

Update on Implementation of Specific Reviews and Work Stream 2

ICANN77 Prep Week

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30 May 2023



Agenda

1. Welcome
2. Implementation of Work Stream 2 – Enhancing ICANN Accountability
 - [Org Implementation](#)
 - [Community Implementation](#)Q&A
3. Implementation of Board Approved Specific Reviews Recommendations
 - [Third Accountability and Transparency Review Team \(ATRT3\)](#)
 - [Competition, Consumer Trust and Consumer Choice Review \(CCT\)](#)
 - [Second Registration Directory Service Review \(RDS-WHOIS2\)](#)
 - [Second Security, Stability and Resiliency of the DNS \(SSR2\)](#)Q&A
4. [Holistic Review](#), Continuous Improvement Program, and Lifecycle of Reviews Project
Q&A

Implementation status of a Board Approved recommendation:

***Complete:** a recommendation implemented in full and for which implementation documentation is available.*

***In progress:** a recommendation for which work has started to address deliverables identified during the implementation design.*

***Not started:** Work has not started because of a dependency on another recommendation and/or process.*

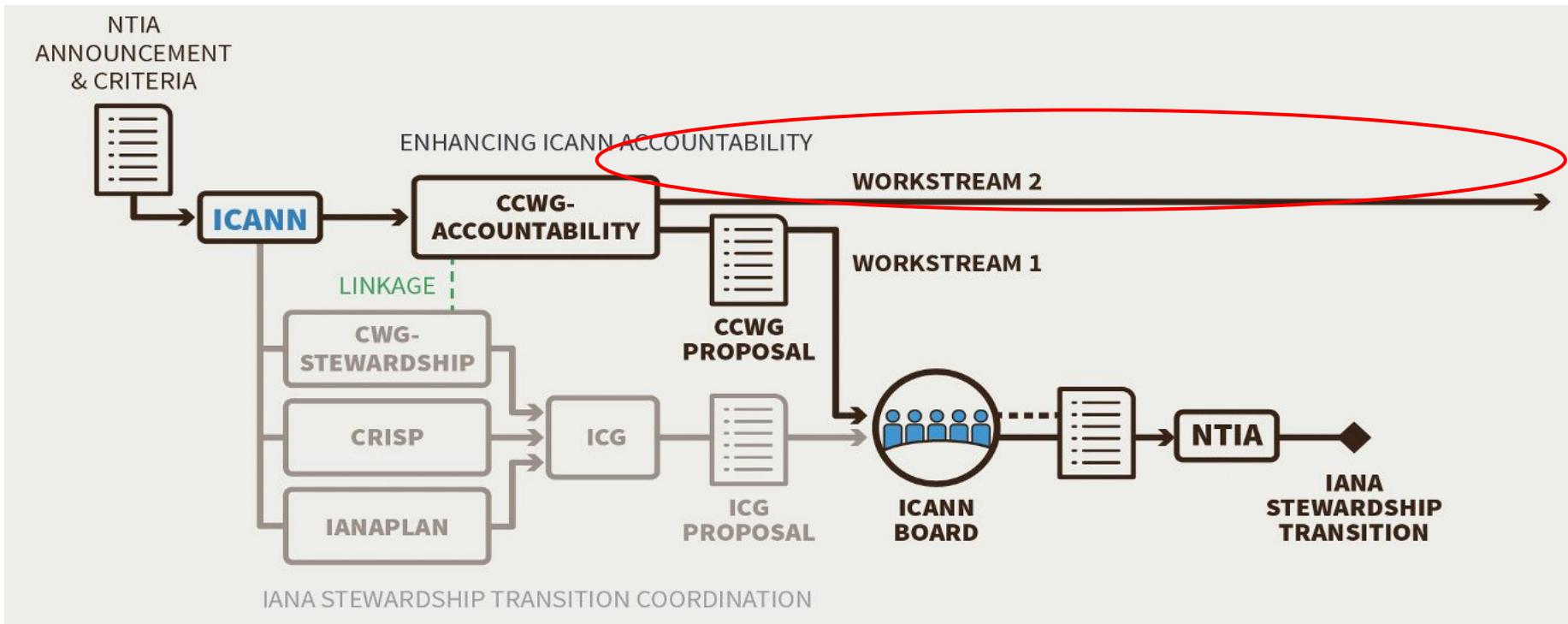
Reporting through quarterly reports and web updates

Implementation of Work Stream 2

Enhancing ICANN Accountability

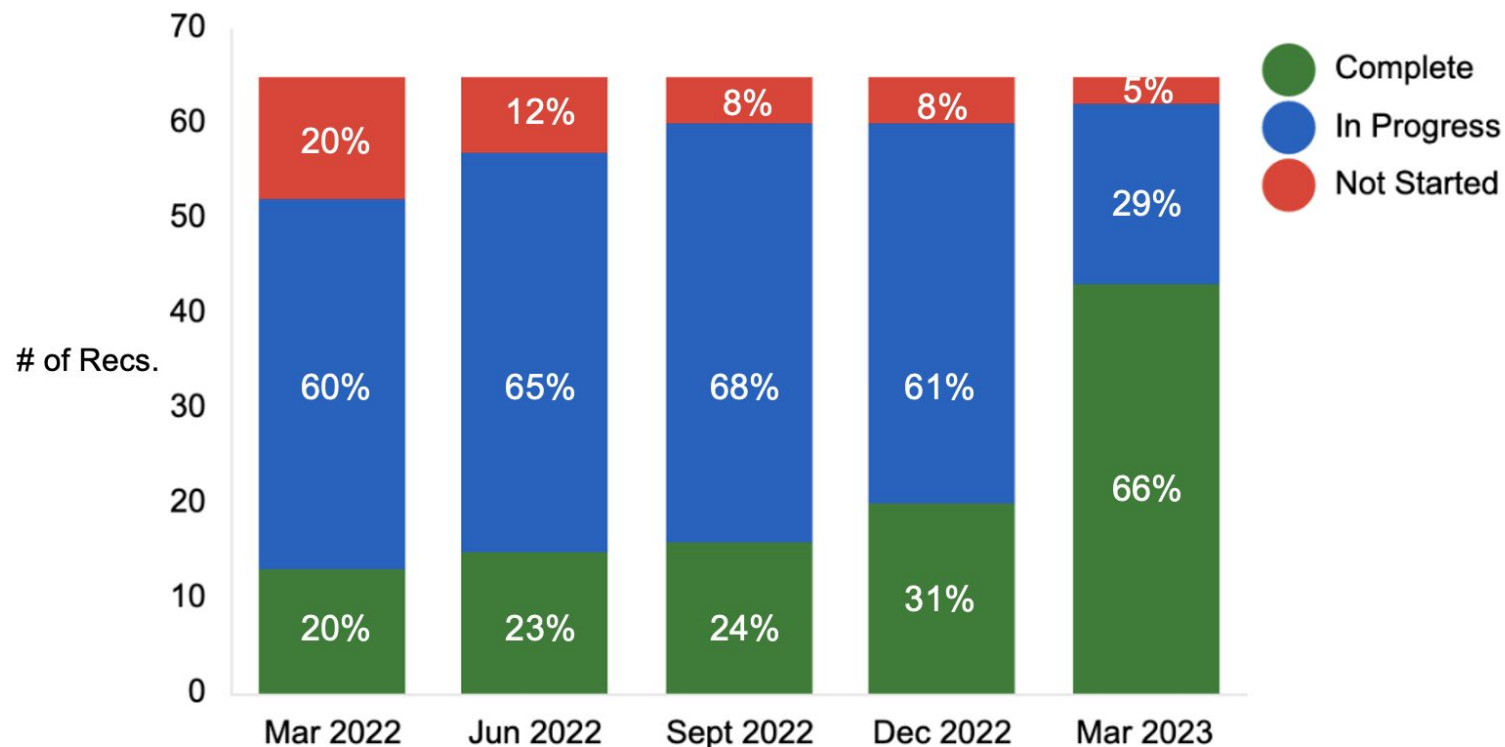
What is Work Stream 2?

WORK STREAM 1: Focused on mechanisms that must be in place or committed to within the timeframe of the IANA Stewardship Transition - **COMPLETE**



WORK STREAM 2: Focused on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition - **DEVELOPING SOLUTIONS COMPLETE - IMPLEMENTATION IN PROGRESS**

Status of Org Implementation



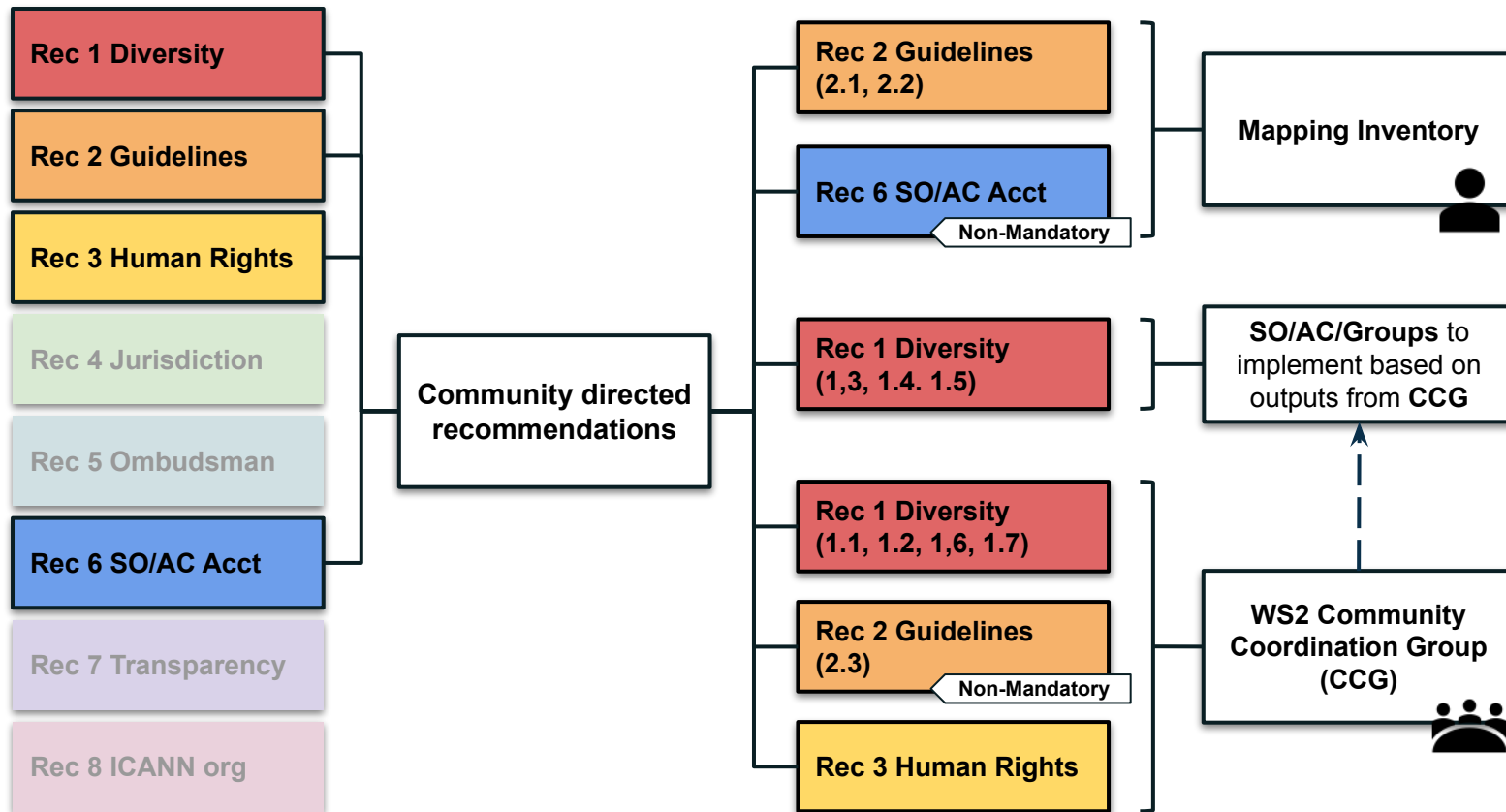
Implementation Status of 65 Org Recommendations - Q1 2023	Q1 2022	Q2 2022	Q3 2022	Q4 2022	Q1 2023
Implementation complete	13	15	16	20	43
Implementation in progress	39	42	44	40	19
Implementation not started	13	8	5	5	3

Status of Org Implementation

Org Implementation - Status of Recommendations (May 2023)

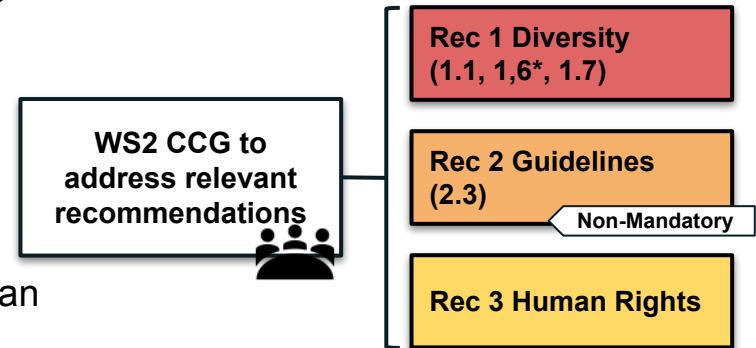
	Complete (43)	In Progress (19)	Not Started (3)
Diversity	1.1; 1.8	1.2; 1.3; 1.4; 1.5; 1.6; 1.7	
Guidelines for Good Faith	N/A		
Human Rights - Framework of Interpretation	3.1		
Jurisdiction	4.1.1 ; 4.1.2; 4.2	4.1.3; 4.1.4	
Ombuds Office	5.6 ; 5.7	5.1; 5.2; 5.4; 5.5; 5.10; 5.11	5.3; 5.8; 5.9
SO/AC Accountability		6.1.7; 6.3.6	
Staff Accountability	7.1.1 ; 7.1.2 ; 7.2.1 ; 7.2.2 ; 7.3		
Transparency	8.1.1-8.1.15 ; 8.1.17-8.1.21 ; 8.2 ; 8.3.1 ; 8.3.2 ; 8.4.1 ; 8.4.2 ; 8.4.3 ; 8.4.4 ; 8.4.5 ; 8.4.6 ; 8.4.7	8.1.16; 8.3.3; 8.4.8	

Status of Community Implementation



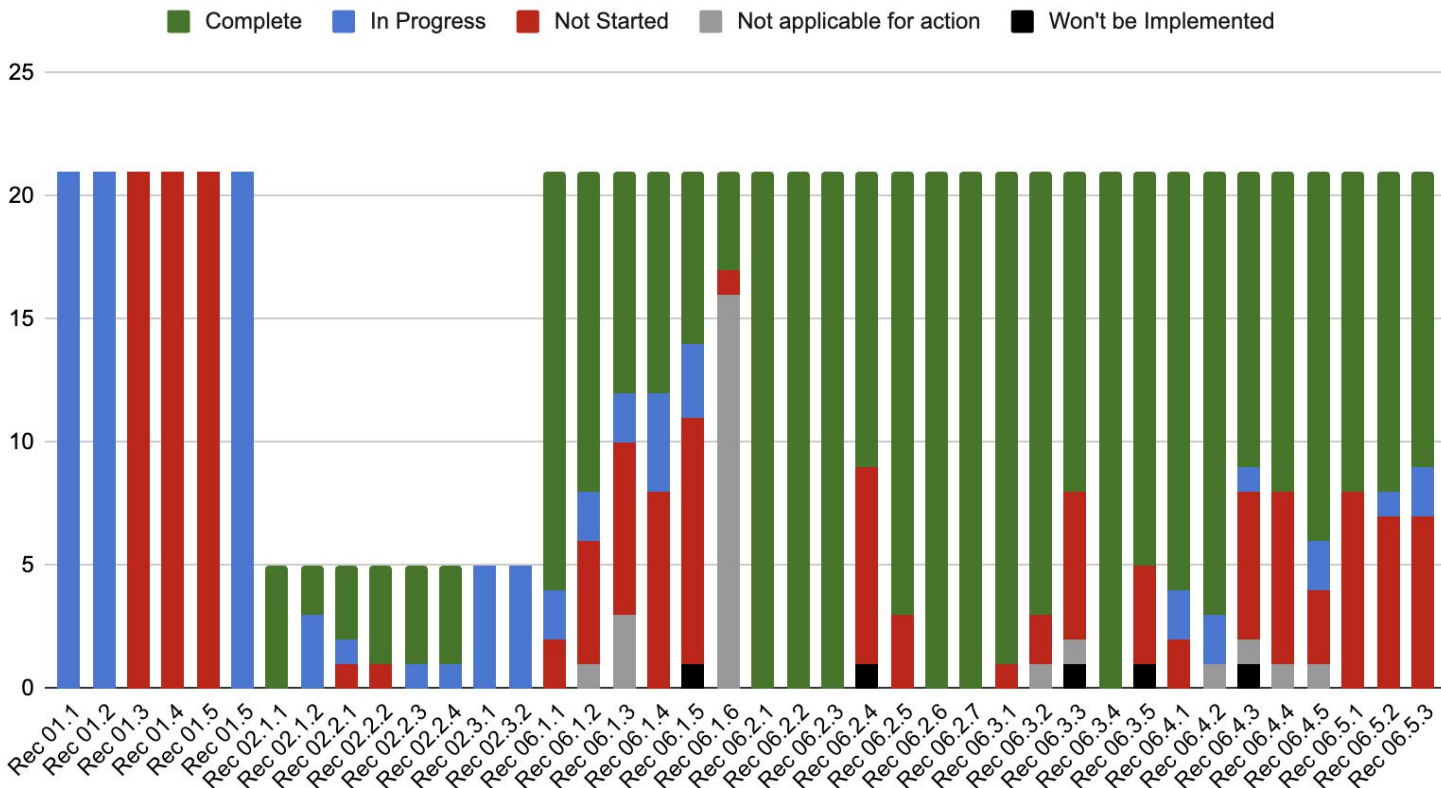
WS2 Community Coordination Group (WS2-CCG)

- SO/AC Chairs agreed to form a **lightweight coordination group** in December 2021
- Serve as a **central point to exchange** best practices, lessons learned, and sharing information and progress
- Address topics that can **benefit from a uniform, community-wide approach**
- **No decision making authority**
- Each SO, AC, GNSO SG, RALO **appointed one (1) member (except ASO)** and had the option to appoint an alternative
- Operate in a **transparent** fashion
- 10 meetings to date
- Published an Outcomes Report for Recommendation 1.1
- Completed work to inform org in elaborating the **diversity toolkit**
- Currently working on **Recommendation 1.7**, regarding a process for dealing with diversity-related complaints and issues



Individual Group Implementation

- A repository of **Recommendations 1, 2, and 6** were created for each SO/AC/Group (21 groups in total).
- Status of each recommendation was determined or are being determined by each community group supported by ICANN org.



* Per WS2 Report, components of Recommendation 6 are good practices. If a group finds a specific component of a recommendation "Not applicable" it means that the component technically does not apply to that group. If a group marks a specific component of a recommendation "Won't be Implemented", the group will document its decision.

Status of Community Implementation

Community Implementation - Status of Recommendations (May 2023)			
	Complete	In Progress (34)	Not Started (3)
Diversity		1.1; 1.2; 1.7	1.3; 1.4; 1.5
Guidelines for Good Faith		2.1; 2.2; 2.3	
Human Rights - Framework of Interpretation		3.1	
Jurisdiction	N/A		
Ombuds Office	N/A		
SO/AC Accountability		6.1.1; 6.1.2; 6.1.3; 6.1.4; 6.1.5; 6.1.6; 6.2.1; 6.2.2; 6.2.3; 6.2.4; 6.2.5; 6.2.6; 6.2.7; 6.3.1; 6.3.2; 6.3.3; 6.3.4; 6.3.5; 6.3.7; 6.4.1; 6.4.2; 6.4.3; 6.4.4; 6.4.5; 6.5.1; 6.5.2; 6.5.3	
Staff Accountability	N/A		
Transparency	N/A		

* Recommendation 1.1 work was completed by the WS2 CCG by publishing a report. However, the recommendation will be formally moved to "Complete" once all groups formally acknowledge the report.

Reporting on Work Stream 2 Implementation

Quarterly updates

As work progresses

- Org and wiki pages

ICANN.org	• Central page
Community wiki	• Central page ○ Org implementation ○ Community implementation ■ WS2 Cross Community Work Group (CCG)

Q&A

Implementation of Board Approved Specific Reviews Recommendations

- Competition, Consumer Trust, and Consumer Choice Review (CCT)
- Second Registration Directory Service Review (RDS-WHOIS2)
- Third Accountability and Transparency Review (ATRT3)
- Second Security, Stability, and Resiliency of the DNS Review (SSR2)

What Are Specific Reviews?



Accountability and Transparency Review (ATRT)



Competition, Consumer Trust and Consumer Choice Review (CCT)



Registration Directory Service Review (RDS)



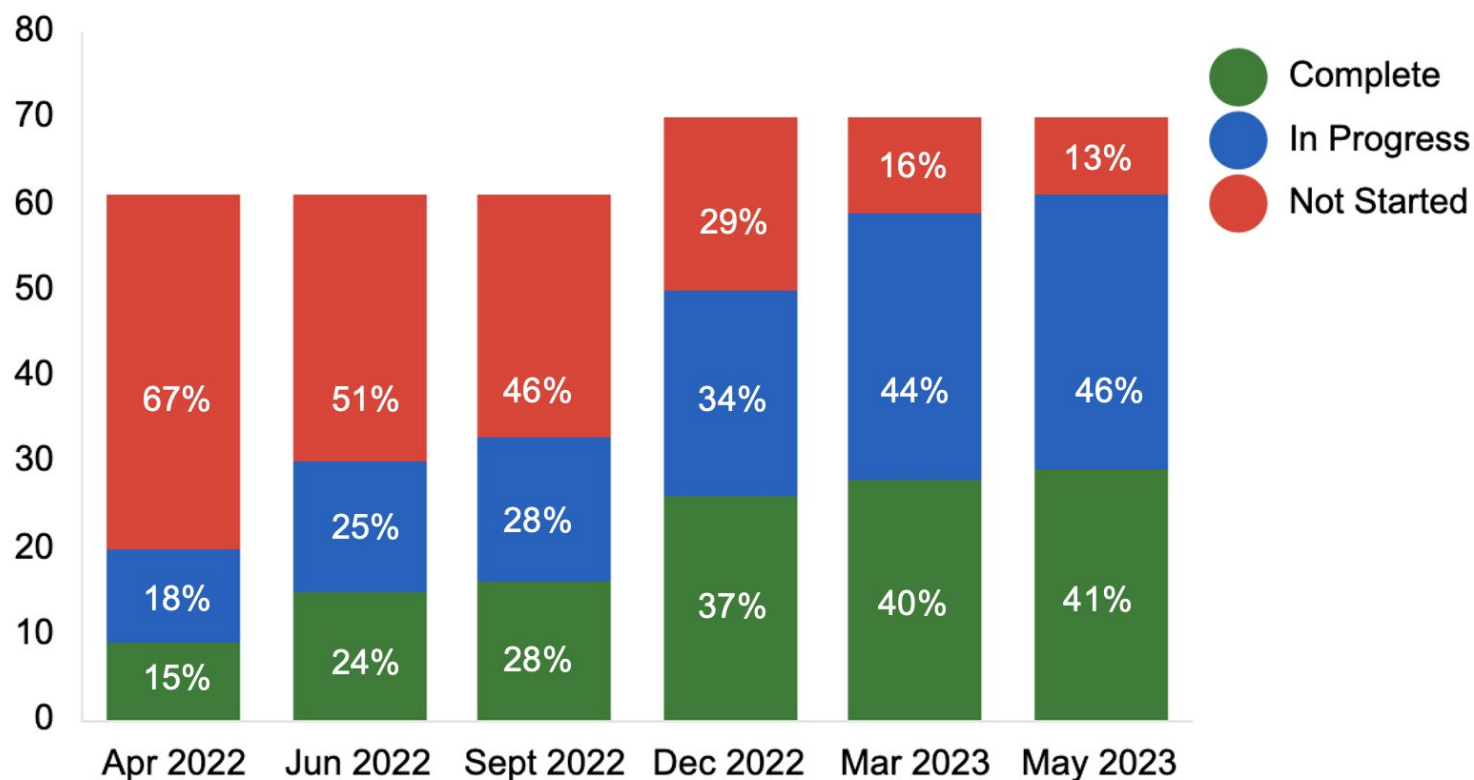
Security, Stability, and Resiliency Review (SSR)

More information on Reviews: <https://www.icann.org/resources/reviews>

Status of Specific Reviews

Review	#	# of Board-Approved Recommendations	# of Recs. Pending Board Consideration
<u>Accountability and Transparency Review</u>	ATRT3	15	N/A
<u>Competition, Consumer Trust, and Consumer Choice Review</u>	CCT	17	6
<u>Registration Directory Service Review</u>	RDS-WHOIS2	15	4
<u>Security, Stability, and Resiliency Review</u>	SSR2	23	10
		70	20

Status of Board Approved Recommendations



Status of Board-Approved Specific Reviews Recommendations - May 2023 Status	Apr 22	Jun 22	Sept 22	Dec 22	Mar 23	May 23
Complete	9	15	16	26	28	29
In Progress	11	15	17	24	31	32
Not Started	41	31	28	20	11	9
Number of Recommendations	61	61	61	70	70	70

Status of ATRT3 Recommendations

Accountability and Transparency Review Team - Third Review (ATRT3) Status of Board Approved Recommendations (May 2023)

Prioritization Label	Complete (4)	In Progress (11)	Not Started (0)
Prioritized		5	
P1 = Highest Priority-Urgent/ Important	3.1	3.2, 3.4, 3.5	
P2 = Less Urgent/Important	1.1 , 1.2	3.6, 4.1, 4.2, 4.3, 4.4, 4.5	
P3 = Urgent/Less important			
P4 = Lowest priority-Less Urgent/Less Important		2	
Not Eligible for Prioritization	3.3		

Status of CCT Recommendations

Competition, Consumer Trust, Consumer Choice Review (CCT) Status of Board Approved Recommendations (May 2023)

Prioritization Label	Complete (5)	In Progress (12)	Not Started (0)
P1 = Highest Priority-Urgent/ Important		1, End-user/Consumer/Public survey: 8, 11, 13 items 1; 2; 4 (in part)	
P2 = Less Urgent/Important		6, 22, 21 item 2, Voluntary survey of contracted parties: 13 items 4 (in part); 5, 20, 23 items A; C (in part); D, 24 item B, 23 item B, 26, 13 item 3	
P3 = Urgent/Less important			
P4 = Lowest priority-Less Urgent/Less Important		7	
Not Eligible for Prioritization	16 , 17 , 18 , 21 items 1; 3; 4; 5; 6, 23 items C (in part); E, 24 item A, 30 31		

Status of RDS-WHOIS2 Recommendations

Registration Directory Service Review - Second Review (RDS-WHOIS2) Status of Board Approved Recommendations (February 2023)

Prioritization Label	Complete (8)	In Progress (3)	Not Started (4)
P1 = Highest Priority-Urgent/ Important			
P2 = Less Urgent/Important		SG.1	
P3 = Urgent/Less important			
P4 = Lowest priority-Less Urgent/Less Important	1.3 , 11.2 , 15.1 , LE.1 , LE.2	3.1	CC.1
Not Eligible for Prioritization	1.1 , 1.2 , CC.3	CC.2	3.2, 10.2, 12.1

Status of SSR2 Recommendations

Security, Stability, Resiliency of the DNS Review - Second Review (SSR2) Status of Board Approved Recommendations (February 2023)

Prioritization Label	Complete (12)	In Progress (6)	Not Started (5)
P1 = Highest Priority-Urgent/ Important	10.1	21.1, 23.2	
P2 = Less Urgent/Important			
P3 = Urgent/Less important	16.1		23.1
P4 = Lowest priority-Less Urgent/Less Important	24.2	1.1, 22.1	5.4, 22.2
Not Eligible for Prioritization	3.2 , 3.3 , 4.1 , 7.1, 7.2, 7.3, 9.1 , 11.1 , 24.1		5.1, 5.2
Eligible for Prioritization		5.3, 7.5	

Reporting on Specific Reviews

Quarterly updates

- [Q1 2023](#)

As work progresses

- Org and wiki pages

ICANN.org	• ATRT Review • CCT Review • RDS Review • SSR Review
Community wiki	• Third Accountability and Transparency Review Team (ATRT3) • Competition, Consumer Trust and Consumer Choice Review (CCT) • Second Registration Directory Service Review (RDS-WHOIS2) • Second Security, Stability and Resiliency of the DNS (SSR2)

Q&A

Holistic Review, Continuous Improvement Program, and Lifecycle of Reviews Project

ATRT3 Implementation

Update: Holistic Review

ATRT3 Recommendation 3.5: A new Holistic Review of ICANN shall be set up.

Note: [The Board approved this recommendation](#) with the caveat it proceed as a pilot and be operated pursuant to a community-agreed Terms of Reference (ToR).

Implementation Status

- A team was formed in March 2022 to [draft the Pilot Holistic Review ToR](#).
- Following a [Public Comment proceeding for the Draft ToR](#), the OEC reconvened the ToR Team to address the divergent community views expressed in the comments.
- This work is ongoing and is expected to result in the publication of a revised ToR for Public Comment in September 2023.

Update: Continuous Improvement Program

ATRT3 Recommendation 3.6: [Board and ICANN org] shall evolve the content of Organizational Reviews into continuous improvement programs in each SO/AC/[NomCom].

Implementation Status

- Implementation design for this recommendation is being refined.
- ICANN org is preparing to start the work of designing the Continuous Improvement Program with the ICANN community.
- Outreach and engagement of community groups to define their participation and the timing of this work will start in July 2023.

Lifecycle of Reviews Project

Purpose / Charter

ICANN org initiated the Lifecycle of Reviews Project to better understand the current challenges faced by ICANN Reviews and effectively introduce improvements to the process. This work will culminate in amendments to the Operating Standards for Specific Reviews.

Progress

ICANN org compiled the challenges and proposed improvements provided by the community, Board, and ICANN org over the past 5+ years. This information has been analyzed and organized into proposed targeted improvements for community discussion and input.

Thought Paper

A Thought Paper that outlines the current state of the reviews process and provides an overview of six potential improvements is expected to be published in July 2023. The paper will serve as a means for highlighting the existing problem areas and introducing targeted improvements for discussion and further development. Engagements with the community will begin immediately following the paper's publication.

Q&A

Engage with ICANN



Thank you for Attending

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