Review Methodology and Sample Topic

Agenda Item 3

SubPro ODP Methodology

SubPro ODP Stages	Description
Policy Analysis	Review and analysis of the 300+ policy outputs in the Final Report. The assumptions and policy questions come out of this work.
Process Development	Development of a high-level business process design using the 2012 processes as a baseline for developing the application processes for the next round.
Operational Assessment	An assessment of the impact to ICANN to implement the proposed business process design. This includes timelines and costs for systems, staffing and outsourcing. It also includes an explanation of the risks associated with implementation.
ODA Drafting	The development, drafting, and submission of the ODA.

Sample Topic: Application Change Request (ACR)

Background

- After the 2012 New gTLD Program application window closed, the Application Change Request (ACR) process was developed to allow applicants to request changes to application materials in a standardized way.
- These changes included business/administrative changes (Application Questions 1-12) and application changes (Application Questions 13-50).
- Evaluation criteria were developed to provide predictability and allowed for objective and consistent review of change requests.
- To date, 2772 change requests have been processed for applications from the 2012 round.

Final Report: Application Change Request (ACR)

Topic 20 Output Summary

- Affirmation 20.1: The Working Group supports maintaining a high-level, criteria-based change request process, as was employed in the 2012 application round
- Implementation Guidance 20.2: Provide guidance on what changes would likely be approved or rejected
- Implementation Guidance 20.3: Identify which change requests will require a re-evaluation
- Recommendation 20.4: List the types of changes that will require an operational comment period
- Implementation Guidance 20.5: Provide ability for community members to be notified of an application change request requiring an operational comment period
- Recommendation 20.6: Allow joint venture change requests to resolve contention
- Implementation Guidance 20.7: Allow for 60-90 day delay for joint venture change request
- Recommendation 20.8: Allow .BRAND TLD to change their string to resolve contention within certain conditions

ACR: ODP Considerations

- Application Change Request is a simple concept
- Final Report outputs introduce some new aspects but do not appear particularly difficult
- The change request process is inherently complex because:
 - Changes can occur at any time until contracting
 - Changes touch nearly every process
 - each change needs to be reviewed against where it is the overall process
 - criteria are viewed differently at different points in the process
 - Changes may impact other processes
 - require re-evaluation or re-initiating a process
 - Changes may impact other applicants or community
 - possible gaming (e.g. delay in contention resolution)
 - miss out on processes (e.g. objections)

ACR: ODP Methodology

SubPro ODP Stages	Description
Policy Analysis	<ul style="list-style-type: none">● Review and analyze the GNSO Final Report outputs● Consider prior process & ICANN org recommendations.● Identify any issues or concerns that need to be addressed.
Process Development	<ul style="list-style-type: none">● Identify processes and activities potentially impacted by the inclusion of an application change request. Adjust processes as needed.
Operational Assessment	<ul style="list-style-type: none">● Assess the impact of application change requests across all program processes.
ODA Drafting	<ul style="list-style-type: none">● Write analysis and assessment of the topic. Include:<ul style="list-style-type: none">○ High level business process○ Impact to org○ Note issues, concerns, and associated risks.

Upcoming Items & Questions

Agenda Item 4

Upcoming Items

- ICANN74 session: [New gTLD Subsequent Procedures - Working Together](#)
 - 13 June, 2022 (0700 UTC)
 - ICANN org's New gTLD Subsequent Procedures (SubPro) ODP team will facilitate a multistakeholder session to describe work in progress and gather feedback on one or more subject areas.

- Third 'Community Status Report' will be published Mid-August 2022

Questions and Discussion

Follow Our Work

- SubPro ODP web page at icann.org/subpro-odp
- Mail List
 - Email: subpro-odp@icann.org
 - Archive: <https://mm.icann.org/pipermail/subpro-odp/>
- Communication and Meetings:
 - Board
 - GNSO Council Liaison
 - Community groups, upon request
- Regional engagement activities

Appendix