

ICANN | GAC

Governmental Advisory Committee



Public Safety Working Group Update

GAC Plenary | 14 March 2017

Agenda

1. Recent PSWG Activity
2. Abuse Mitigation: Follow-up on Annex 1 of Hyderabad Communiqué
3. Security Framework for Registries to respond to Security Threats

As time permits

4. Privacy/Proxy Services Accreditation (PPSAI) LEA Disclosure Framework

1. Recent PSWG Activity

- ⦿ PSWG Activity Report to the GAC
 - PSWG to report at least monthly on PSWG Activity (and more frequently as needed)
 - [Latest report](#) on 7 March 2017 (sent to GAC Mailing list)

- ⦿ PSWG Newsletter
 - Goals:
 - Grow, diversify, educate PSWG Membership
 - Secure resources within member's agencies to fund sustained participation
 - Periodicity: 3 / year
 - [Issue #1](#) released on 9 March 2017 (translations expected shortly)

- ⦿ ICANN 58 Cross Community Session on Abuse Mitigation - [Mon. 13 Mar. 13:45-15:00 \(Hall A2\)](#)
 - Attendance reflected interest in the matter: Full room in Hall A2
 - PSWG will report on outcomes and next steps after both ICANN 57 and 58 Sessions
 - Concrete Outcomes (ICANN 58):
 - ICANN SSR and Contractual Compliance exploring greater collaboration
 - ICANN CTO Working on independent Industry-wide Abuse Reporting System
 - Use of Auctions proceeds to fund industry wide anti-abuse initiatives
 - A emerging concern: domain hopping and how to mitigate it effectively

2. Abuse Mitigation: Follow-up on GAC Advice

Background

- ◉ 2009 -2012: GAC endorsed Law Enforcement Due Diligence Recommendations, implemented in the 2013 Registrar Accreditation Agreement (RAA) and New gTLD Applicant Guidebook (AGB)
- ◉ 11 Apr. 2013: [Beijing GAC Advice](#) on Safeguards applicable to New gTLDs, implemented in part in the New gTLD Registry Agreement (RA)
- ◉ 8 Nov. 2016 : Questions to the ICANN Board on DNS Abuse Mitigation by ICANN and Contracted Parties (Annex 1 of [Hyderabad Communiqué](#))
 1. RAA Provision implementation and enforcement
 2. RA Provisions implementation and enforcement
 3. ICANN's Role (SSR and Contractual Compliance departments)
- ◉ 8 Feb. 2017: [Answers by ICANN's CEO](#)

2. Abuse Mitigation: Follow-up on GAC Advice

Analysis

- ⦿ Implementation of Key RAA WHOIS Accuracy Provision now overdue for 3 years
- ⦿ Inability to assess effectiveness of:
 - New gTLD Safeguard Advice (Security Checks in RA Specification 11.3b)
 - Contractual compliance enforcement (Whois Complaints)
 - SSR/Compliance collaboration in addressing DNS Abuse

Next Steps

- ⦿ **Proposed GAC Follow-up Scorecard to Annex 1** (See draft in GAC Briefing)
 - Seek appointment of persons in charge to report back at next GAC-Board Meeting
 - Set time limits for responses and delayed implementations
- ⦿ GAC-ICANN Board Meeting [Wednesday 15 March 09:00-10:30 \(Hall A2\)](#)

3. Security Framework for Registries

◎ Background

- Framework for Registries to respond to Security Threats called for by an [ICANN Board Resolution](#) (June 2013) in response to [New gTLDs Safeguards Beijing GAC Advice](#) (April 2013)
- Drafting Team formed in August 2015 composed of: Registries, Registrars and GAC/PSWG Representatives
- Challenge for drafting team to agree on substantive voluntary measures and standards, until Jan. 2017 PSWG re-draft

◎ Drafting Team set to reach agreement on Draft Framework at ICANN 58

- Several meetings during ICANN 58:
 - Meeting with registries: [Saturday 11 March](#)
 - PSWG only: [Sunday 12 March](#)
 - Next Meeting with registries: [Tues. 14 Mar. - 5:00pm - 6:30pm \(Hall B4.1\)](#)
- Agreement on draft proposal within reach

3. Security Framework for Registries

For Discussion by the GAC Plenary

⦿ Rationale of Current Framework

- Voluntary practices for response to Security Threats
- Describes gradual range of responses available to registries
- Set standards for the assessment of reports of Security Threats
- Defines prioritization of request, including 24 hours window for High Priority

⦿ Endorsement of Framework by the GAC

- PSWG Intends to share the finalized Draft Framework for review by the GAC, and hold a dedicated webinar if deemed necessary
- If endorsed by GAC and Registries/Registrars constituencies, the Draft will be submitted to the larger ICANN Community for Public Comment
- After the Public Comment period, PSWG will continue to advise the GAC and seek endorsement if substantial changes are made

4. Privacy/Proxy Services Accreditation (PPSAI)

Background

- ◉ As a follow-up to the [2013 RRA negotiations](#), the GNSO conducted a [Policy Development Process](#) on the issue of accreditation of Privacy and Proxy Services Providers (Oct. 2013 – Jan 2016)
- ◉ The [GAC Helsinki Communiqué](#) (June 2016) advised the ICANN Board on public policy concerns that should be addressed as part of implementation, in particular:
 - Confidentiality of law enforcement and consumer protection requests
 - Need for consideration of cross-border issues
 - Access of Privacy/Proxy Services to domains engaged in collection of money
- ◉ In August 2016, the [ICANN Board adopted](#) the policy recommendations
- ◉ In October 2016, an [Implementation Review Team \(IRT\)](#) was formed to assist ICANN in its implementation effort, several GAC and PSWG members joined as volunteers
- ◉ On 13 December 2016 the [ICANN Board encouraged the IRT](#) to continue working with GAC/PSWG to address the Helsinki GAC Advice

4. Privacy/Proxy Services Accreditation (PPSAI)

Drafting of the LEA Disclosure Framework

◎ Current Status

- ICANN GDD requested that PSWG draft a proposal in consultation with IRT
- Nick Shorey (UK) issued a call for volunteers to GAC and PSWG in Dec. 2016
- A PSWG Task Force of 7 members was formed in Jan. 2017 and has started meeting and deliberating, and engaged with IRT during ICANN 58

◎ Key questions

- Definition of a Law Enforcement Authority and issue of jurisdiction
- Definition of requirements for acceptable disclosure request
- Processing and prioritization of requests
- Notification of registrant

◎ Next Steps

- PSWG Task Force to complete draft PSWG Disclosure Framework proposal
- PSWG to seek GAC endorsement of proposal before engaging the PPSAI IRT