IANA Function Review and Separation Process

June 24, 2015

Goals

- Evaluate IANA performance against customer/community needs and expectations and SOW requirements

- Evaluate performance of oversight structures (e.g. CSC)

- Assess changes implemented since last review

- Identify areas of potential performance improvements including suggestions by CSC and community

- **Periodic IANA Function Review may propose changes to the IANA SOW based on existing performance or evolving needs of customers and the community**

Periodic IANA Function Review (IFR)

- **Frequency**: 2 years from transition date, every 5 years thereafter

- **Trigger**: calendar-triggered by Fundamental Bylaw

- **Composition:** small, multi-stakeholder review team

IFR Composition Structure

- Makeup
  - **ccNSO** – 2 representatives
  - **ccTLD (non-ccNSO)** – 1 representative
  - **RySG** – 2 representatives
  - **RrSG** – 2 representatives
  - **NCSG** – 2 representatives
  - **GAC** – 1 representative
  - **SSAC** – 1 representative
  - **RSSAC** – 1 representative
  - **ALAC** – 1 representative
  - **CSC Liaison** – 1 representative

- Representatives are appointed by the group in accordance with internal procedures

- The review body is an **internal-to-ICANN** body defined in the ICANN bylaws.

Review Phases

- **Mandatory Phases for Review Team**
  - Consultation with the IANA Functions Operator
  - Consultation with the CSC
  - Public input session for ccTLD and gTLD operators
  - Public comment period

- **Steps for Amendment Approval**
  - Public comment period
  - Approval by the ccNSO **and** the GNSO Councils by a supermajority
  - Approval by the ICANN Board
    - *Rejection requires same threshold as supermajority PDP recommendation*

- **Inputs**
  - Statement of Work
  - Regular IANA Reporting
  - CSC inputs
  - Community Inputs

Special IANA Function Review

- Review may be triggered out-of-cycle by supermajority vote of ccNSO **and** GNSO

- Address performance deficiency not corrected by CSC remedial action procedures or IANA Problem Resolution Process

- Same composition structure and mandatory phases as IANA Function Review

- Outcomes not prescribed

- Scope narrowed to changes to address deficiency under coordination
    - Expectation that Special IFR would include implementation recommendations

Separation Community Working Group (SCWG)

- **If** IFR recommends a separation of naming functions from ICANN, the separation process will be carried out by SCWG

- Creation of SCWG approved by:
  - ccNSO **and** GNSO supermajority
  - ICANN Board
  - Community mechanism

- Selection of new operator approved by:
  - ICANN Board
  - Community Mechanism

SCWG Composition Structure

- **Makeup**
  - ccNSO · 2
  - Non-ccNSO ccTLD · 1
  - RySG · 3
  - RrSG · 1
  - CSG · 1
  - NCSG – 1 GAC – 1
  - SSAC – 1

  - RSSAC – 1
  - ALAC · 1
  - CSC Liaison· 1
  - Special IFR Team Liaison · 1
  - Liaison from Protocol · 1 (TBD)
  - Liaison from Numbers · 1 (TBD)
  - Numbers · 1 (TBD)

- Representatives are appointed by the group in accordance with internal procedures

- Group will follow principles for ICANN Community Working Groups

SCWG Responsibilities

- Determine how to resolve the issue(s) which triggered formation of the SCWG

- If the decision is to issue an RFP:
  - Developing RFP Guidelines and Requirements
  - Soliciting input on requirements to plan, and participation in, the RFP Process;
  - Reviewing responses to the RFP;
  - Selecting the entity that will perform the IANA Naming Functions; and
  - Managing any other Separation Process.

- If PTI divestiture or other reorganization is to be recommended, develop recommendations for that process.

Assessment of Public Comments

- Composition

- Geographic representation

- Outcomes

- Scope of IFRT

- Home of IFRT and SCWG

- Role of the ICANN Board

- Transparency

Key Changes from Comment Version

- Expanded details on separation process

- Revised composition of IFRT
  - *Previously 5 representatives per SO/AC*

- Requirements for geographic diversity

- Clarification of community consultation and transparency requirements

- Home of IFRT and SCWG defined

- Scope clearly limited to naming