



Draft FY14 Budget Process

Finance Ad Hoc Community Group Proposal

*Toronto Meeting presentation
14 October 2012*



Agenda

- I. Introductions and Agenda overview
- II. Community leaders to share Objectives of group, Key outcomes, What still needs to be completed – (Roelof, Chris, Chuck)
- III. FY 14 draft Budget Proposal that came out of Ad Hoc sessions
- IV. Community discussion on draft Budget Proposal
- V. Next Steps – how to manage interaction with community while building the Framework and draft Ops Plan and Budget



Ad Hoc Groups Working Sessions

- At the Prague meeting 3 Ad Hoc groups were formed
 - Budget Planning Process from Strategic viewpoint
 - Timeline of Budget Process
 - Structure and Content of Budget
- Multiple interactions – over 3 months
 - 3 conference calls per group
- Revised process proposal for FY14 posted for comments
Ad Hoc working group wiki
- Toronto Meeting: FY14 Budget Model Update



Draft

Process Improvement – Ad Hoc groups

Strategic Planning

- Clarity in Process
- Preplanned Interactions
- Clarity in linkage to Strat Plan

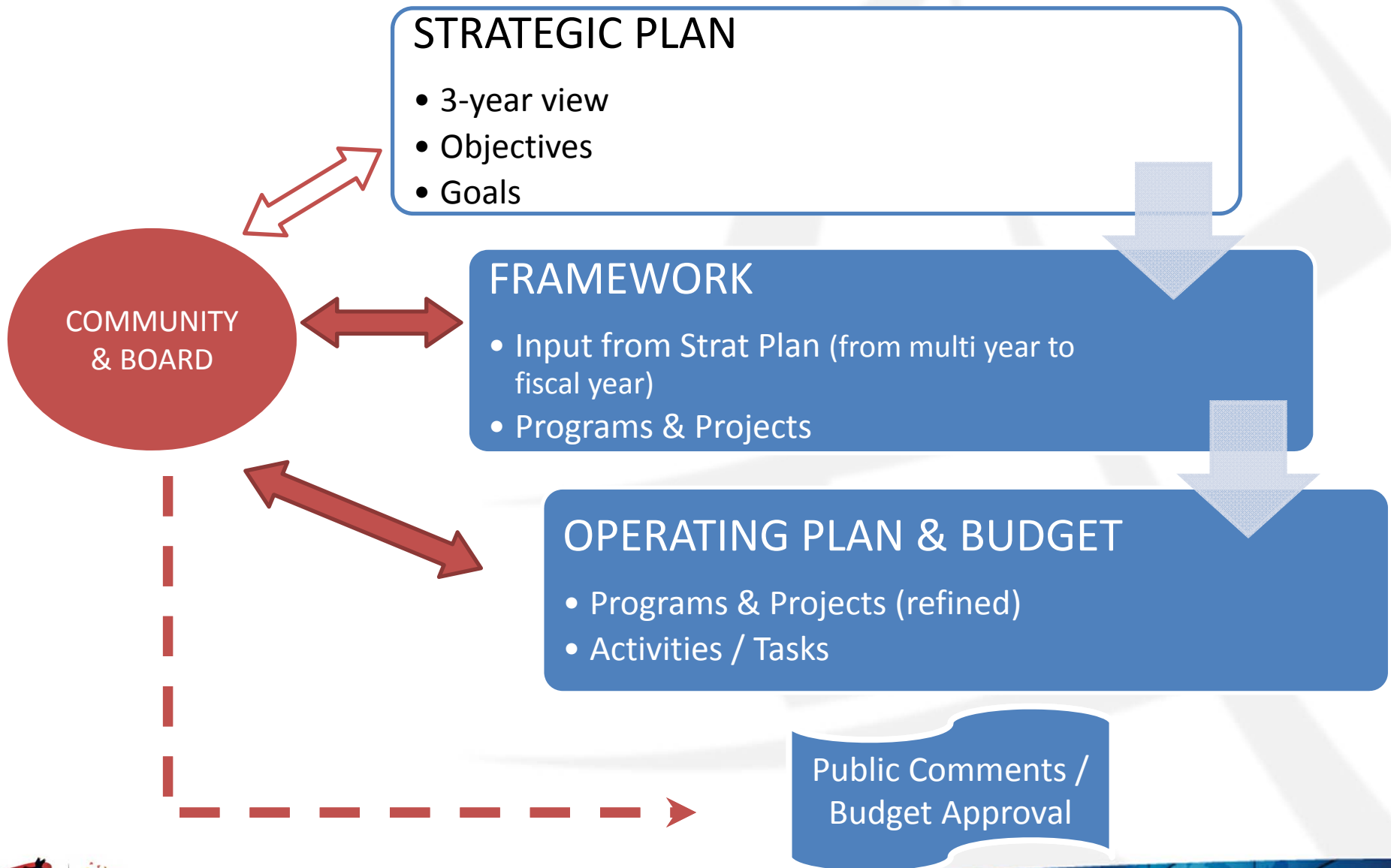
Timeline

- Planned and structured interactions
- Clarity in volunteers time commitment
- Expanded budget development time

Structure & Content

- Front Load community input
- Content is “known” prior to posting
- Takes into account community expectations

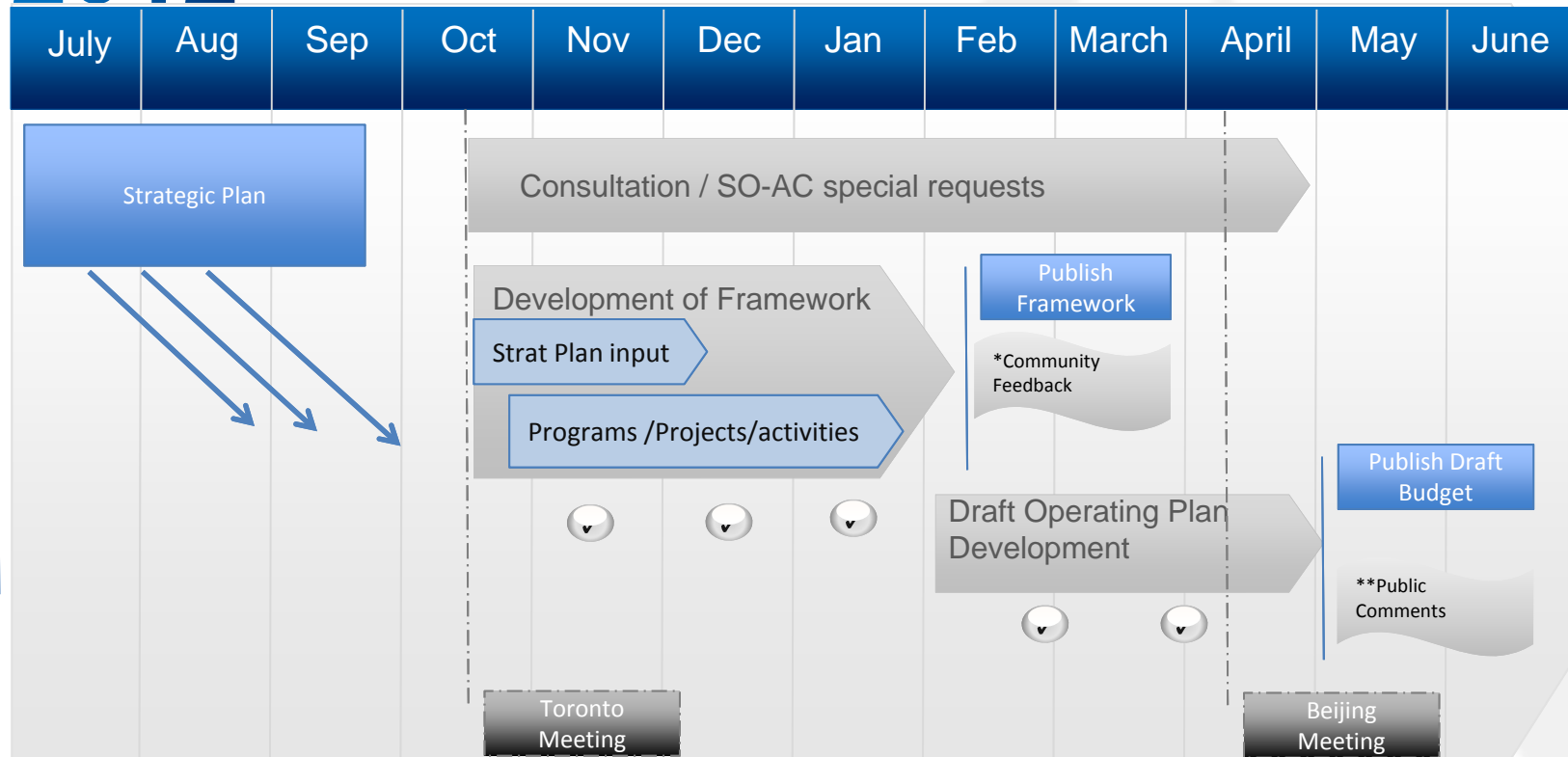
Planning Process




FY14 Budget Timeline

2012

2013



 Community and Staff interactions

* Directed Comments with focus areas to gather input

** Comment on Process, request for clarity on particular content area, or improvements only; not to be included in Adopted Plan



Draft

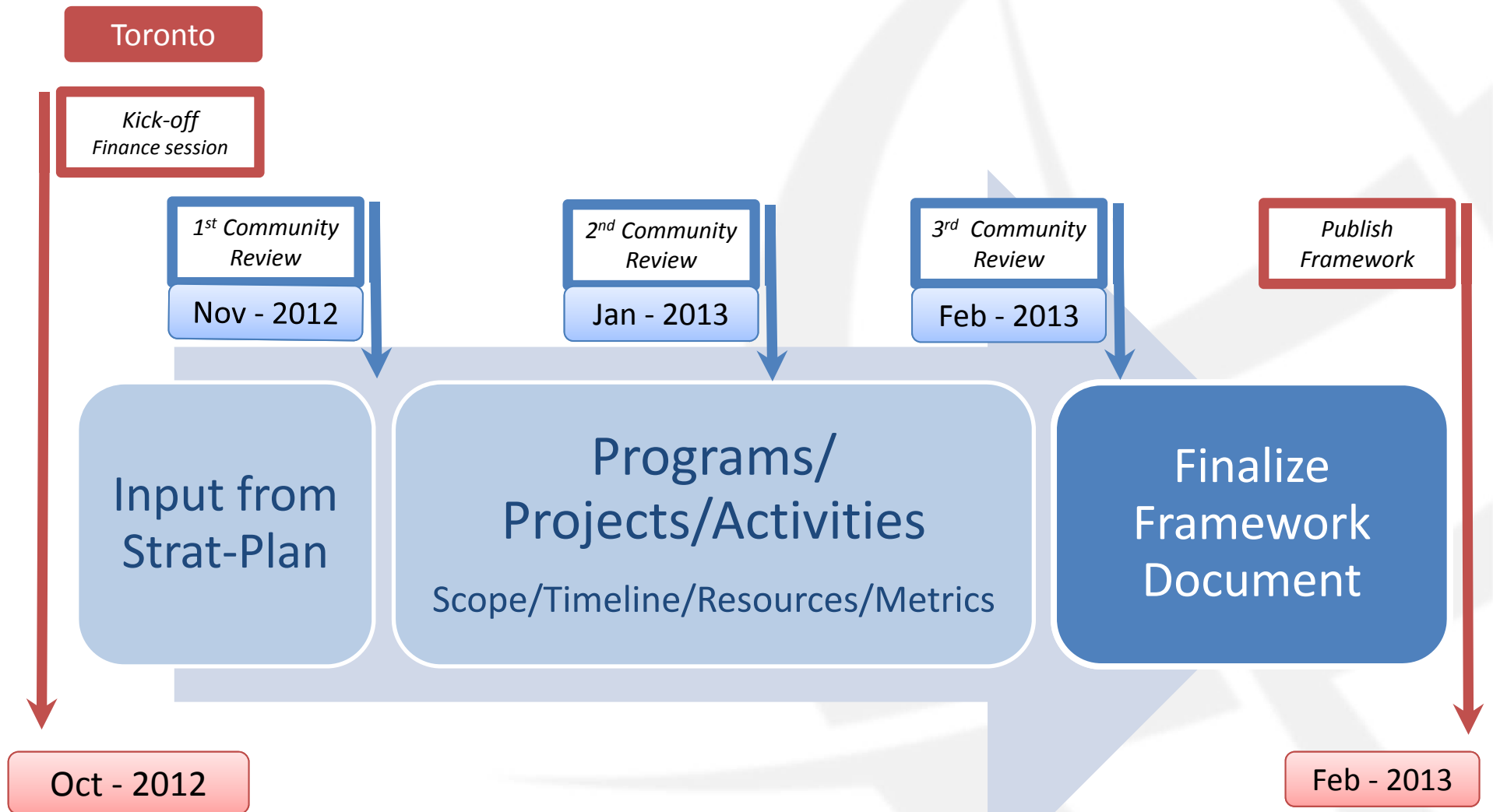
Community Interactions and Reviews

- Interactions Principles
 - Allow representation
 - Planned in advance with sufficient notice
 - Appropriate review time for documents
- Interactions Forms
 - Community Wiki
 - Teleconference
 - Webinar
 - Face to face meeting



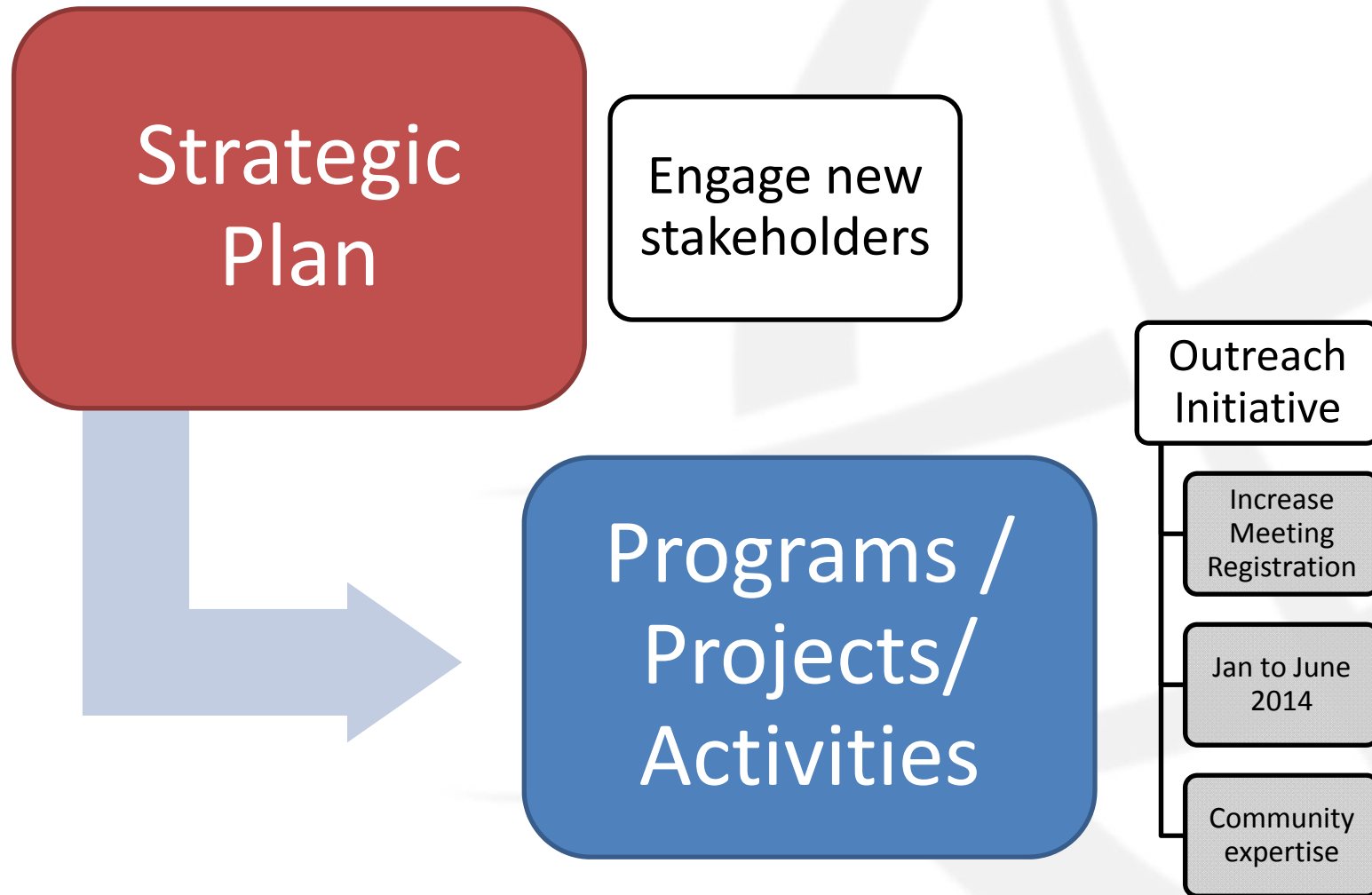
Draft

Framework Development



Draft

Framework Development



Framework Content

Functions	Core Activities								Programs & Projects					Total
	I-1	I-2	I-3	I-4	I-5	I-6	I-7	Total	P1	P2	P3	P4	Total	
0-New gTLD Program	\$	\$	\$	\$		\$		\$\$		\$		\$	\$\$	\$\$\$
1-Stakeholder Projects		\$		\$	\$		\$	\$\$			\$	\$	\$\$	\$\$\$
2-IDN	\$				\$	\$		\$\$		\$	\$		\$\$	\$\$\$
3-IANA	\$	\$			\$	\$	\$	\$\$	\$		\$	\$	\$\$	\$\$\$
4-Security		\$		\$				\$\$		\$			\$\$	\$\$\$
5-Compliance	\$	\$	\$			\$	\$	\$\$	\$		\$	\$	\$\$	\$\$\$
6-Meetings			\$	\$	\$		\$	\$\$		\$	\$		\$\$	\$\$\$
7-Community Support	\$	\$		\$	\$	\$	\$	\$\$	\$	\$		\$	\$\$	\$\$\$
8-Policy Development	\$	\$	\$	\$	\$		\$	\$\$		\$			\$\$	\$\$\$
9-Global Engagement		\$	\$		\$	\$	\$	\$\$	\$		\$	\$	\$\$	\$\$\$
10 - O E I		\$	\$	\$	\$	\$		\$\$	\$	\$		\$	\$\$	\$\$\$
11-Ombudsman	\$		\$	\$		\$	\$	\$\$	\$	\$	\$		\$\$	\$\$\$
12-Board Support	\$	\$	\$		\$	\$	\$	\$\$	\$		\$		\$\$	\$\$\$
13-Nom Com	\$	\$	\$	\$	\$	\$	\$	\$\$		\$	\$	\$	\$\$	\$\$\$
14-DNS Operations	\$	\$			\$	\$		\$\$	\$	\$	\$	\$	\$\$	\$\$\$
15-Org Improvement		\$	\$	\$				\$\$	\$			\$	\$\$	\$\$\$
Total ICANN	\$	\$	\$	\$	\$	\$	\$	\$\$	\$	\$	\$	\$	\$\$	\$\$\$



Draft

Framework Content

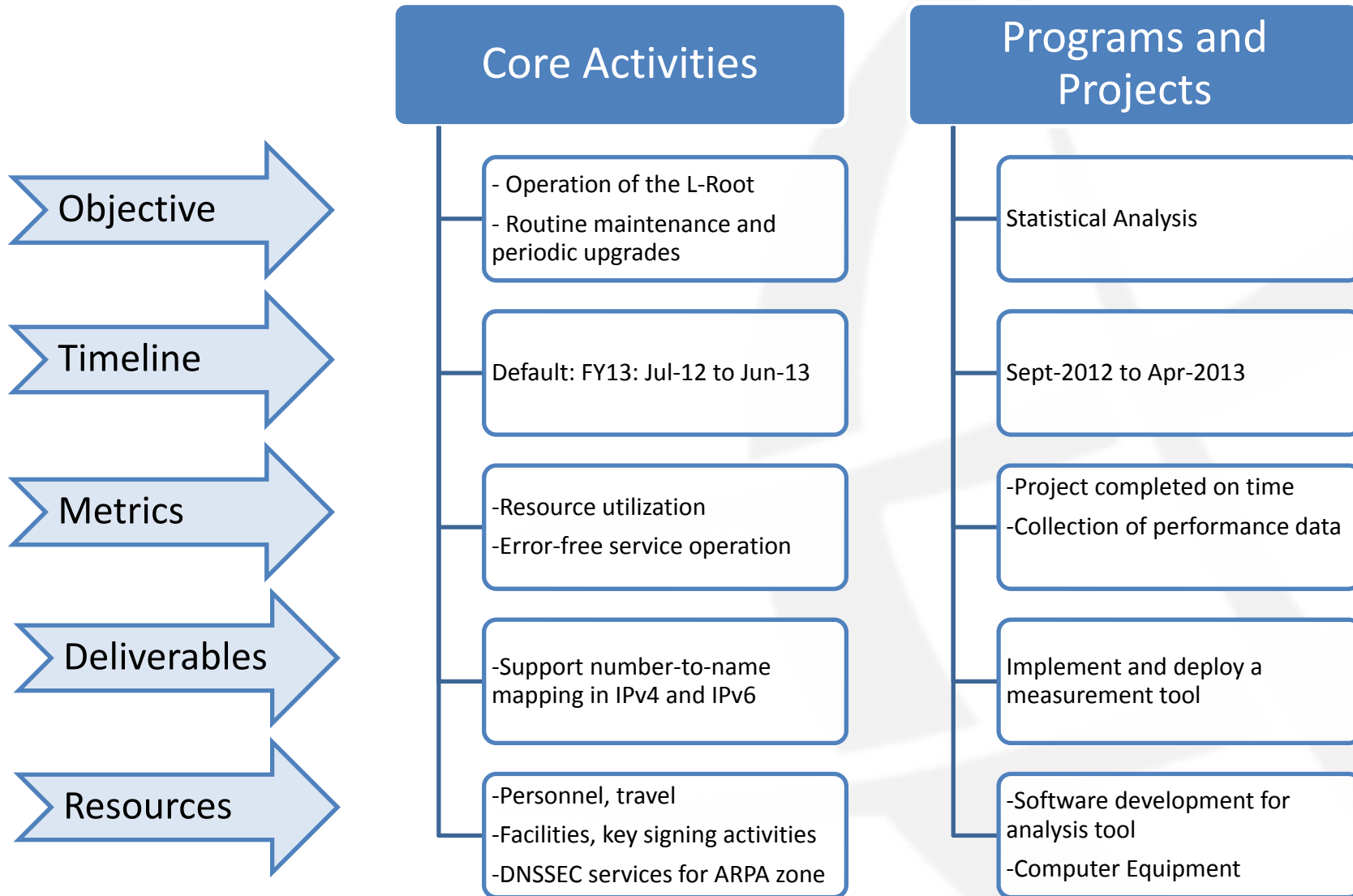
Core Activities

- Objective
- Timeline (fiscal year)
- Metrics
- Deliverables
- Resources

Programs and Projects

- Objective
- Timeline
- Metrics
- Deliverables
- Resources

Framework Content – Sample DNS-OPS



Framework Content – Sample from FY13

(High Level Estimates in Thousands of US Dollars)

High Level
Estimates for
the
Framework

Functions	Core Activities	Programs & Project	Total
0-New gTLD Program	\$0	\$0	\$0
1-Stakeholder Projects	\$5,000	\$1,000	\$6,000
2-IDN	\$2,000	\$1,000	\$3,000
3-IANA	\$6,000	\$1,000	\$7,000
4-Security	\$8,000	\$1,000	\$9,000
5-Compliance	\$4,000	\$2,000	\$6,000
6-Meetings	\$6,000	\$0	\$6,000
7-Community Support	\$9,000	\$0	\$9,000
8-Policy Development	\$7,000	\$1,000	\$8,000
9-Global Engagement	\$8,000	\$1,000	\$9,000
10 - O E I	\$1,000	\$0	\$1,000
11-Ombudsman	\$0	\$0	\$0
12-Board Support	\$4,000	\$0	\$4,000
13-Nom Com	\$1,000	\$0	\$1,000
14-DNS Operations	\$2,000	\$0	\$2,000
15-Org Improvement	\$3,000	\$1,000	\$4,000
Sample	\$66,000	\$9,000	\$75,000



Draft

Draft Ops Plan & Budget Development

Draft Ops Plan & Budget

Refine Scope/Timeline/Resources

Review Activities /Programs/Projects



Framework

Input from Strat Plan

Activities/Programs/Projects

Draft Ops Plan & Budget

Framework



- Overall FTE estimates
- High level project estimates
- High level core activities estimates
- Early view – less precise

Draft Budget



- Confirmed FTE list with hiring plan
- Project plan with estimates
- Activities full costing estimates
- More depth and details approach

Draft Ops Plan & Budget - Sample

(Refined Budget in Thousands of US Dollars)

Refined
Estimates for
the Draft
Budget

Functions	Core Activities	Programs & Project	Total Budget
0-New gTLD Program	\$0	\$0	\$0
1-Stakeholder Projects	\$6,379	\$920	\$7,299
2-IDN	\$2,231	\$1,020	\$3,251
3-IANA	\$5,355	\$935	\$6,290
4-Security	\$7,130	\$1,105	\$8,235
5-Compliance	\$5,422	\$1,700	\$7,122
6-Meetings	\$5,184	\$0	\$5,184
7-Community Support	\$7,371	\$0	\$7,371
8-Policy Development	\$8,729	\$750	\$9,479
9-Global Engagement	\$6,105	\$638	\$6,743
10 - O E I	\$792	\$115	\$907
11-Ombudsman	\$730	\$0	\$730
12-Board Support	\$5,125	\$0	\$5,125
13-Nom Com	\$1,201	\$0	\$1,201
14-DNS Operations	\$2,772	\$340	\$3,112
15-Org Improvement	\$3,570	\$750	\$4,320
Total Budget - sample	\$68,096	\$8,273	\$76,369



Draft

Draft Ops Plan & Budget - Sample

(in Thousands of US Dollars)

14-DNS Operations	Core Activities	Prog & Projects	Total
Personnel and Recurring Travel	\$1,513	\$0	\$1,513
Support for key ceremony	\$102	\$0	\$102
Travel support to IETF,NANOG,RIPE,APRICOT,etc...	\$130	\$0	\$130
Travel L-Root maintenance	\$68	\$0	\$68
RPKI support	\$150	\$0	\$150
staff Training	\$50	\$0	\$50
ROOT-DNSSEC/ L-ROOT/ DNSOPS facilities	\$510	\$0	\$510
DNSOPS maintenance	\$249	\$0	\$249
Root-DNSSEC audit	\$0	\$225	\$225
Statistical Analysis tools building	\$0	\$115	\$115
Total	\$2,772	\$340	\$3,112

FY14 Budget Recap

ICANN's Bylaws require that 45 days before adoption of the annual budget, a draft of the annual budget be posted to allow for community feedback.

In the revised budget process we are proposing to have a Framework development period of several months to engage active dialog and feedback with the community, and to solicit community input on the priorities, programs and projects for ICANN to focus on for the next fiscal year.

This Framework provides the building blocks for the Operating Plan and Budget, which will be built by Staff based on further refinement of the programs and projects that can be resourced within the constraints of the targeted fiscal year budget.



Draft