

Finance Open Session

FY13 Budget





Session Goals

- *Provide Update on FY13 Budget*
- *Outline Public Comments incorporated into the draft FY13 Operating Plan and Budget*
- *SO AC Budget Request Process and Implementation*



FY13 Budget Update

Since May 1 (draft posted for public comment):

- *Modifications per Community and/or Board*
- *Modifications per New gTLD Strings Reveal*
- *Modifications per Staff Review*

FY13 Budget Adjustments

- *IDN Variant project : reduction of \$250k*
- *Visual Similarity Process Enhancement project: increase of \$250k*
- *Uniform Rapid Suspension (URS) project: description and project scope update*
- *SO/AC budget request*
 - *Addition of the SSAC Annual Retreat*
 - *Addition of travel support for 3 officers of the NCSG*
- *New gTLD update: the budget is now based on 2000 applications assumption: the multiple scenarios approach has been removed*
- *Title of new gTLD cost caption: replaced “Independent Objector” with “Objection Process”.*



Public Comment: Categories

- *Budget Process / Content of Document*
- *New gTLD*
- *Core Operations*
- *Project Work*
- *Community Budget Requests*
- *Planning*
- *Public Comment Process*

<http://forum.icann.org/lists/op-budget-fy13/>



Public Comments: Overall

- *Planning*
 - *Strategic Planning*
 - *FY13 Priorities*
 - *Early Community Involvement*
- *Budget Document Overall*
 - *More detail overall required*
 - *Detail on Board approval process*
- *Core Operations*
 - *More detail of functional area costs*
 - *More detail on rationale and decision making expenses*
 - *Further breakdown of costs overall*

Public Comments: Cont'd

- *Project Work*
 - *More detail, presentations, publications required overall*
- *Public Comment*
 - *Process not taken seriously, inadequate*
- *Community Requests*
 - *Approval and Implementation details*
 - *Travel support clarification*



Public Comments: New gTLD

- *Trademark Clearinghouse (\$438,000)*
- *Independent Objector - \$25 million budgeted*
- *Use of Excess Funds*
- *Applicant Support – why drop in \$; how funded*
- *2nd Round – over staffed*
- *Allocation of Application fees across FY12-14?*
- *Update to Application Scenarios requested*
- *Historical Costs, Risk Fund, Refunds and Repayment timeline need update*

SO AC Requests

- *Process Overview*
- *Highlights: participation opportunities, outreach and training, travel support*
- *Outline implementation plan for actions in Notes*
 - *Approach retained on similar requests*
 - *Example of Note 2: Intercessional meeting*

<http://www.icann.org/en/about/planning/ops-budget/community-requests-07mar12-en.htm>



Summary

- *Community ideas for next steps*
- *Outstanding issues documented*



SO AC Request Notes

- *Note 1: For FY13, ICANN will establish a fund for IGF-Baku participation. Coordinated by ICANN GP staff, representatives from RALOs and GNSO Stakeholder groups will be invited to contribute to planning ICANN's participation in each event. ICANN proposes to devote 90,000 to that amount for the 2012 IGF. Expenses would include staff coordination time, reception and travel support to the IGF for agreed-upon ICANN travelers.*
- *Note 2: A number of ICANN communities have proposed funding for intercessional meetings and outreach events in various regions. ICANN believes that this presents potentially valuable opportunities for outreach and capacity building. Before committing to a host of various requests, this two-day January 2013 pilot program (in a hub city) will allow the organization to explore this idea and will serve to gather participants from all non-contract communities. One day of the program will offer communities the opportunity to reach out to prospective members and the second will provide cross-community collaboration opportunities. Expenses would include staff coordination time, and travel support and limited scribing, recording and transcripts.*
- *Note 3: ICANN recognizes the need for outreach. \$30,000 in core resources have been added for publications assistance to the PDS budget. Five (5) constituencies* 6000=30,000. These funds will be available for ICANN to arrange printing, translation (up to 2 languages) and shipping of publications to ICANN Public meetings. Not co*



SO AC Request Notes

- *Note 4: ICANN agrees that in-person leadership participation at ICANN Public Meetings is valuable and that support would offer communities flexibility to use available funds for other matters. ICANN has granted travel support of 3 officers of the NCSG Executive Committee to participate in the FY13 ICANN Meetings. Given that there are only two ICANN meetings in FY13, ICANN commits 3 travels slots per each non contract GNSO Constituency to fund Constituency leadership or their designates' travel to ICANN Public Meetings. Additionally, SSAC request of 5 additional travel support slots has been approved. It is hoped that the funding of additional leadership participation will help promote local outreach where the ICANN meetings are being held, and perhaps provide flexibility in the use of other Constituency funds for more targeted community outreach at other times in the year.*
- *Note 5: Due to a variety of concerns about employment rules and tracking of financial expenses, ICANN is not able to grant these requests for FY13. Individual communities will have to fund these costs directly. It is hoped that relief in a number of other areas will free-up funds for these types of expenses. ICANN commits to looking carefully at this area to see if such arrangements can be funded in future fiscal years.*
- *Note 6: ICANN recognizes the need for capacity building for the SO/AC, to that effect ICANN will provide \$100,000 of funds to support various face to face meetings and workshops.*



SO AC Request Notes

- *Note 7: The ICANN Academy concept is still being developed and reviewed within the SO-AC groups and further consensus is ongoing through a process led by ALAC/At-Large. While this process is ongoing, in early anticipation of the direction of the effort, a staff pilot process will be focused on executive training of new SO/AC leaders in ICANN organizations, policy making and related activities. The pilot would include usage of online tools where possible and an orientation session in Toronto. This pilot project should be seen as a first step in testing the overall concept and providing a basis for a future training program as well as experience to build on for future improvements if deemed necessary.*



Thank You



Questions