ICANN 60
ANNUAL GENERAL
ABU DHABI
28 October–3 November 2017

ICANN Reviews Update

Update on Reviews from the Multistakeholder Strategy and Strategic Initiatives Team

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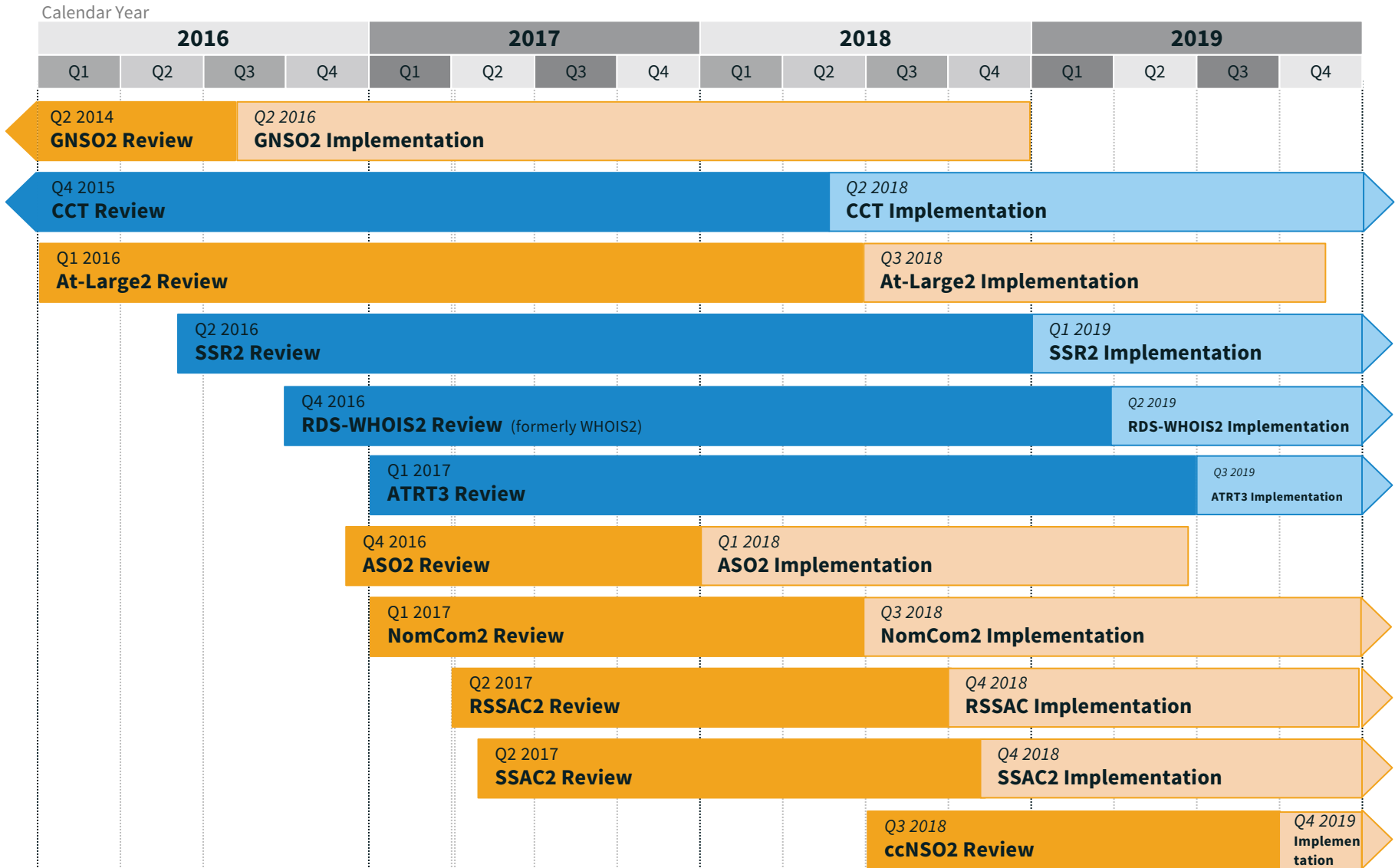
MSSI Presentation

Agenda

1	2	3
Reviews	**Operating Standards**	**Opportunities to Participate**

REVIEWS

Agenda Item #1

Reviews Timeline

Calendar Year

2016				2017				2018				2019			
Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4

Q2 2014
GNSO2 Review
Q2 2016
GNSO2 Implementation

Q4 2015
CCT Review
Q2 2018
CCT Implementation

Q1 2016
At-Large2 Review
Q3 2018
At-Large2 Implementation

Q2 2016
SSR2 Review
Q1 2019
SSR2 Implementation

Q4 2016
RDS-WHOIS2 Review (formerly WHOIS2)
Q2 2019
RDS-WHOIS2 Implementation

Q1 2017
ATRT3 Review
Q3 2019
ATRT3 Implementation

Q4 2016
ASO2 Review
Q1 2018
ASO2 Implementation

Q1 2017
NomCom2 Review
Q3 2018
NomCom2 Implementation

Q2 2017
RSSAC2 Review
Q4 2018
RSSAC Implementation

Q2 2017
SSAC2 Review
Q4 2018
SSAC2 Implementation

Q3 2018
ccNSO2 Review
Q4 2019
Implementation

7 Phases for Specific Reviews

1   Review Pre-Planning, where the organization prepares to support the Review

4   Conduct the Review, includes analysis, assessment and recommendation development

2   Initialization and Selection of the Review Team

5   Board Consideration, where the Final Report and accompanying action plan is accepted or rejected

3   Planning, where the Review Team develops its approach to the Review

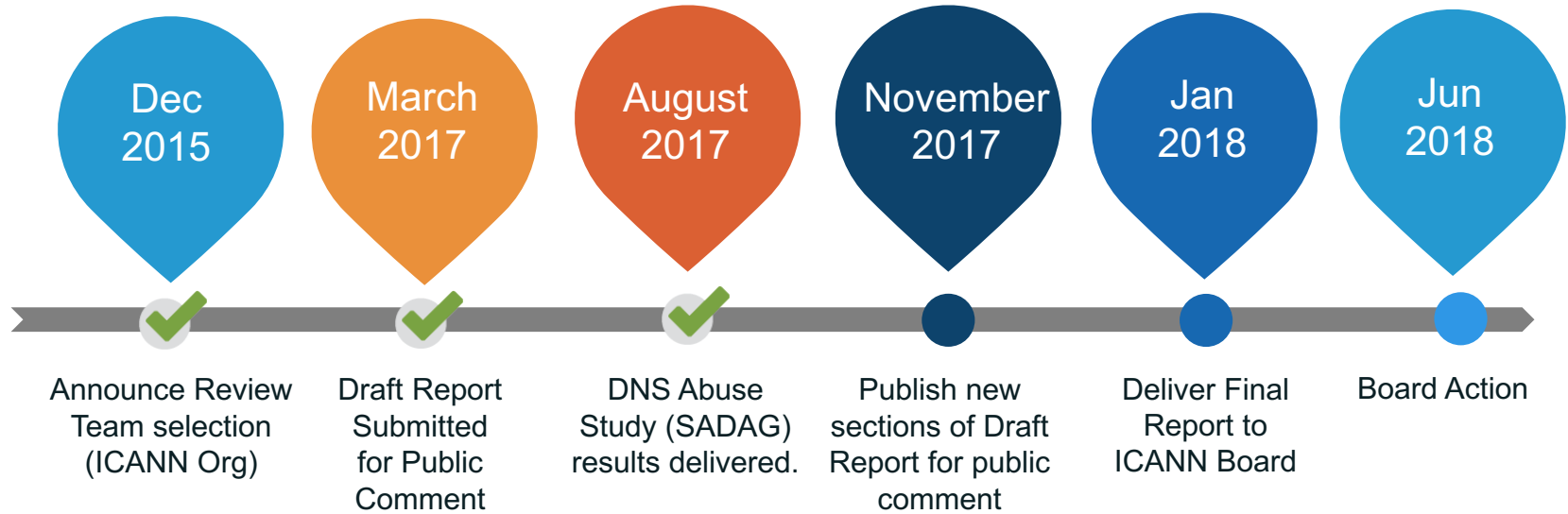**6/7**   Implementation and Ongoing Support of the recommendations
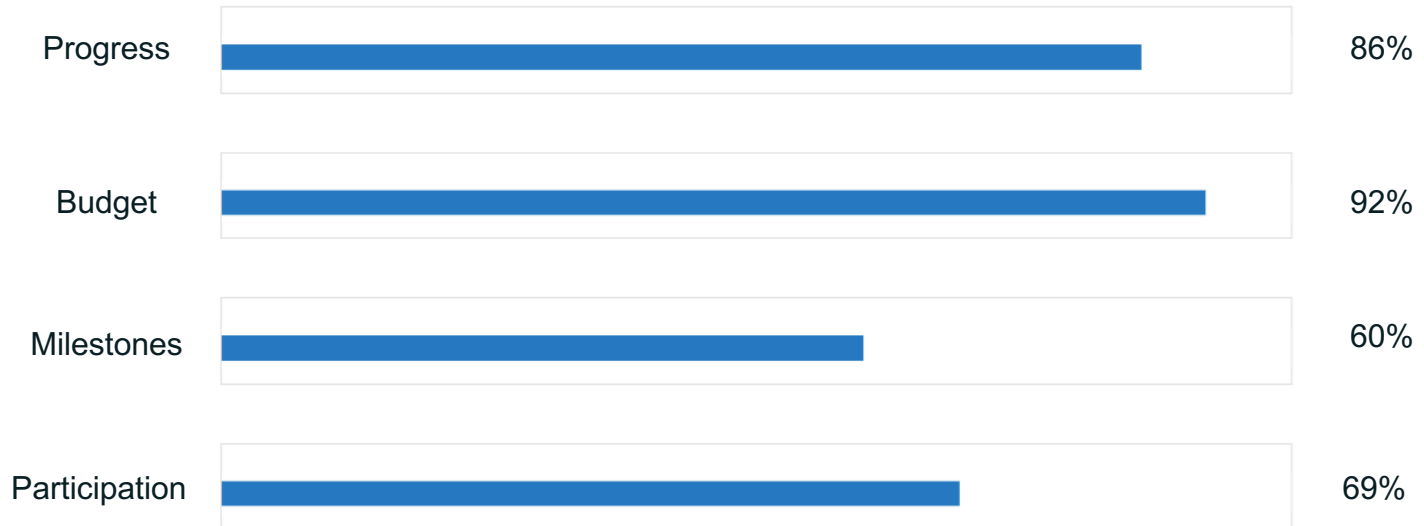
Overview of Observed* Issues

- **Selection of Review Team** – unclear checks and balances over skills and diversity; difficult for people to participate if they are not already engaged and well known; hesitation by SO/AC to nominate people without understanding of scope of work and resulting time commitment and skills.

- **Topics/Scope of Work** – scope not finalized until the Review Team is assembled may prevent people from applying to serve or cause Review Team members to participate less if Review demands turn out to be greater than envisioned.

- **Simultaneous reviews** – limited number of volunteers available to participate, contributing to volunteer fatigue and significant burden carried by a few individuals.

- **Unclear expectations** – without a clear definition of desired outcome and impact from Reviews, difficult to evaluate whether the Reviews are producing intended results.

*Observed by ICANN community, ICANN Board and ICANN organization

CCT: Review Timeline and Progress

Dec 2015	March 2017	August 2017	November 2017	Jan 2018	Jun 2018
Announce Review Team selection (ICANN Org)	Draft Report Submitted for Public Comment	DNS Abuse Study (SADAG) results delivered.	Publish new sections of Draft Report for public comment	Deliver Final Report to ICANN Board	Board Action

Review Status: FY17 Q4

Progress	86%
Budget	92%
Milestones	60%
Participation	69%

CCT Review Progress Report

Activity	Date	Status
Review Team agreed to work in plenary & 3 sub-teams: • Competition & Consumer Choice • Safeguards & Trust • Application & Evaluation Process	February 2016	✅
CCT Draft Report published for Public Comment	March 2017	✅
GDD Input on implementability of recommendations	May 2017	✅
Publication of Report of Public Comments	July 2017	✅
Face-to Face Meeting #8, public consultation (Abu Dhabi)	ICANN 60 October-Nov 2017	Scheduled
New sections with findings and recommendations on DNS Abuse, and INTA Study, sent for public comment	November (TBC) 2017	Scheduled
Close date of Public Comment Period on "New Sections of the Draft Report"	December (TBC) 2017	Scheduled

Reviews Fact Sheet: CCT

Competition, Consumer Trust and Consumer Choice (CCT) Review

Fact sheet as of: 30-Jun-2017

Overview:
ICANN is committed to ensuring that, as it contemplates expanding the top-level domain space, the various issues that are involved will be adequately addressed prior to implementation.

These include issues such as competition, consumer protection, security, stability and resiliency, malicious abuse issues, sovereignty concerns, and rights protection.

The AoC also requires ICANN to convene a community-driven review to examine the extent to which the introduction or expansion of gTLDs has promoted competition, consumer trust and consumer choice, as well as the effectiveness of: The application and evaluation process and safeguards put in place to mitigate issues involved in the introduction or expansion.

Wiki Page:	CCT Wiki Home Page
CCT-RT Email:	input-to-cctrt@icann.org
Review Questions:	reviews@icann.org

CCT Chair	Jonathan Zuck
Competition and Consumer Choice Subteam Chair:	Jordyn Buchanan
Safeguards & Trust Subteam Chair:	Laureen Kapin

Review Status *(as of 30 June 2017)*

Start Date:	13-Jan-2016	**Review Duration to Date:**	**18** Months
Expected Completion:	18-Oct-2017	**Total Expected Duration:**	**21** Months

86% Complete, Based on Duration

92% Budget Spent/Committed

Section I: People *(as of 30 June 2017)*

Review Team members: 17

Volunteer Participation Rate: 69%

ICANN Org (individuals involved at various times throughout Review): **12**

Face-to-face meetings:	**8**	Meetings
Calls (plenary and subteam):	**81**	Calls
Volunteer meeting & call time:	**2,849**	Hours
Personnel support time [1] (estimated hours and cross-organizational)	**9,442**	Hours

[1] *Does not include time for Executives, Legal, IT Personnel and Other Organizational Support*

Section II: Financial Resources *(as of 30 June 2017)*

Direct Review Costs [1]	FY17 Approved Budget [2]	FY17 Budget Spent to Date [3]	Committed FY17 Services [4]	Remaining FY17 Budget	Actual Expenses: Review to Date [2]	Total Spent and Committed to Date
Professional Services - IAG-CCT	$ -	$ -	$ -	$ -	$ 210,000	$ 210,000
Professional Services - CCT	$ 870,000	$ 700,000	$ 80,000	$ 90,000	$ 1,590,000	$ 1,670,000
Travel	$ 340,000	$ 230,000	$ -	$ 110,000	$ 370,000	$ 370,000
Total	**$ 1,210,000**	**$ 930,000**	**$ 80,000**	**$ 200,000**	**$ 2,170,000**	**$ 2,250,000**

[1] *Excludes ICANN Organization and overhead allocation.*
[3] *Based on the most recent month end financials (may not include recent expenditures). Represents expenses incurred since inception of work.*
[2] *Includes incremental approved professional services budget of $160,000.*
[4] *Professional services includes services from signed contracts to be provided or invoiced; travel includes upcoming scheduled meetings.*

Section III: Milestones *(as of 30 June 2017)*

Project Management
20% of total effort

- ☑ Adopt terms of reference
- ☑ Adopt work plan
- ☑ Assemble subteams
- ☑ Prepare templates
- ☑ Solidify list of projects
- ☑ Adopt discussion paper template
- ☑ Adopt report shell/framework

Research and Studies
20% of total effort

- ☑ Identify data/sources needed for work
- ◯ Analyze data from research and studies

Draft Report
25% of total effort

- ☑ Assemble initial conclusions
- ☑ Populate discussion papers with findings and potential recs.
- ☑ Reach agreement on discussions papers
- ☑ Discuss/approve/consolidate findings and interim recommendations
- ☑ Approve interim recommendations
- ☑ Seek Board/ICANN Organization input on implementability of interim recommendations
- ☑ Circulate interim recommendation to Subsequent Procedures PDP WG
- ☑ Socialize interim recommendations with community
- ☑ Build and approve Draft Report for public comment
- ☑ Issue draft report for public comment and socialize draft recommendations with
- ◯ Produce summary of public comment received
- ☑ GDD to provide input on draft recommendations

New Sections
10% of total effort
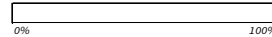
- ◯ Review studies' input and incorporate as appropriate
- ◯ Produce additional recommendations/new sections, as appropriate and edit report
- Approve additional recommendations
- ◯ Publish new sections of the report for public comment
- ◯ Socialize changes to report with community
- ◯ Seek ICANN Org and Board Caucus WG input on implementability of recommendations
- ◯ Circulate to New gTLD Subsequent Procedures PDP WG
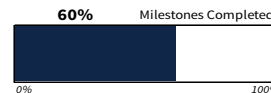- ◯ Produce summary of public comments

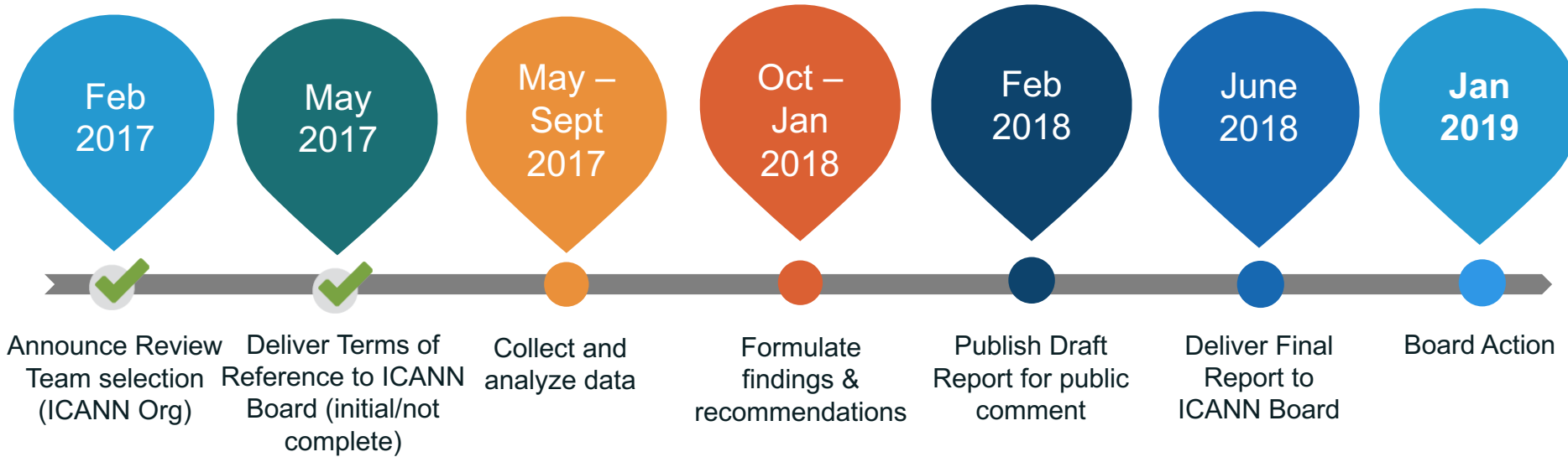**Final Report**
25% of total effort
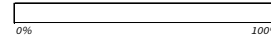
- ◯ Review public comment input received and incorporate as appropriate
- ◯ Seek Board/ICANN Organization input on implementability of final recommendations
- ◯ Circulate final recommendations to Subsequent Procedures PDP WG for input
- ◯ Finalize Report
- ◯ Adopt final recommendations and report
- ◯ Socialize final report with community
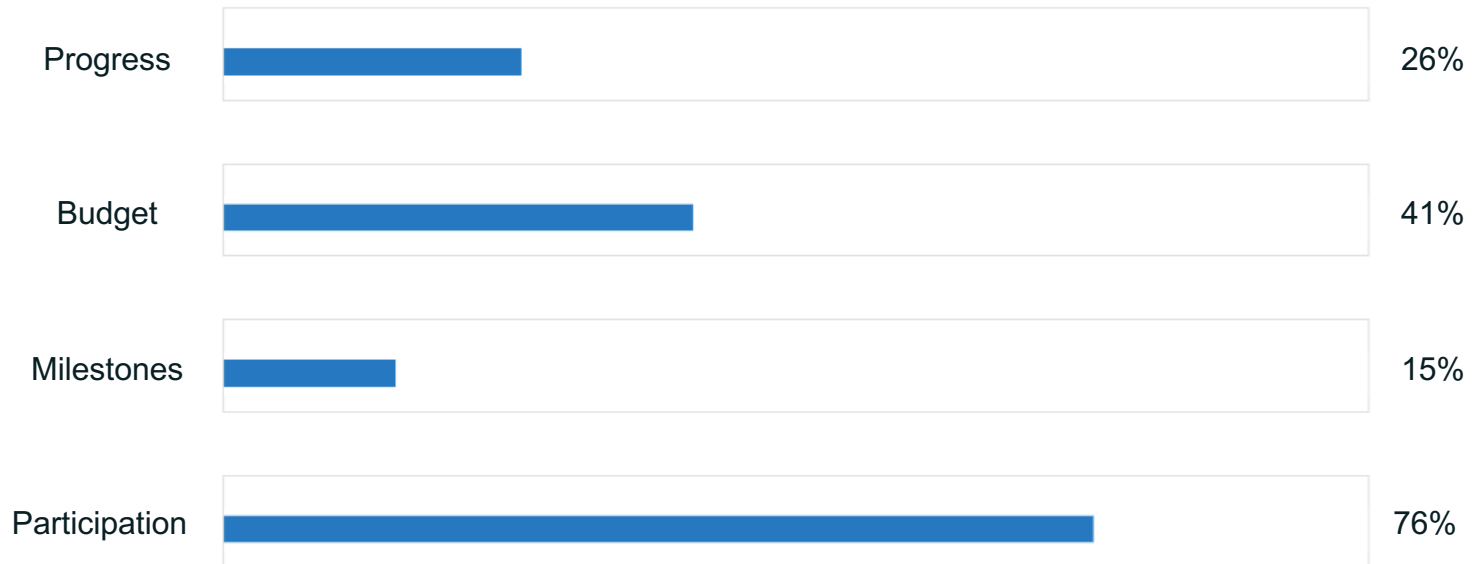- ◯ Send final report to ICANN Board

TOTAL:

60% Milestones Completed

SSR2: Review Timeline and Progress

Feb 2017	May 2017	May – Sept 2017	Oct – Jan 2018	Feb 2018	June 2018	Jan 2019
Announce Review Team selection (ICANN Org)	Deliver Terms of Reference to ICANN Board (initial/not complete)	Collect and analyze data	Formulate findings & recommendations	Publish Draft Report for public comment	Deliver Final Report to ICANN Board	Board Action

Review Status: FY17 Q4

Progress	26%
Budget	41%
Milestones	15%
Participation	76%

*Timeline is based on a current draft work plan. Estimated dates subject to change.

SSR2: Key Milestones

Activity	Date	Status
Review Team agreed to leadership by three Co-Chairs: • Denise Michel, GNSO • Eric Osterweil, RSSAC • Emily Taylor, GNSO (resigned July 2017)	March 2017	✔
Sub-groups formed and draft work topics identified. Five key areas of review: • SSR1 Implementation • ICANN SSR • DNS SSR • Future Challenges • Impact of IANA transition on SSR	May 2017	✔
Specifications for Gap Analysis of the Implementation of SSR1 Review Recommendations published • Anticipated start date November 2017	September 2017	✔
ICANN SSR sub-group face-to-face meeting (Los Angeles)	9-10 October 2017	✔
Face-to-face meeting #4, public consultation, outreach meetings with SO/AC groups (Abu Dhabi)	ICANN60	Scheduled
Face-to-face meeting #5: Drafting session (Brussels)	January 2018	Scheduled

RDS-WHOIS2: Review Timeline and Progress

Jun 2017	Nov 2017	Nov – Mar 2018	Apr – Jul 2018	Aug 2018	Dec 2018	Jun 2019
Announce Review Team selection (ICANN Org)	Deliver Terms of Reference to ICANN Board	Collect and analyze data	Formulate findings & recommendations	Publish Draft Report for public comment	Deliver Final Report to ICANN Board	Board Action

Review Status: FY17 Q4

Progress	8%
Budget	17%
Milestones	6%
Participation	82%

*Timeline is based on a current draft work plan. Estimated dates subject to change.

RDS-WHOIS2: Key Milestones

Activity	Date	Status
Review Team announced • Comprised of 14 members*	June 2017	✅
Face-to-face meeting #1, Brussels	2-3 October 2017	✅
Review Team agreed to leadership by Chair and two Vice Chairs: • Alan Greenberg (Chair) • Cathrin Bauer-Bulst (Vice-Chair) • Susan Kawaguchi (Vice-Chair)	2-3 October 2017	✅
Scope, Terms of Reference, Work plan to be adopted and sent to the Board	24 November 2017	Scheduled

The ccNSO has reserved the right to appoint up to 3 Review Team members once the scope of the Review has been determined.

ATRT3: Key Milestones

Activity	Date	Status
Call for Volunteers for the Third Review of ICANN Accountability and Transparency (ATRT3)	31 January 2017	✅
Extended Call for Volunteers closed	2 June 2017	✅
SO/ACs in the process of nominating review team members, based on their own processes	In-Progress	GNSO nominations received on 25 September 2017. RSSAC nomination received on 25 July 2017.
SO/AC Chairs to select review team	TBD	

6 Phases for Organizational Reviews

1 Review Planning, ICANN organization prepares to support review and RWP appoints its leadership team and begins organizing for the Review

2 Selection of Independent Examiner includes preparation of the RFP, interviews and the OEC's confirmation of the selected IE

3 Conduct Review, according to the agreed scope and timeline

4 Feasibility Assessment, where the RWP reviews the Final Report and checks IE's recommendations for usability, feasibility, prioritization, resources, budget, and timeline

5 Board Consideration, where the OEC reviews the final report and the Feasibility Assessment, and the Board decides on adopting recommendations and next steps for implementation

6 Implementation and Ongoing Support of Recommendations

GNSO2: Review Timeline

May 2014	Jun 2014	June 2014 – Sept 2015	May/June 2016	Feb 2017	In Progress
Review Planning	Selection of Independent Examiner	Conduct the Review	GNSO Feasibility Assessment/ Board Action on Recommendations	Board Consideration	Implementation and Ongoing Support of Recommendations
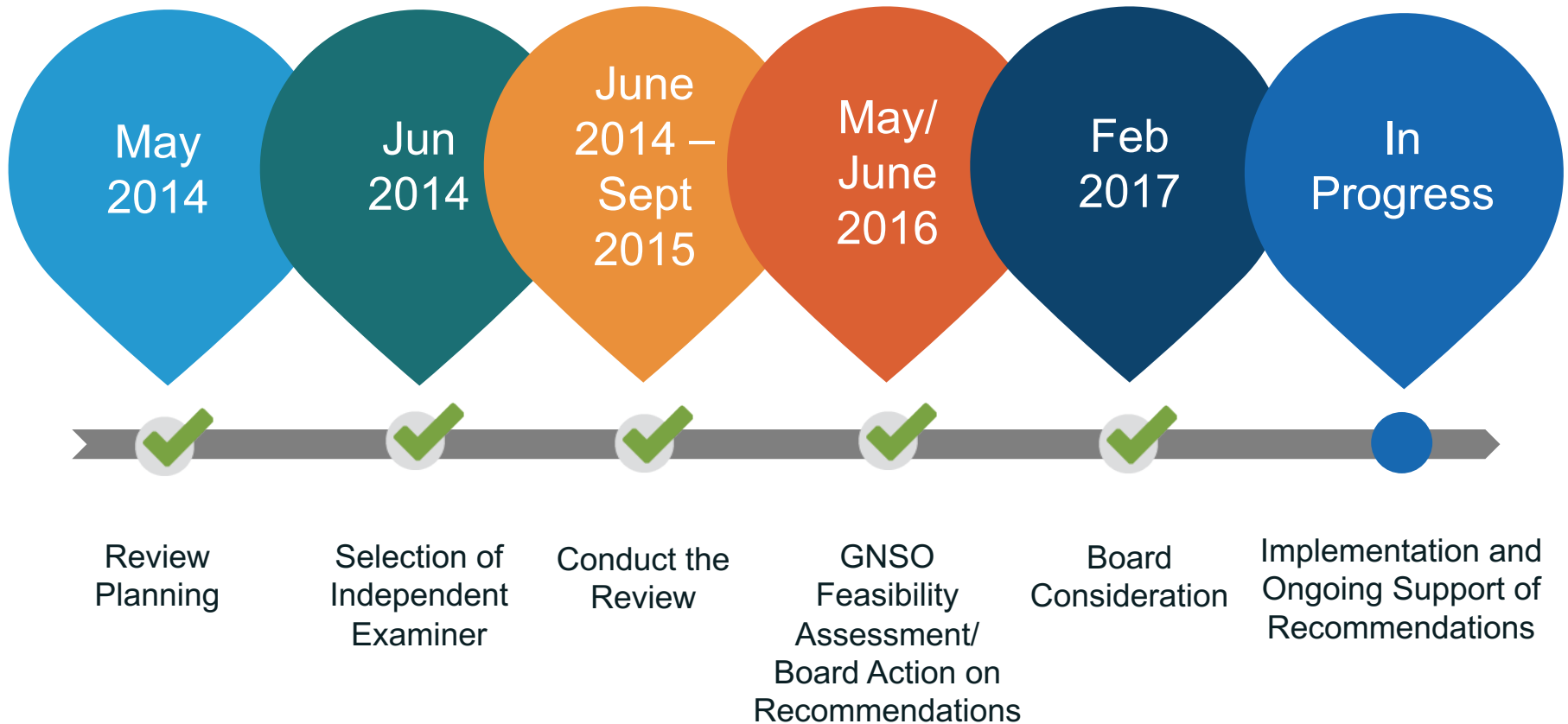
GNSO2: Key Milestones

Activity	Date	Status
Westlake Governance selected as Independent Examiner to conduct the review	June 2014	✔
40 interviews conducted More than 150 survey responses received	July 2014	✔
Final report published: • 36 recommendations • 15 public comments received on Draft Report	September 2015	✔
Board action • 34 recommendations approved by GNSO Council and ICANN Board	June 2016	✔
Implementation plan adopted by GNSO Council	December 2016	✔
Board approved implementation plan	February 2017	✔
Semi-annual update to the OEC	December 2017	

At-Large2: Review Timeline

May 2015	May 2016	May 2016 – May 2017	Sept 2017	Dec17/ Jan18*	Early 2018 onwards*
✓	✓	✓	✓		
Review Planning	Selection of Independent Examiner	Conduct the Review	At-Large Feasibility Assessment	Board Consideration	Implementation and Ongoing Support of Recommendations
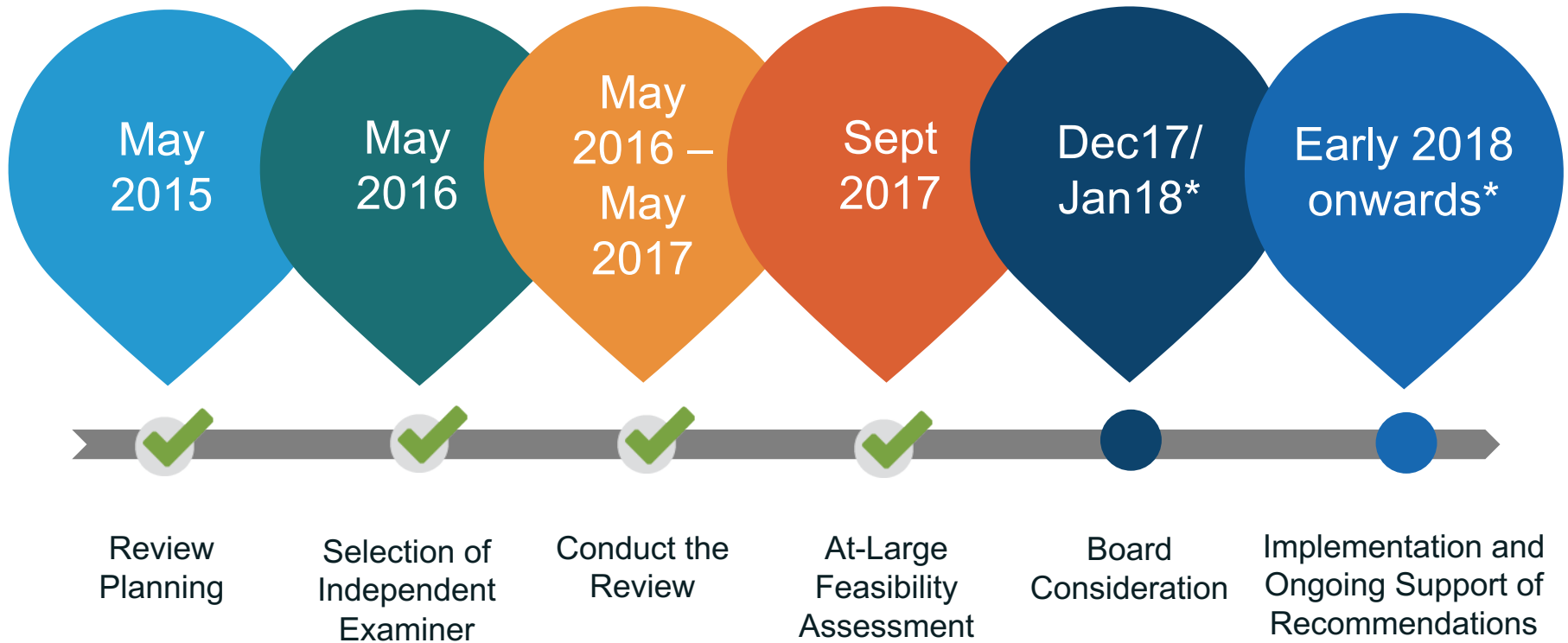
At-Large2: Key Milestones

Activity	Date	Status
Review Working Party holds first formal meeting to plan for the review	May 2015	✔
ITEMS International selected as Independent Examiner to conduct the review	May 2016	✔
Interviews conducted and surveys distributed • Over 100 interviews conducted • More than 240 survey responses received	September – November 2016	✔
Final Report published • Included 16 recommendations • 15 public comments received	May 2017	✔
• ALAC completes feasibility assessment for the implementation of review recommendations	Sept 2017	✔
• OEC consideration of Final Report and Feasibility Assessment	Active	In-progress

NomCom2: Review Timeline

Sept 2016 – May 2017	June 2017	June 2017 – May 18*	May-Nov 18*	Dec 18*	Early 2019 onwards*
Review Planning	Selection of Independent Examiner	Conduct the Review	NomCom Feasibility Assessment	Board Consideration	Implementation and Ongoing Support of Recommendations
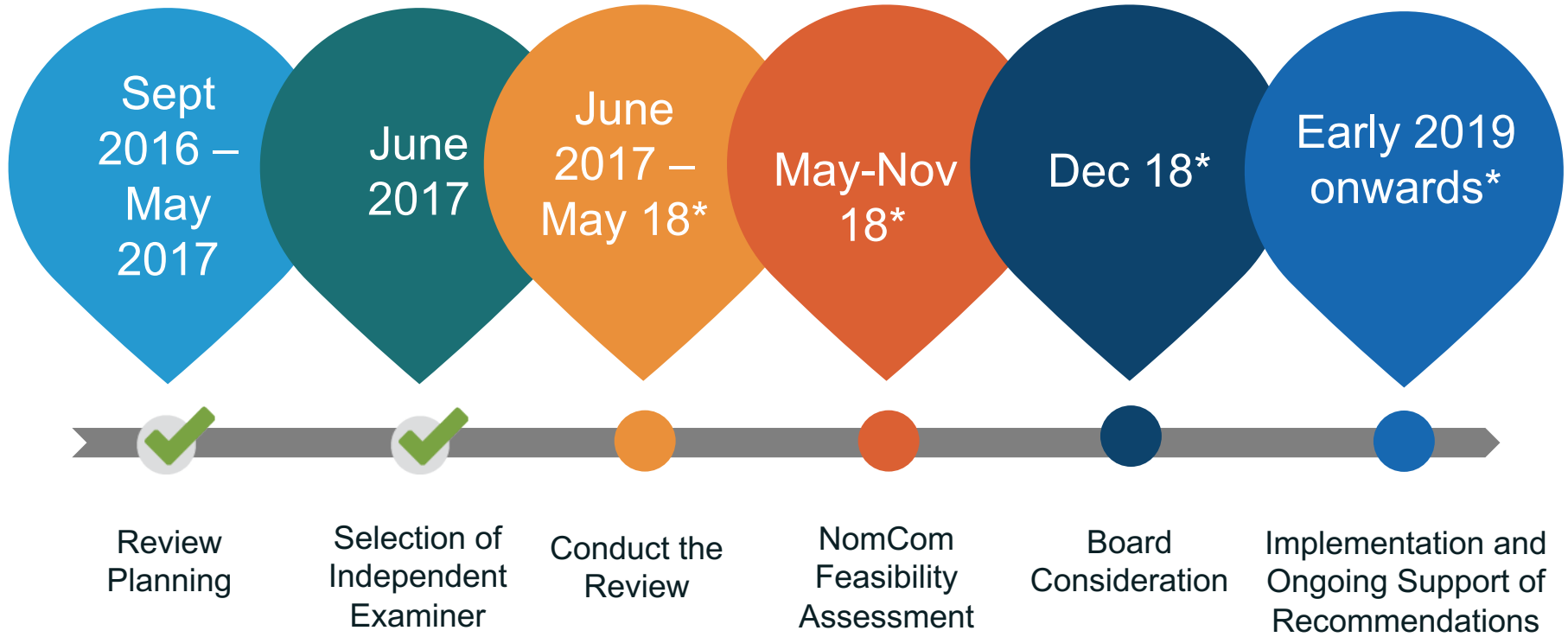
NomCom2: Key Milestones

Activity	Date	Status
Review Working Party announced • Comprised of 11 volunteers • Leadership by Chair (Tom Barrett) and Vice Chairs (Cheryl Langdon-Orr). • Stéphane Van Gelder stepped down as Chair September 2017. Thomas Barrett assumed role of Chair.	October 2016	✔
Analysis Group selected as Independent Examiner to conduct the review	June 2017	✔
Interviews conducted • More than 30 interviews at ICANN59	March 2017	✔
Review survey published for community input • Survey closes 6 November 2017 (extended)	September 2017	Open for community input

RSSAC2: Review Timeline

April 2017 — Review Planning

Sep 2017 — Selection of Independent Examiner

Sept 2017 – July 2018* — Conduct the Review

July - Jan 2018* — RSSAC Feasibility Assessment

January 2019* — Board Consideration

mid-2019 onwards* — Implementation and Ongoing Support of Recommendations
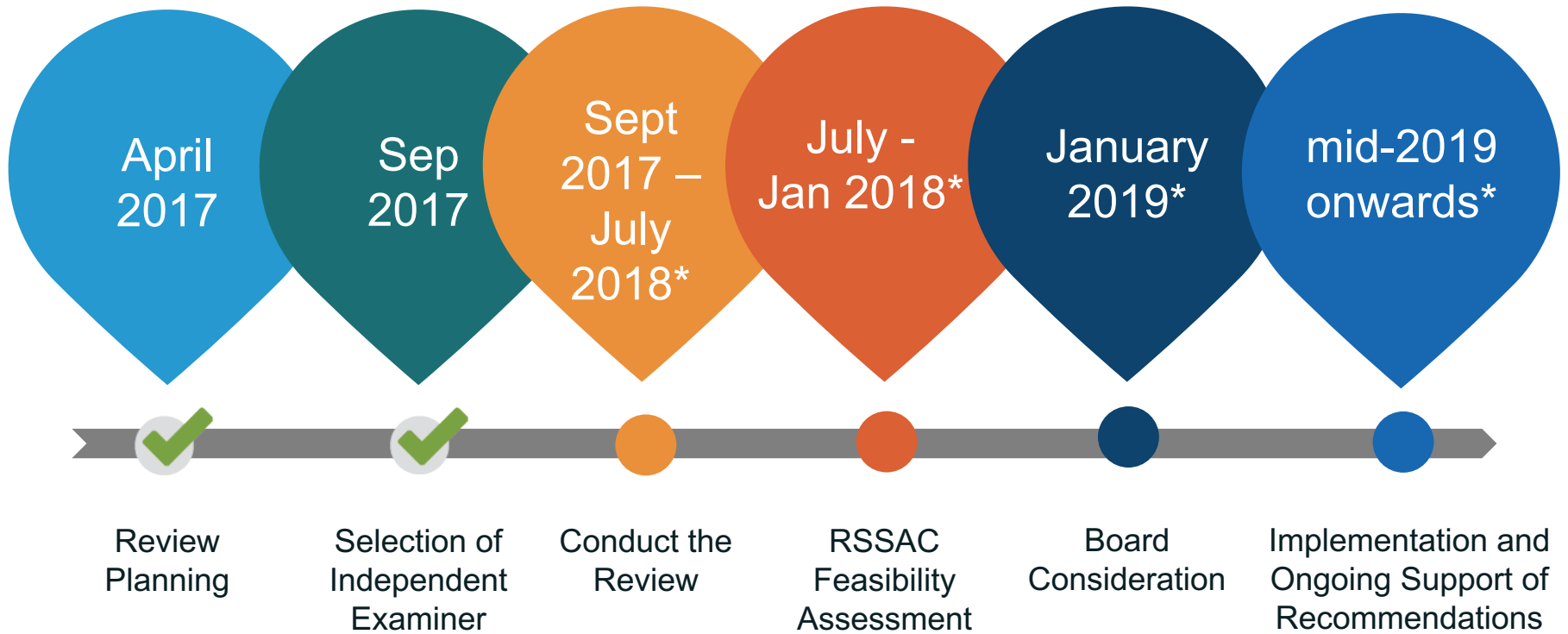
RSSAC2: Key Milestones

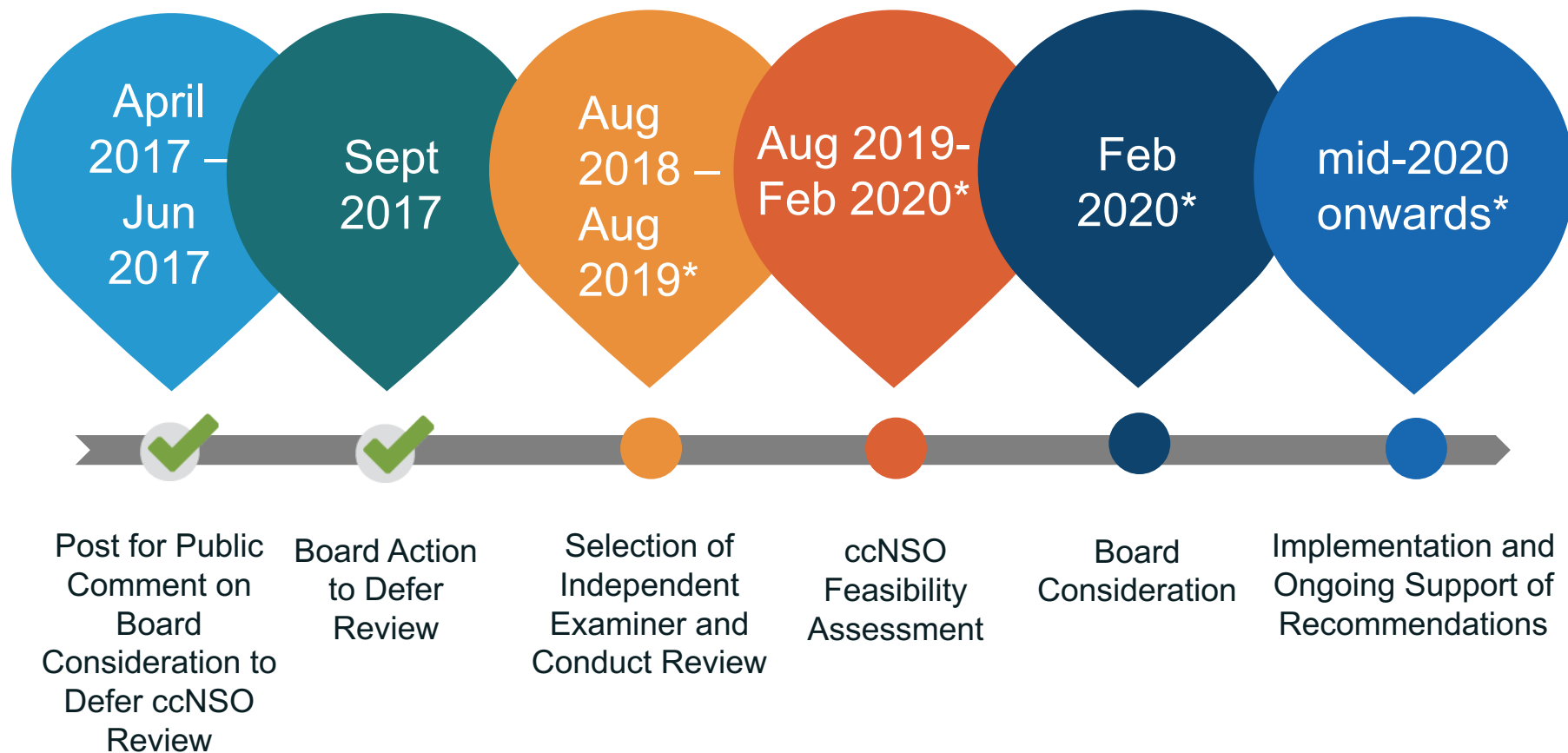| Activity	Date	Status
Interisle Consulting Group selected as Independent Examiner to conduct the review	September 2017	✔
Introductory call with Independent Examiner and Review Working Party	October 2017	✔
Interviews planned • ICANN60 • IETF100	November 2017	Scheduled
Survey published	November 2017	Scheduled

SSAC2: Review Timeline

May 2017	In Progress	Nov 2017 – Aug 2018*	Sept 2018 – Mar 2019*	March 2019*	Mid 2019*
Review Planning	Selection of Independent Examiner	Conduct the Review	SSAC Feasibility Assessment	Board Consideration	Implementation and Ongoing Support of Recommendations
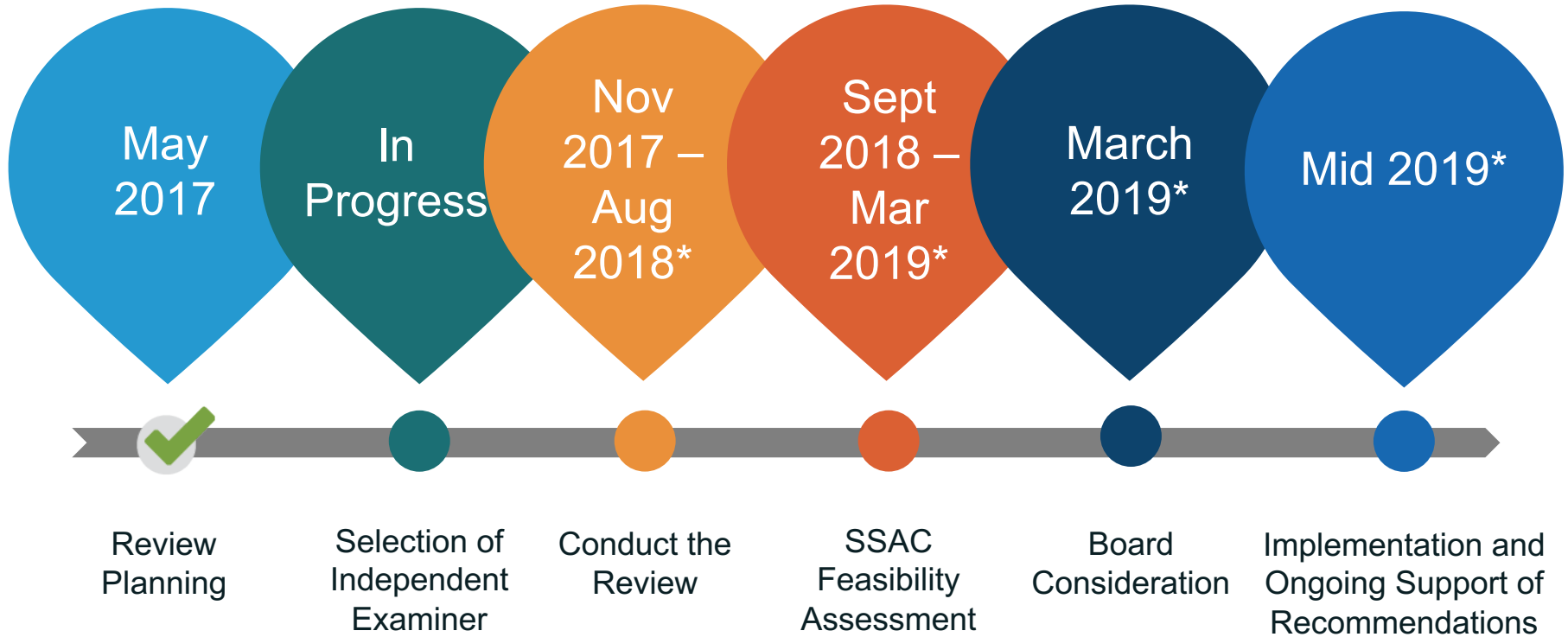
SSAC2: Key Milestones

Activity	Date	Status
Board Resolution Initiating the Second Review of the Security and Stability Advisory Committee	18 May 2017	✅
Announcement of Independent Examiner Request for Proposals	7 July 2017	✅
Extended Deadline: Request for Proposal for the SSAC Organizational Review	1 Aug 2017	✅

ccNSO: Review Timeline

April 2017 – Jun 2017
Post for Public Comment on Board Consideration to Defer ccNSO Review

Sept 2017
Board Action to Defer Review

Aug 2018 – Aug 2019*
Selection of Independent Examiner and Conduct Review

Aug 2019- Feb 2020*
ccNSO Feasibility Assessment

Feb 2020*
Board Consideration

mid-2020 onwards*
Implementation and Ongoing Support of Recommendations

ccNSO: Key Milestones

Activity	Date	Status
The ICANN Board of Directors (Board) has adopted a resolution to defer the second Country Code Names Supporting Organization (ccNSO) Review. The second ccNSO Review has been deferred by 12 months in response to the ccNSO's concerns about its current workload. It is now due to start in August 2018.	23 Sept 2017	✔

OPERATING STANDARDS

Agenda Item #2

Operating Standards

Aligned with ICANN Bylaws

Community collaboration & OEC oversight

System for efficient and effective reviews

Support Organizational and Specific Reviews by providing consistent and transparent processes and practices

Community-wide reference tool

Living document that applies lessons learned and best practices

Roadmap

ICANN 58

Provided status update on Operating Standards (OS)

ICANN 59

Interactive session to gather community feedback; presenting options on Specific Reviews for: scope setting, selection of review team, role of observers, etc.

Oct 2017

Draft OS published for public comment; community outreach via webinars etc.

ICANN 60

Cross-Community Session, provide overview of draft OS, status of public comment, and SO/AC Chairs and OEC to discuss their views

Dec 2017

Updated draft OS, based on public comment and ICANN60 feedback to be published

OPPORTUNITIES TO PARTICIPATE

Agenda Item #3

How to Participate?

Choose Your Review!

Go to
www.icann.org/resources/reviews
and find out what Review interests you

Get Plugged In!

Go to the Team wiki for the latest updates on ways to get involved

Observe Meetings

Email your input at any time

Join open community sessions to voice your input

Participate in surveys to inform decision-making

Provide your public comments on findings and recommendations

Apply to be considered for a review team

Opportunities to Participate: ICANN60 Sessions

- ***Cross-Community Session: Community Feedback on the Concluding the Work of CCWG – Accountability – WS2***
  - Cross-Community topic
  - 30 October @ 10:30 – 12:00 GST; Location: Hall 4

- ***Cross Community Session: Operating Standards for Specific Reviews***
  - Cross-Community topic
  - 30 October @ 15:15 – 16:45 GST; Location: Hall 4

- ***NomCom Review: an Update by the Independent Examiner***
  - Engagement session with community
  - 1 November @ 9:00 – 10:15 GST; Location: Capital Suite 19

- ***Cross Community Session: General Data Protection Regulation (GDPR) Implications***
  - Cross-community topic
  - 2 November @10:30 – 12:00 GST; Location: Hall 4

Opportunities to Participate: ICANN60 Sessions

- ***CCWG Accountability – WS2 – Face to Face Meeting***
  - All day face-to-face working session
  - 27 October @ 8:30 – 17:30 GST; Location: Hall 3 (GAC)

- ***Competition, Consumer Trust, and Consumer Choice (CCT) Review***
  - All day face-to-face working session
  - 27 October @ 9:00 – 17:30 GST; Location: Capital Suite 3

- ***CCT Review Engagement Session***
  - Engagement session with community
  - 29 October @ 12:15 – 13:15 GST; Location: Hall A, Section A

- ***CCT Review Team Meeting with the Board Caucus Group***
  - Engagement session with Board
  - 1 November @ 15:15 – 16:30 GST; Location: Hall A, Section B/C

- ***Security, Stability, and Resiliency (SSR2) Review***
  - All day face-to-face working session
  - 27 October and 3 November @ 9:00 – 17:30 GST; Location: Capital Suite 1

- ***Security, Stability, and Resiliency (SSR2) Review***
  - Engagement session with community
  - 29 October @ 13:30 – 15:00 GST; Location: Capital Suite 14

Find the Latest Information on Specific Reviews

Find the information you need, when you need it. With so many specific review-related activities going on, it can be challenging to stay up-to-date with the latest news and opportunities to participate. To help you find the information you're looking for, we've given the ICANN review wiki pages a makeover! Check out the updated wiki pages and make sure to bookmark those that interest you. Find links on the following slide:

Explore the Reviews

REVIEW	ICANN.ORG LINK	WIKI LINK
Specific	https://www.icann.org/resources/reviews/specific-reviews plus the extension below	https://community.icann.org/display plus the extension below
ATRT	/atrt	/atrt
CCT	/cct	/CCT
RDS (WHOIS)	/whois	/WHO/RDS-WHOIS2+Review
SSR	/ssr	/SSR
Organizational	https://www.icann.org/resources/reviews/org plus the extension below	https://community.icann.org/display plus the extension below
At-Large	/alac	/AT
ASO	/aso	
ccNSO	/ccnso	/ACCCCNSO
GNSO	/gnso	
NomCom	/nomcom	/OR
RSSAC	/rssac	/ACCRSSAC
SSAC	/ssac	/ACCSSAC

Thank You and Questions