



78 | ANNUAL
GENERAL
MEETING



ICANN Expected Standards of Behavior

- **Webpage:** <https://www.icann.org/resources/pages/expected-standards-2016-06-28-en>
- **PDF:** <https://www.icann.org/en/system/files/files/expected-standards-15sep16-en.pdf>

ICANN Community Anti-Harassment Policy

- **Webpage:** <https://www.icann.org/resources/pages/community-anti-harassment-policy-2017-03-24-en>

Specific Reviews and Work Stream 2 Recommendations

ICANN78 Prep Week

Presenters:

- Giovanni Seppia, Alice Jansen (Implementation Operations)
- Alperen Eken (Policy Development Support)

Monday, 9 October 2023



Agenda

1. Welcome
2. An update on Specific Reviews:
 - Third Accountability and Transparency Review ([ATRT3](#))
 - Competition, Consumer Trust and Consumer Choice Review ([CCT](#))
 - Second Registration Directory Service Review ([RDS-WHOIS2](#))
 - Second Security, Stability and Resiliency of the DNS Review ([SSR2](#))

Q&A

3. Implementation of Work Stream 2 – Enhancing ICANN Accountability
 - [Org implementation](#)
 - [Community implementation](#)

Q&A

4. A.O.B.

Specific Reviews

- Third Accountability and Transparency Review (ATR3)
- Competition, Consumer Trust, and Consumer Choice Review (CCT)
- Second Registration Directory Service Review (RDS-WHOIS2)
- Second Security, Stability, and Resiliency of the DNS Review (SSR2)

What are Specific Reviews



Accountability and Transparency Review (ATRT)



Competition, Consumer Trust and Consumer Choice Review (CCT)



Registration Directory Service Review (RDS)



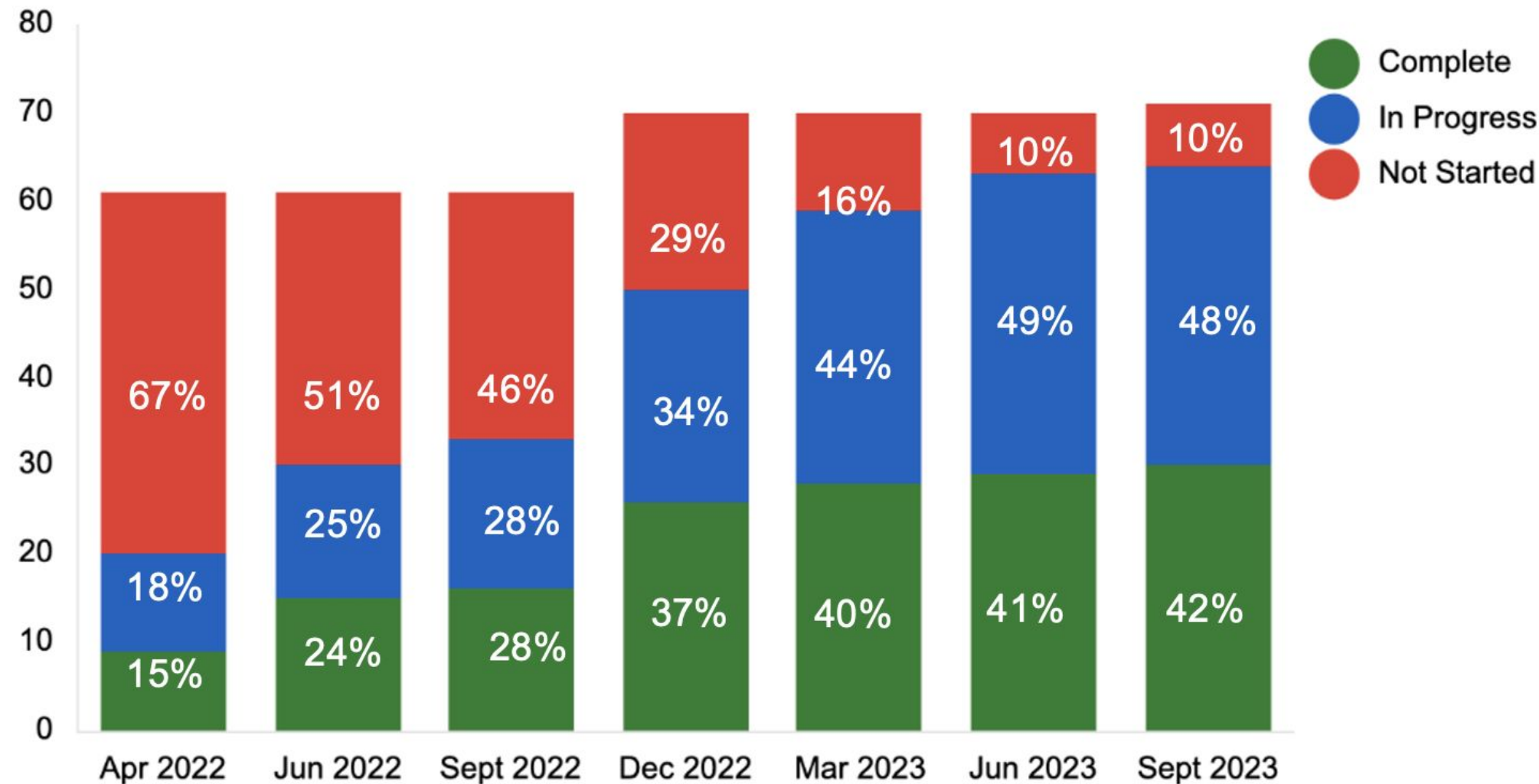
Security, Stability, and Resiliency Review (SSR)

More information on Reviews: <https://www.icann.org/resources/reviews>

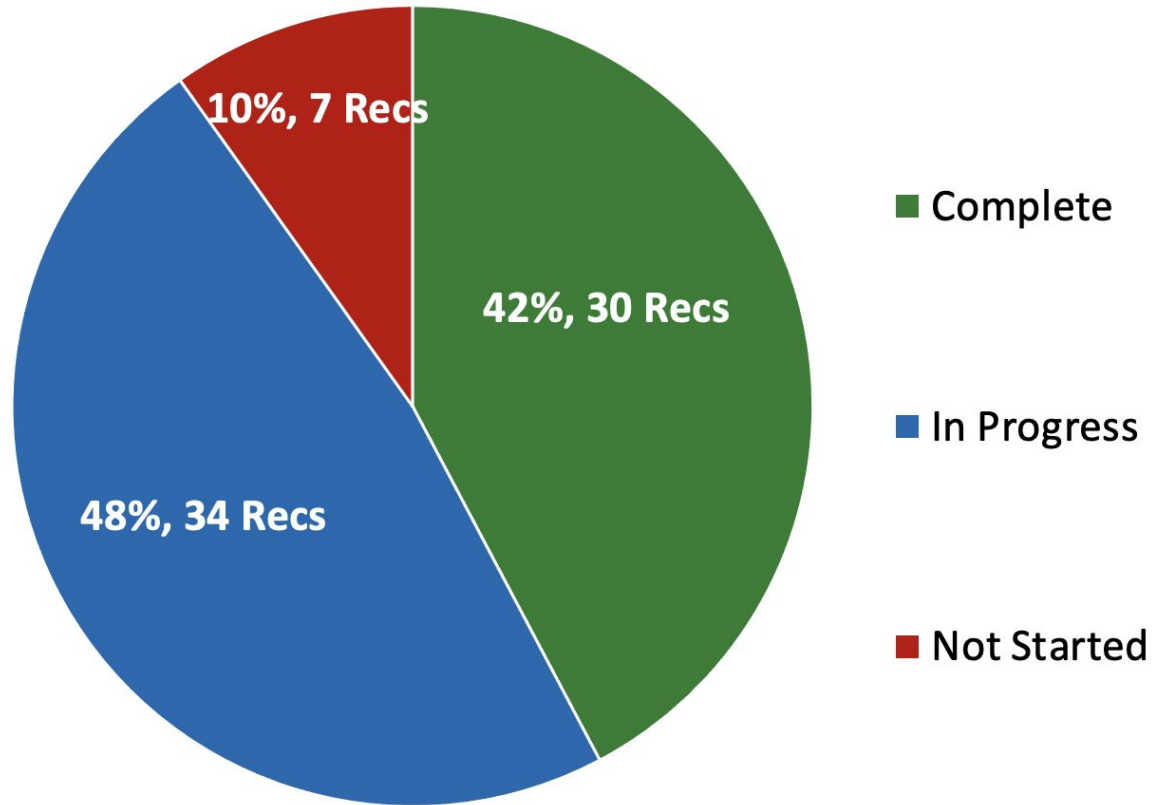
Status of Specific Reviews

Review	#	# of Board-Approved Recommendations	# of Recs. Pending Board Consideration
<u>Accountability and Transparency Review</u>	ATRT3	15	N/A
<u>Competition, Consumer Trust, and Consumer Choice Review</u>	CCT	17	4
<u>Registration Directory Service Review</u>	RDS-WHOIS2	15	4
<u>Security, Stability, and Resiliency Review</u>	SSR2	24	1
<i>All four Reviews in Implementation Phase</i>		71	9

Specific Reviews Implementation - Progress Over Time



Status of Implementation



Specific Reviews – Status of 71 Recommendations - Sept 23

ATRT3

- Public input enhancements: [1.1](#), [1.2](#)
- Reviews [3.1](#), [3.3](#)

CCT

- Data collection: [16](#), [17](#), [18](#),
- Subsequent Procedures [30](#), [31](#)

RDS-WHOIS2

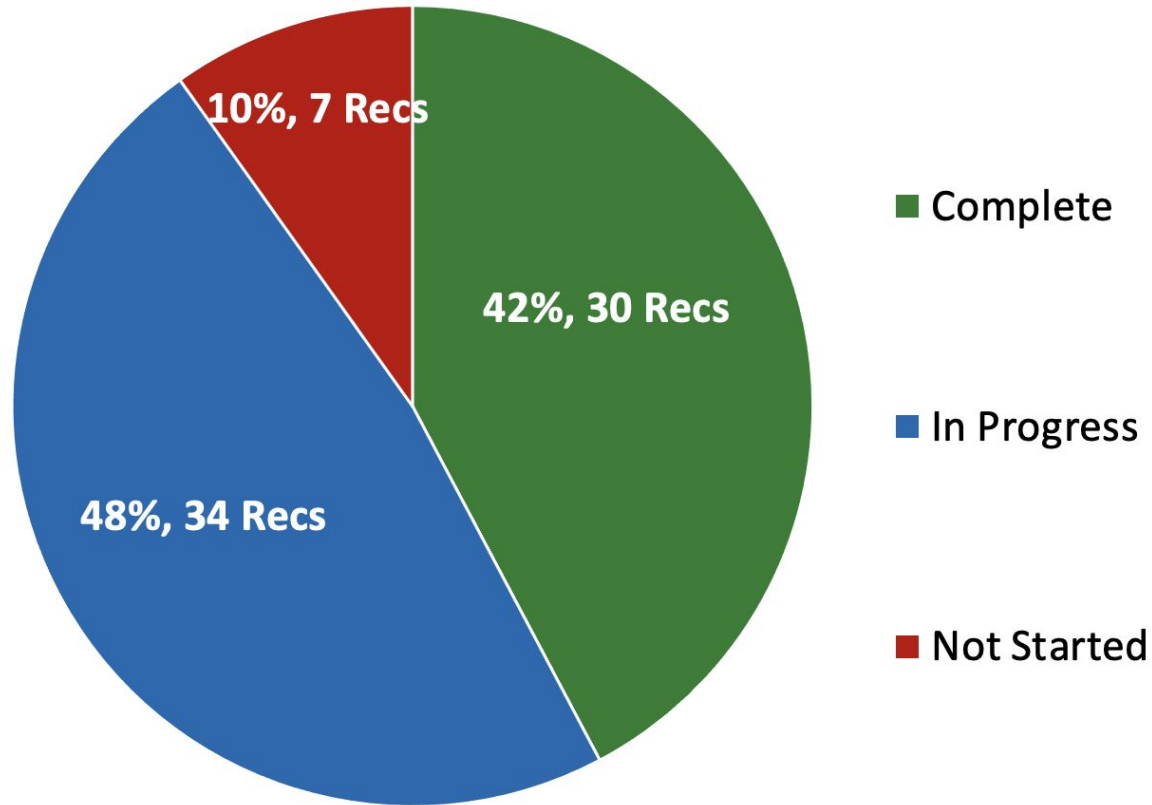
- Mechanism to monitor legislative/policy developments: [1.1](#), [1.2](#)
- Board activities on RDS: [1.3](#)
- Common interface display: [11.2](#)
- Implementation reporting: [15.1](#)
- Data collection: [LE.1](#), [LE.2](#)
- Resourcing: [CC.3](#)

SSR2

- Documentation of SSR related budgeting: [3.2](#), [3.3](#),
- Security Risk Management framework: [4.1](#),
- Business Continuity and Disaster Recovery plans: 7.1, 7.2, 7.3
- Compliance: [9.1](#), [13.2](#)
- DNS abuse webpage: [10.1](#),
- Access to Centralized Zone Data Service: [11.1](#)
- Web improvements: [16.1](#),
- EBERO: [24.1](#), [24.2](#)

Complete
(30
Recs.)

Status of Implementation



Specific Reviews – Status of 71 Recommendations - Sept 23

In Progress (34 Recs.)

ATRT3:

- ATRT2 implementation: 2
- Reviews: 3.2, 3.4, 3.5, 3.6
- Strategic planning: 4.1, 4.2, 4.3, 4.4, 4.5
- Prioritization/Process to retire: 5

CCT:

- Data collection model: 1
- Data collection/studies: 6, 7, 8, 11, 13, 20, 21, 22, 23, 24, 26

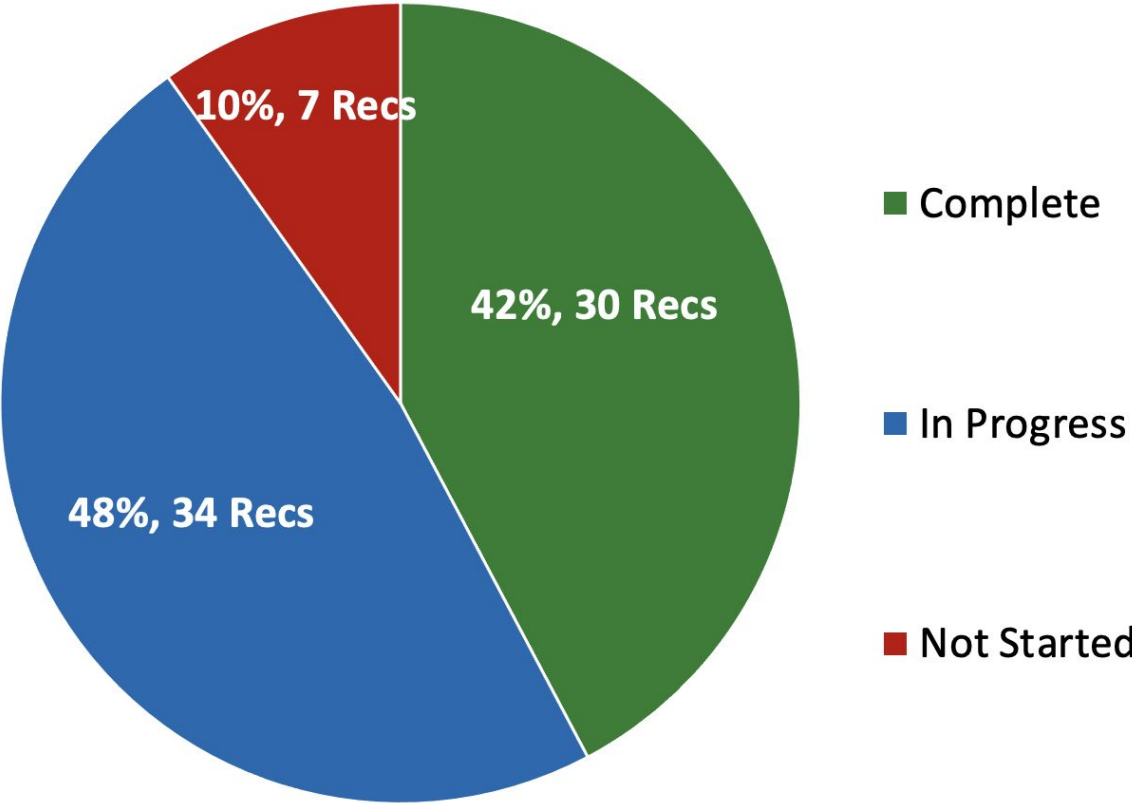
RDS-WHOIS2:

- Web information/educational materials: 3.1
- Contracts: SG.1
- Registration data: CC.2

SSR2:

- SSR1 implementation 1.1
- Information security management system: 5.1, 5.2
- External parties: 5.3
- Business Continuity and Disaster Recovery plans: 7.5
- Root Zone Management System: 21.1
- Reporting: 22.1
- DNSKEY algorithm rollover: 23.2

Status of Implementation



Specific Reviews – Status of 71 Recommendations - Sept 23

Not Started (7 Recs.)	To Begin (2 Recs.)	<u>RDS-WHOIS2:</u> - Contracts: CC.1 <u>SSR2:</u> - Reporting: 5.4
	Due to Dependencies (5 Recs.)	<u>RDS-WHOIS2:</u> - Outreach: 3.2 - RDS3: 10.2, 12.1 <u>SSR2:</u> - Community feedback: 22.2 - DNSSEC Practice Statement: 23.1

Reporting on Specific Reviews

✓ [Quarterly updates](#)

- Implementation documentation reports for each complete recommendation available within the respective Specific Review section
- Org and wiki page updates as work progresses

ICANN.org	• ATRT Review • CCT Review • RDS Review • SSR Review
Community wiki	• Third Accountability and Transparency Review Team (ATRT3) • Competition, Consumer Trust and Consumer Choice Review (CCT) • Second Registration Directory Service Review (RDS-WHOIS2) • Second Security, Stability and Resiliency of the DNS (SSR2)

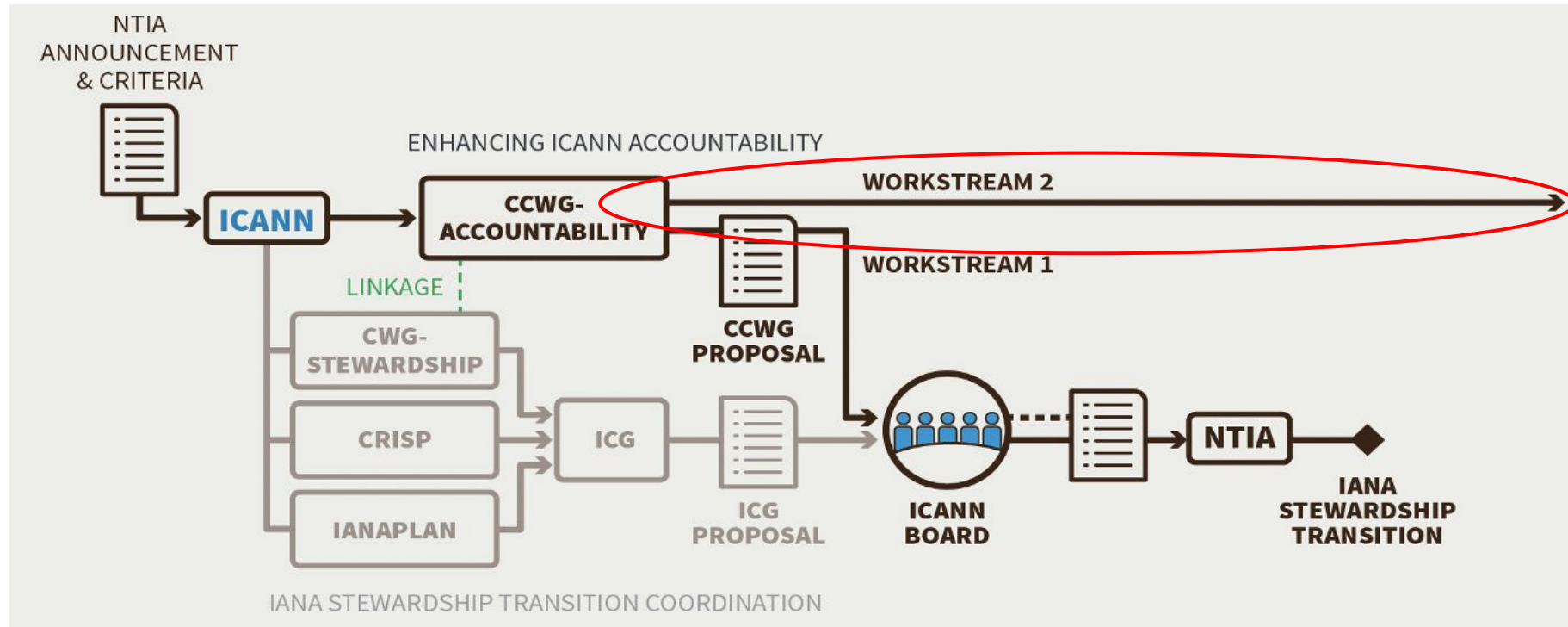
Q&A

Enhancing ICANN Accountability

Work Stream 2 Implementation

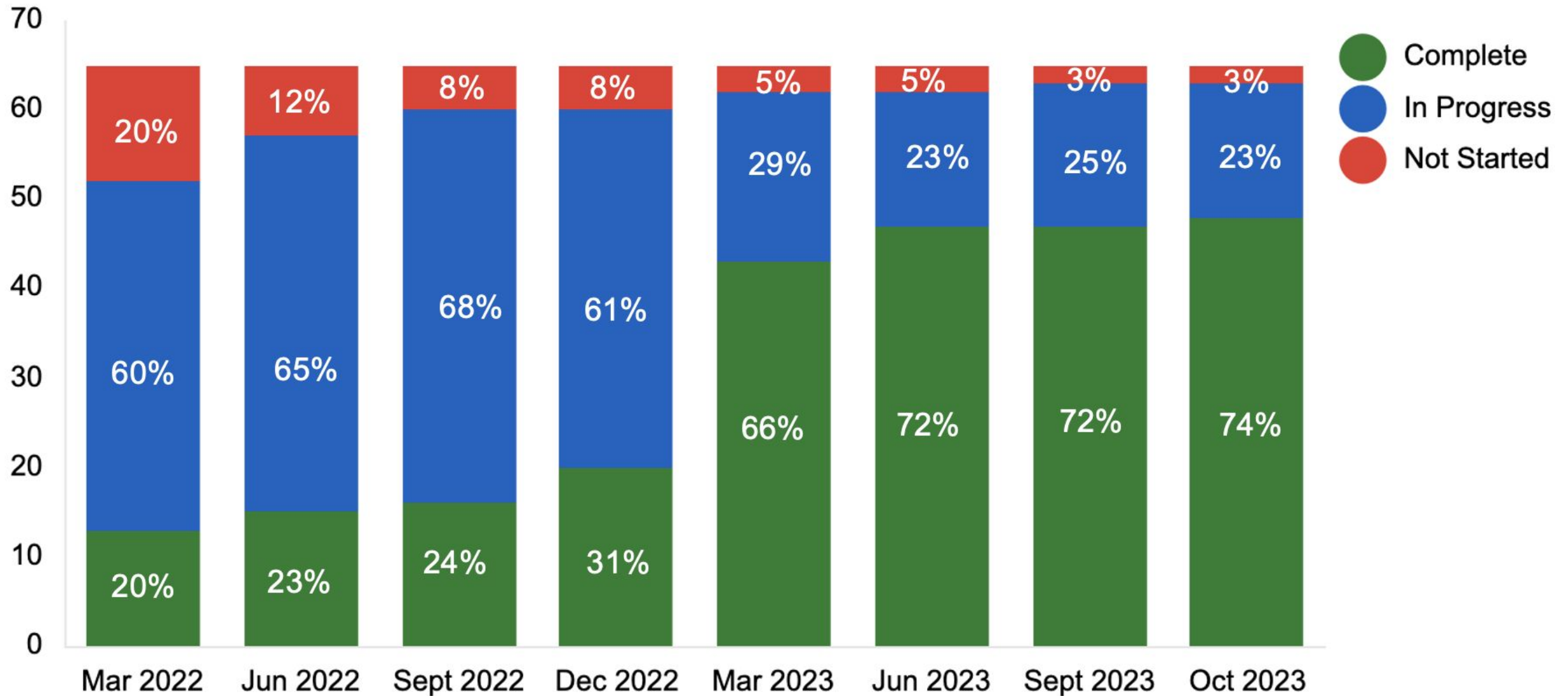
What is Work Stream 2?

WORK STREAM 1: Focused on mechanisms that must be in place or committed to within the timeframe of the IANA Stewardship Transition - **COMPLETE**

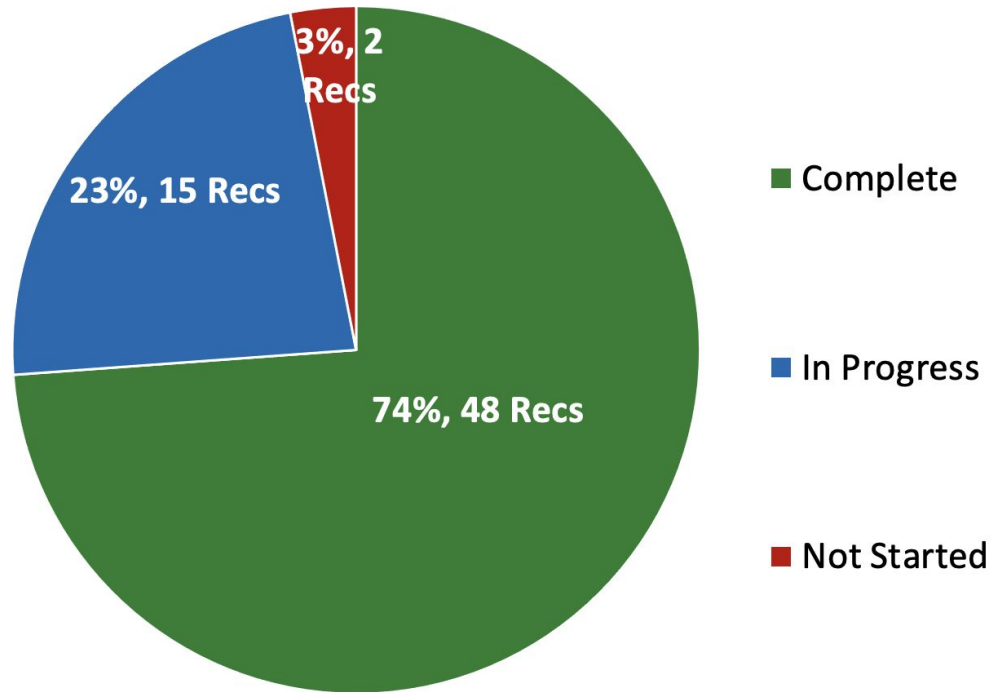


WORK STREAM 2: Focused on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition - **DEVELOPING SOLUTIONS COMPLETE - IMPLEMENTATION IN PROGRESS**

Work Stream 2 - Org Implementation - Progress Over Time

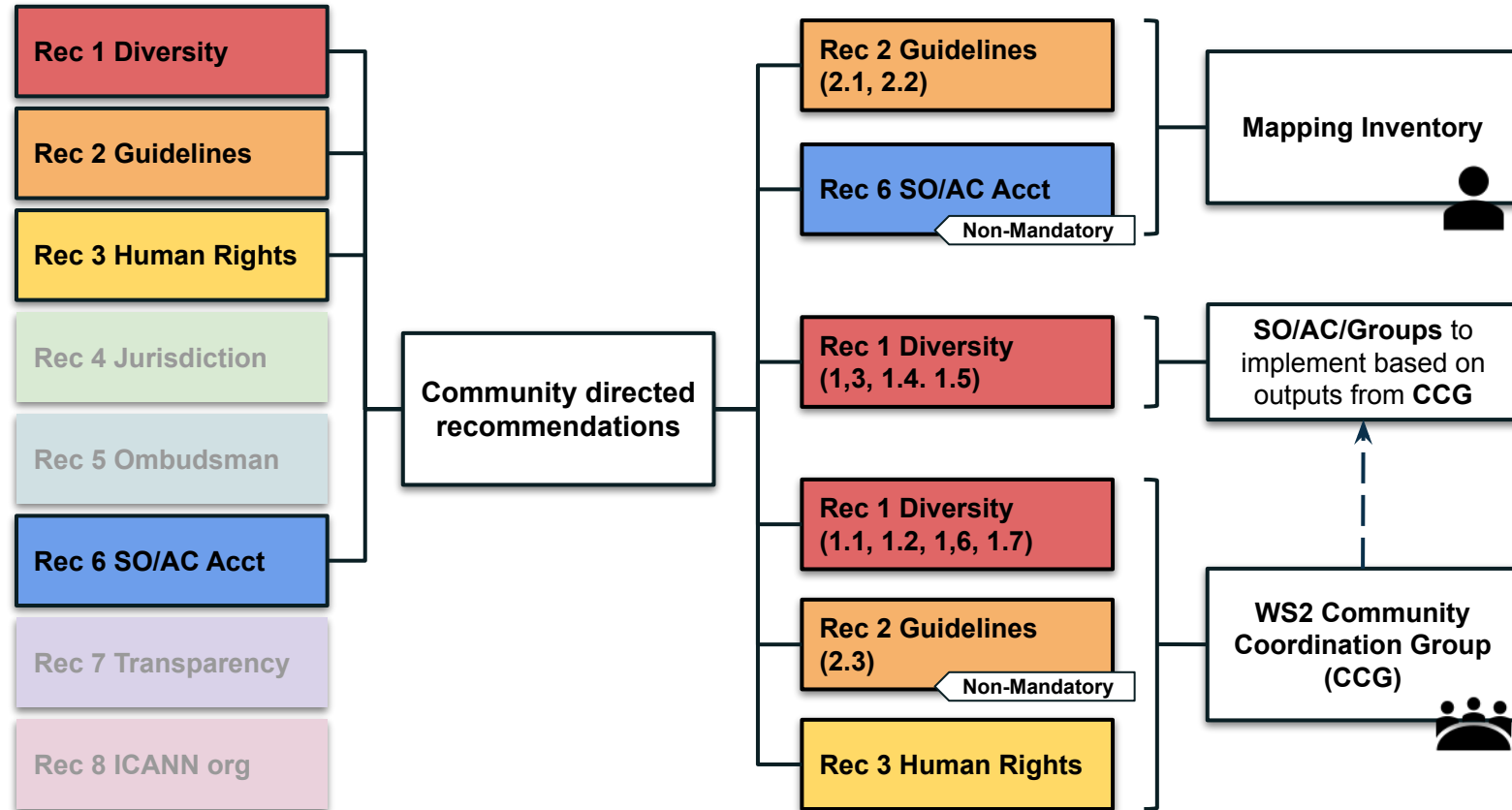


Status of Org Implementation



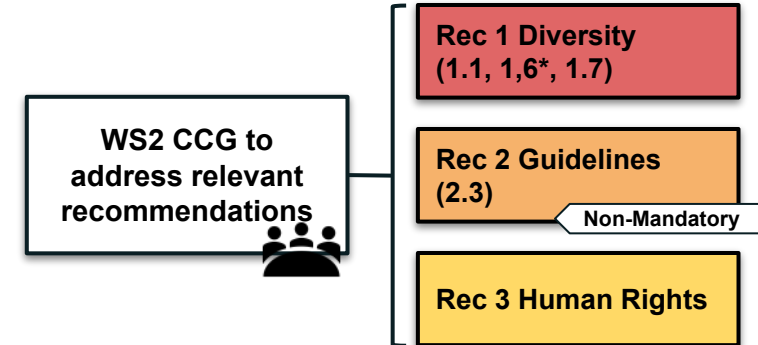
WS2 Implementation – Status of 65 Recommendations (Oct 23)	
Complete (48 Recs.)	Diversity: 1.1, 1.2, 1.3, 1.4, 1.5, 1.8 Human Rights Framework of Interpretation: 3.1 Jurisdiction: 4.1.1, 4.1.2, 4.1.3, 4.2 ICANN Office of the Ombuds (IOO): 5.6, 5.7 Staff Accountability: 7.1.1, 7.1.2, 7.2.1, 7.2.2, 7.3 Transparency: - ICANN's Documentary Information Disclosure Policy (DIDP): 8.1.1, 8.1.2, 8.1.3, 8.1.4, 8.1.5, 8.1.6, 8.1.7, 8.1.8, 8.1.9, 8.1.10, 8.1.11, 8.1.12, 8.1.13, 8.1.14, 8.1.15, 8.1.17, 8.1.18, 8.1.19, 8.1.20, 8.1.21 - Documenting and Reporting on ICANN's Interactions with Governments: 8.2 - Transparency of Board Deliberations: 8.3.1, 8.3.2 - Improving ICANN's Anonymous Hotline (Whistleblower Protection): 8.4.1, 8.4.2, 8.4.3, 8.4.4, 8.4.5, 8.4.6, 8.4.7
In Progress (15 Recs.)	Diversity: 1.6, 1.7 Jurisdiction: 4.1.4 IOO: 5.1, 5.2, 5.4, 5.5, 5.9, 5.10, 5.11 SO/AC Accountability: 6.1.7, 6.3.6 Transparency: - DIDP: 8.1.16 - Transparency of Board Deliberations: 8.3.3 - Improving ICANN's Anonymous Hotline: 8.4.8
Not Started (2 Recs.)	IOO: 5.3, 5.8

WS2 Community Implementation



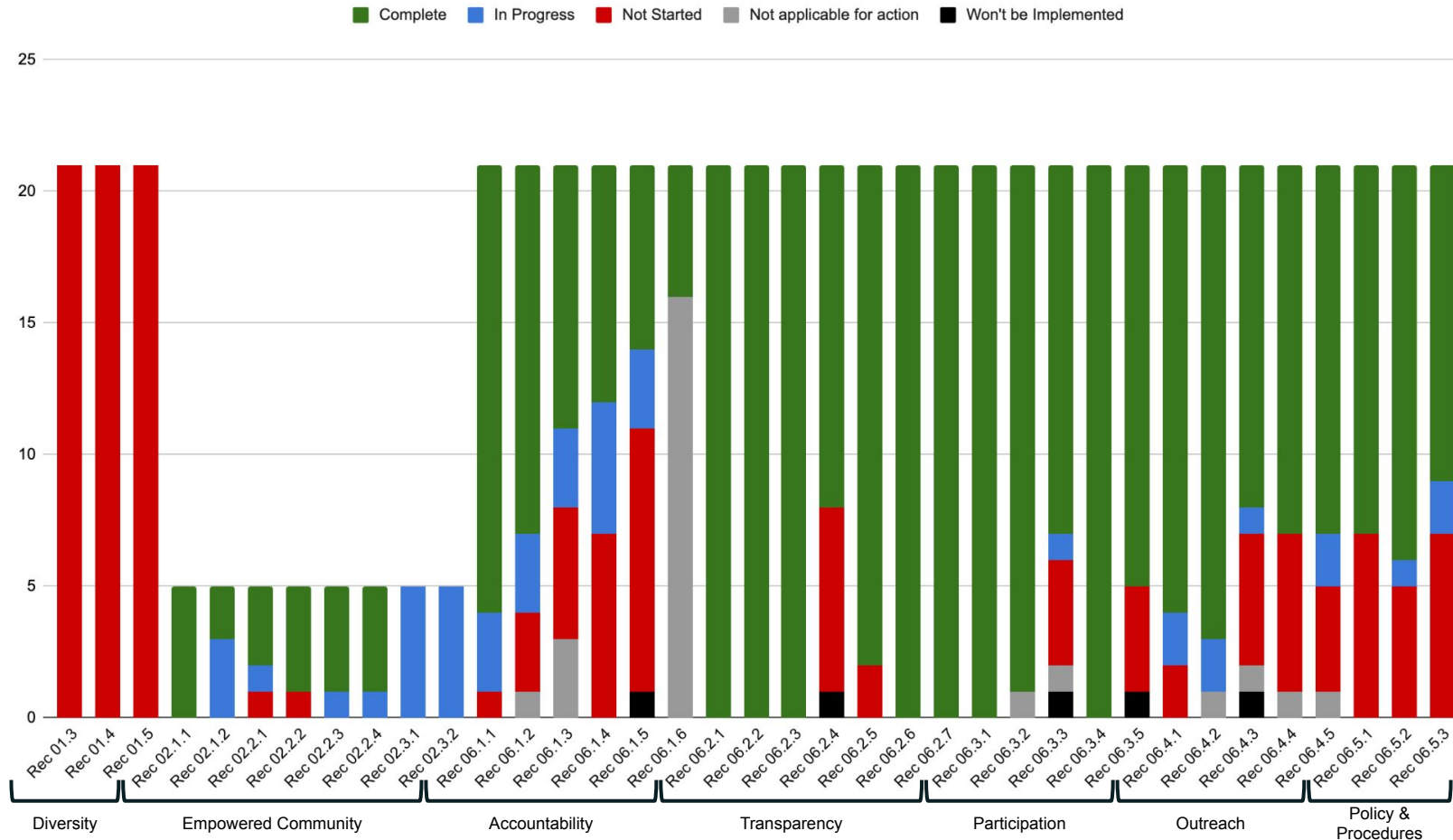
WS2 Community Coordination Group (WS2-CCG)

- SO/AC Chairs agreed to form a **lightweight coordination group** in December 2021
 - Serve as a **central point to exchange** best practices, lessons learned, and sharing information and progress
 - Address topics that can **benefit from a uniform, community-wide approach**
 - **No decision making authority**
 - Each SO, AC, GNSO SG, RALO **appointed one (1) member (except ASO)** and had the option to appoint an alternative
 - Operate in a **transparent** fashion
- 17 meetings to date
 - Published an Outcomes Report for Recommendation 1.1 and a draft Outcomes Report for Recommendation 1.7 is close to completion
 - Participants from relevant groups shared the work and the approach of their groups on Recommendation 3.1
 - Completed work to inform org in elaborating the **diversity toolkit** (Rec. 1.6)
 - Currently addressing Recommendation 2.3

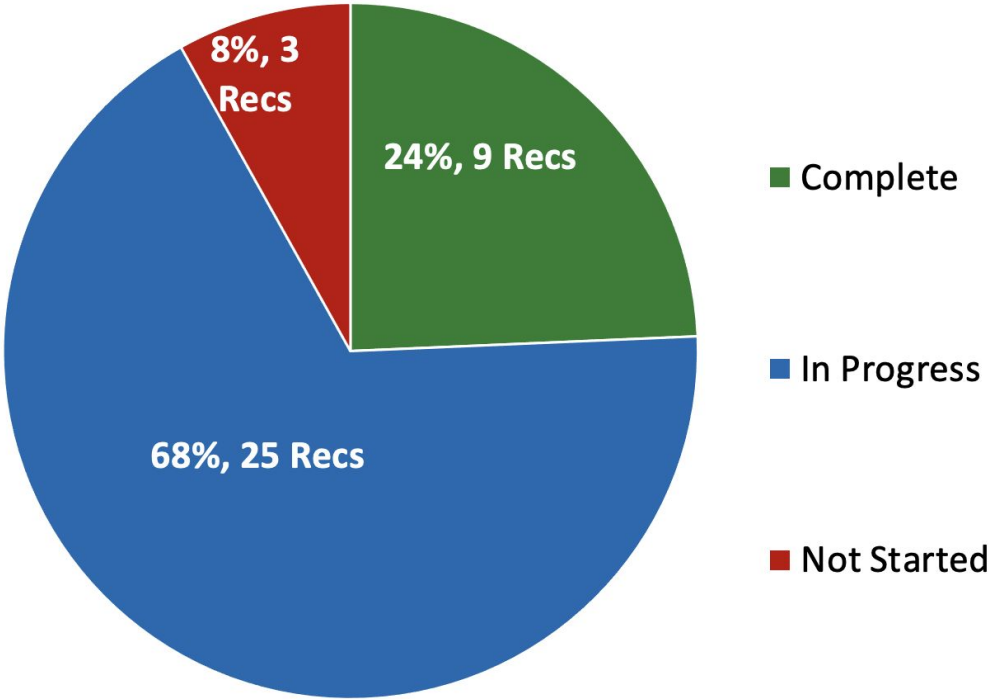


Status of Individual Groups' Implementation

- A repository of **Recommendations 1, 2, and 6** were created for each SO/AC/Group (21 groups in total).
 - Recommendation 2 only applies to the participants of Empowered Community (5 groups in total).
- Status of each recommendation was determined or is being determined by each community group supported by ICANN org.



Status of Community Implementation



WS2 Implementation – Status of 37 Community Recommendations		
<i>*co-owned with ICANN org</i>		
Complete (9 Recs.)	SO/AC Accountability: - Accountability: 6.1.6 - Transparency: 6.2.1, 6.2.2, 6.2.3, 6.2.6, 6.2.7 - Participation: 6.3.1, 6.3.2, 6.3.4	
In Progress (25 Recs.)	Diversity: 1.1*, 1.2*, 1.7* Guidelines for Good Faith: 2.1, 2.2, 2.3 Human Rights Framework of Interpretation (1 Rec.): 3.1* SO/AC Accountability (18 Recs.): - Accountability: 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.1.5 - Transparency: 6.2.4, 6.2.5 - Participation: 6.3.3, 6.3.5, 6.3.7 - Outreach: 6.4.1, 6.4.2, 6.4.3, 6.4.4, 6.4.5 - Update to Policies and Procedures: 6.5.1, 6.5.2, 6.5.3	
Not Started (3 Recs.)	Will start after the completion of another WS2 recommendation	Diversity: 1.3*, 1.4*, 1.5*

Reporting on Work Stream 2 Implementation

✓ [Quarterly updates](#)

- Implementation documentation reports
- Org and wiki page updates as work progresses

ICANN.org	● Central page
Community wiki	● Central page ○ Org implementation ○ Community implementation ■ WS2 Cross Community Work Group (CCG)

Q&A

Engage with ICANN



Thank You and Questions

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