Agenda

- Background
- Methodology
- Roles and Responsibilities
- Communication
- Questions & Answers
Background
Background

• Affirmation of Commitments (AoC) review (section 9.1)
  o Ensure ICANN's multi-stakeholder model remains transparent and accountable
  o Improve its performance

• Organization-wide commitment to continuous improvement

• No less frequently than every three years:
  o ATRT1: 27 recs (Mar-Dec 2010)
  o ATRT2: 12 recs (Feb-Dec 2013)
Background

Reviews are performed by volunteers (16 individuals for ATRT2)

Volunteer SO/AC representatives (selected by Chair of GAC – in consultation with GAC members & Chair of ICANN Board)

Chair of GAC (or designated nominee)

Chair of ICANN Board (or designated nominee)

Assistant Secretary for Communications & Information of the DOC
# ATRT2 Review Milestones

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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</thead>
<tbody>
<tr>
<td>31 Dec 2013</td>
<td>ATRT2 report and recommendations</td>
</tr>
<tr>
<td>26 June 2014</td>
<td>Board adoption of 12 recommendations (consisting of 51 subcomponents)</td>
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<tr>
<td>July 2014</td>
<td>Implementation Kickoff</td>
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</table>
# 12 Recommendations

## Areas

<table>
<thead>
<tr>
<th>Areas</th>
<th>Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board Performance and Work Practices</td>
<td>## 1, 2, 3</td>
</tr>
<tr>
<td>Policy/Implementation/Executive Function</td>
<td># 4</td>
</tr>
<tr>
<td>Decision Making Transparency &amp; Appeals</td>
<td>## 5, 7, 9</td>
</tr>
<tr>
<td>GAC Operations and Interactions</td>
<td># 6</td>
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<tr>
<td>Multilingualism</td>
<td># 8</td>
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<tr>
<td>Cross-Community Deliberation</td>
<td># 10</td>
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<tr>
<td>AoC Review Process Effectiveness</td>
<td># 11</td>
</tr>
<tr>
<td>Financial Accountability &amp; Transparency</td>
<td>#12</td>
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</tbody>
</table>

**51 components**

#ICANN51
Implementation Methodology - Project Management Discipline
Implementation Methodology

- Designed based on global standards
  - Project Management Institute (PMI) best practices
  - Achieve professional excellence
- Clear scope definition
- Company-wide resource planning
- Monitoring against timelines
- Consistent reporting
- Pilot to improve approach to all AoC reviews

#ICANN51
Effective Project Management

Benefits

- Clear scope definition
- Objectives and metrics defined
- Risk mitigation
- Schedule & budget developed and agreed
- Issue management & escalation process
- Company-wide resources planning
- Monitoring against timelines
- Consistent reporting

INITIATING

PLANNING

EXECUTING

CLOSING

EXECUTIVE MANAGEMENT

PROJECT MANAGEMENT

CONTROLS

• Setting Metrics
• Exercising Control
Lifecycle of a Recommendation

- **Board Action on ATRT2 Recommendations**
- **Initiate Project**
- **Execute**
- **Plan**
- **Close Project/Move to Operations**
- **Next Review cycle: ATRT3**
Roles and Responsibilities
Program Hierarchy

ATRT2 Recommendation Implementation Program

Project 1
  - Rec #1
    - Subproject 1
      - Project 1a
    - Subproject 2
      - Project 1b
    - Subproject 3
      - Project 1c

Project 2
  - Rec #2

Project 3
  - Rec #3

Project 11
  - Rec #11
    - Subproject 1
    - Subproject 2
    - Subproject 3
    - Subproject 4

Project 12
  - Rec #12
Roles and Responsibilities

Advisory Committee
Susanna Bennett, John Jeffrey, Theresa Swinehart, Sally Costerton

Oversight

Program Manager
- Planning facilitation
- Progress monitoring
- Ongoing risk analysis
- Consistent reporting

Project Owner
- Planning & implementation
- Securing resources
- Performance tracking
  - KSFs
  - KPIs

Project Sponsor
- Allocation of resources and budget
Communication
Reporting Mechanisms

• ATRT2 Implementation Program Wiki
• ICANN Meetings (beginning with ICANN 51)
• ICANN website
• Periodic webinars
• Blogs
Welcome to the ATRT2 Implementation Program Wiki!

On this space you will find information on ATRT2 implementation initiatives and activities, including key performance indicators and other relevant metrics.

31 December 2013 - The Second Accountability & Transparency Review Team (ATRT2) delivered 12 recommendations to the ICANN Board for Directors. Read the ATRT2 recommendations and report here (translations available).

26 June 2014 - The ICANN Board of Directors accepted all ATRT2 Recommendations and directed the President and CEO, through his designee(s), to proceed with implementation. More here.

The implementation of recommendations from the Second Accountability and Transparency Review Team (ATRT2) began in June 2014, as soon as ICANN's Board of Directors accepted the recommendations through resolution directing ICANN to begin the work.

Implementation Executive Summary

Executive Summary

ATRT2 Recommendation 1 Implementation
Board Performance and Work Practices
6 October 2014

Major Milestone Timeline

Project Status
Progress

• Projects implementing all 12 recommendations initiated
• Projects undertaking formal planning process
• Several projects executing initial implementation steps
ATRT2 Recommendation 7 Implementation
Public Comment Improvements
6 October 2014

Major Milestone Timeline

| Status | TBD |

Project Status

This project has both short term and long term components. ICANN is currently in the process of mapping out specific tasks, milestones, deliverables and dependencies to implement both aspects of the ATRT2 recommendation.

The team has identified the following short term process areas for changes and enhancements: Discontinuation of the reply cycle phase of comment forums; default 40 day public comment forum period; clarified expectations for staff summary report timing. The capability to permit an individual or organization to register a formal inquiry that staff review to make specific amendments to a particular Staff Summary Report has been developed and is awaiting IT implementation.

Over the longer term ICANN will: investigate new software tools to improve the public comment process and potentially incorporate threaded comments in appropriate circumstances; will identify further dates and milestones as IT development expectations are clarified.

Status of Deliverables

<table>
<thead>
<tr>
<th>Phase</th>
<th>Status</th>
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</thead>
<tbody>
<tr>
<td>Project Initiation Phase</td>
<td>✔</td>
</tr>
<tr>
<td>Planning Phase</td>
<td>¡</td>
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<tr>
<td>Execution Phase</td>
<td></td>
</tr>
<tr>
<td>Operationalize Plans</td>
<td></td>
</tr>
</tbody>
</table>

✓ = complete
● = on schedule
○ = behind schedule, expect to recover
û = behind schedule, do not expect to recover

Implementation Notes

Limited IT resources will impact implementation timing and specific plans for longer term software implementation associated with the new tools being explored. The implementation team is working closely with IT leadership to develop realistic plans and to identify meaningful implementation options based on the importance of ATRT2 implementation relative to other projects.
Useful Information


• ATRT2 Wiki - https://community.icann.org/pages/viewpage.action?pageId=40176025

• ATRT2 Implementation Program Wiki - https://community.icann.org/display/prgrmatrt2impl/ATRT2+Implementation+Program+Home

Questions & Answers