How It Works: ICANN Policy Development

Chantelle Doerksen and Ozan Şahin

ICANN75 19 September 2022



Agenda

Policy Development in the Supporting Organizations

Advice Development in the Advisory Committees

2

Current Policy
Development
Processes

Policy Development
Support Function

Question and Answer Session

6 Resources

Policy Development in ICANN Supporting Organizations

Agenda Item 1

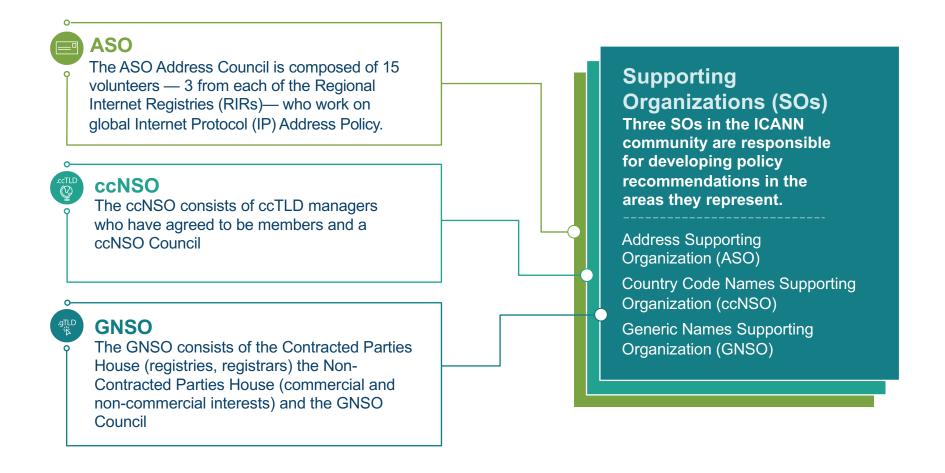


ICANN Ecosystem





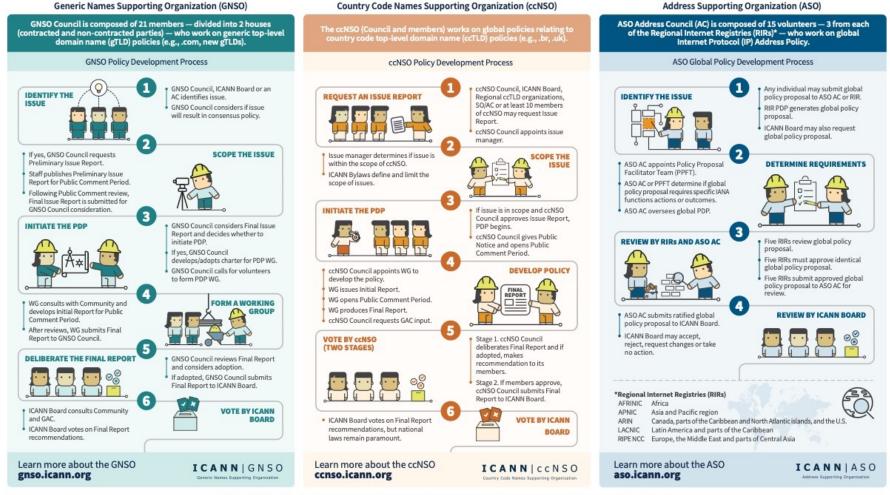
Supporting Organizations (SOs)





Multistakeholder Policy Development The ICANN Policy Development Support Team facilitates bottom-up, consensus-driven processes for global gTLD, ccTLD and IP address policies.





GAC Governmental Advisory Committee | PDP Policy Development Process | SO/AC Supporting Organizations/Advisory Committees | WG Working Group

(C) (1) Sa 2016 | Creative Commons Attribution - NonCommercial



Generic Names Supporting Organization (GNSO)

ICANN GNSO

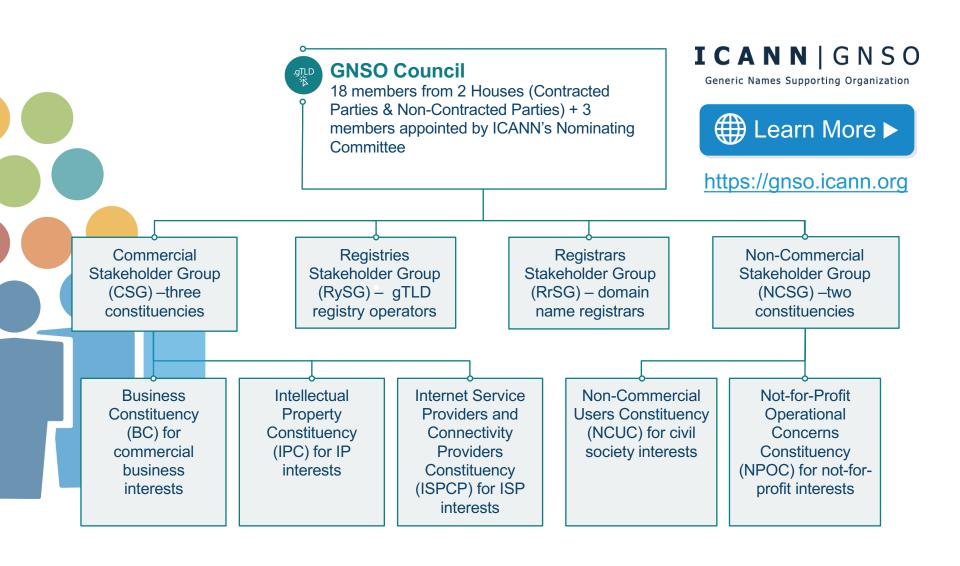
Generic Names Supporting Organization

The GNSO is responsible for developing and recommending to the Board substantive policies relating to generic top-level domains (e.g. .com, .org, .net, .biz, .shop, .movie, "dot-brands")

The GNSO Council manages the gTLD policy development process.

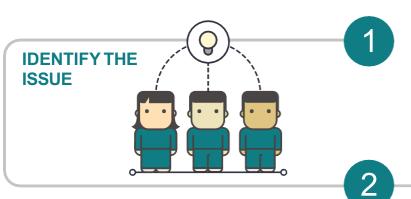


Generic Names Supporting Organization (GNSO)





GNSO Policy Development Process





GNSO Council considers if issue will result in consensus policy.



If yes, GNSO Council requests Preliminary Issue Report.

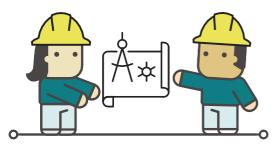
Staff publishes Preliminary Issue Report for Public Comment Period.

Following Public Comment review, Final IssueReport is submitted for GNSOCouncil consideration.





INITIATE THE PDP



GNSO Council considers Final Issue Report and decides whether to initiate PDP.

If yes, GNSO Council develops/adopts charter for PDPWG.

GNSO Council calls for volunteers to form PDP WG.





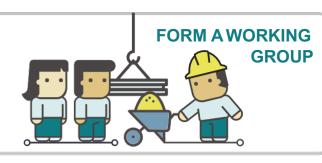
GNSO Policy Development Process



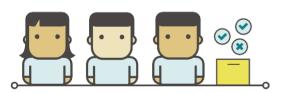
- WG consults with Community and develops Initial Report for Public Comment Period.
- After reviews, WG submits Final Report to GNSO Council.



5









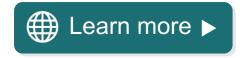
If adopted, GNSO Councilsubmits Final Report to ICANN Board.



- ICANN Board consults Community and GAC.
- ICANN Board votes on Final Report recommendations.



VOTE BYICANN BOARD



gnso.icann.org



Generic Names Supporting Organization

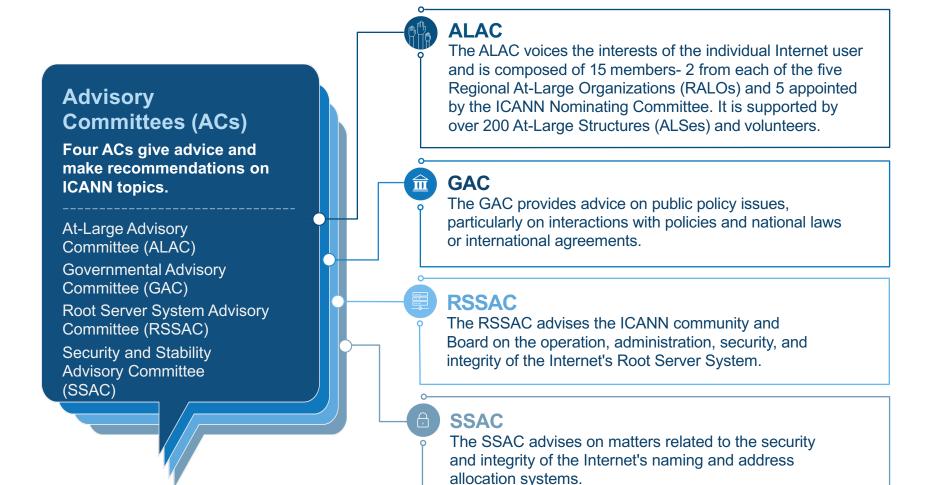


Advice Development ICANN Advisory Committees

Agenda Item 2



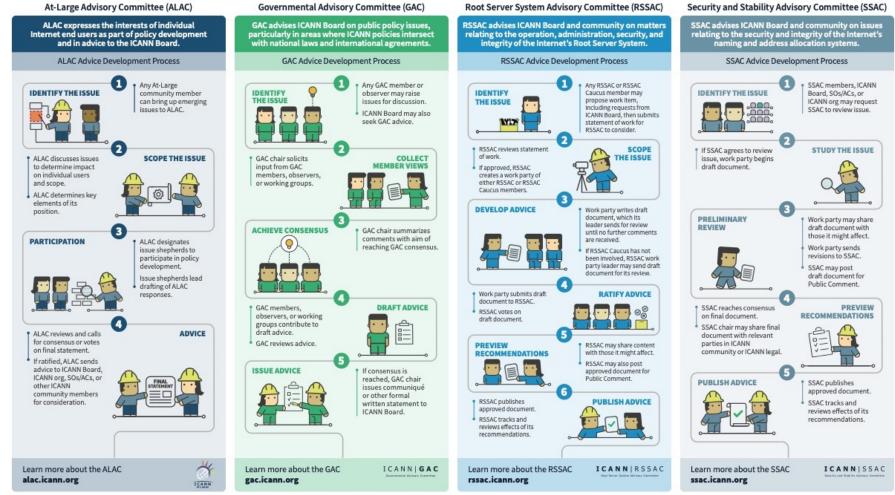
Advisory Committees (ACs)





Multistakeholder Advice Development The Policy Development Support Team facilitates bottom-up, consensus-driven processes for advice on global gTLD, ccTLD, and IP address policies.





SO/AC Supporting Organizations/Advisory Committees

Designed by ICANN Communications | April 2021 @ 1 2021 | Creative Commons Attribution - NonCommercial



ALAC Advice Development Process



ALAC expresses the interests of individual Internet end users as part of policy development and in advice to the ICANN Board.



ALAC Advice Development Process

IDENTIFY THE ISSUE

Any At-Large community member can bring up emerging issues to ALAC.

- ALAC discusses issues to determine impact on individual users and scope.
- ALAC determines key elements of its position.



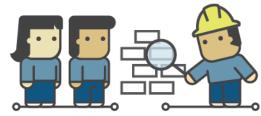
SCOPE THE ISSUE



ALAC Advice Development Process

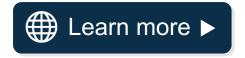
 ALAC designates issue shepherds to participate in policy development.
 Issue shepherds lead drafting of ALAC responses.





ADVICE

ALAC reviews and calls for consensus or votes on final statement.
 If ratified, ALAC sends advice to ICANN Board, ICANN org, SOs/ACs, or other ICANN community members for consideration.



alac.icann.org





Current Policy Development Processes

Agenda Item 3



Policy Development Processes (PDPs)

ccNSO PDP3

- •The ccPDP3-Review Mechanism working group has developed a proposed mechanism for the review of the specific decisions on the delegation, transfer, revocation, and retirement of the delegated top-level domains associated with the country codes.
- •Two working sessions at ICANN75: One to stress test the policy proposals (Sunday) and the second to evaluate the feedback (Tuesday) received during the ccNSO Policy Update session on 20 September.

ccNSO PDP4

- Develop a process for (de)selection of IDN ccTLD strings associated with country codes of countries, territories, and other areas of geopolitical interest listed in ISO-3166.
- At ICANN75, the working group is reviewing the decision relating to the selection of IDN ccTLD strings

GNSO EPDP IDNs

- •This EPDP has begun work which focuses on two main areas: 1) the definition of gTLDs and the management of variant labels; and 2) how the IDN Implementation Guidelines should be updated in the future.
- There are 48 questions under seven topics, and there is proactive coordination with ccPDP4.
- The working group completed first pass of substantive deliberations of more than half of the charter questions. The group is discussing what remaining charter questions should be included in Part 1 of the Initial Report.

GNSO EPDP TempSpec Phase 2 Recommendations

- •The GNSO Council formed the EPDP-TempSpec Phase 2 Small Team consisting of GNSO Council members and EPDP-TempSpec team members. It was tasked with analyzing the ODA and provide the GNSO Council with its feedback.
- •The small team submitted its preliminary report to the GNSO Council on 4 April 2022. The GNSO Council requested that the ICANN Board instruct ICANN org develop SSAD Light. ICANN org to present WHOIS Disclosure System design at ICANN75.

GNSO PDP Transfer Policy Review

- •Launched in two phases to review the current Consensus Policy governing transfers of domain names from one registrar to another.
- Phase 1A Initial Report Public Comment period closed in August 2022. ICANN75 session will focus on the comments received on the Phase 1A Initial Report.



How to Participate in Policy Development





an open community or working group



OBSERVE

a mailing list or calls



SUBMIT

a public comment



Public Comment Process

Public Comment Home ∨

Upcoming Proceedings

Initial Report on the Second CSC Effectiveness

Open Proceedings

Closed Proceedings

Other Public Consultations













Category: Reviews

Review

Requesters: Review Team

ICANN org Contact(s): bart.boswinkel@icann.org

What We Need Your Input On

The Team is seeking your input on its findings and recommendations, at sections 4 and 5 of the Initial Report. Section 4 contains the findings and recommendations pertaining to the effectiveness of the CSC in performing its tasks as listed in the CSC charter and whether the CSC has implemented the recommendations of the first CSC Effectiveness Review. In Section 5 of the report, the Team presents its findings and recommendations on seven additional topics which were identified and which could impact the future effectiveness of the CSC.

Proposals For Your Input

<u>Initial Report on the Second Customer Standing Committee Effectiveness Review (PDF, 685.21 KB)</u>

OPEN: 55 DAYS REMAINING

Timeline (UTC)



Open for Submissions 14 September 2022



08 November 2022 | 23:59



22 November 2022 | 23:59

Provide Your Input

Submissions to this Proceeding

There are no submissions available.

How to Provide Input



Policy Development Support Function

Agenda Item 4



Policy Development Support Function

Subject Matter Experts 9 Languages 37 and Afrikaans **Community Operations** Chinese FTE Management Dutch English French German Italian Spanish 6 Time Zones Turkish across 9 Countries



What We Do



Support Communities

Facilitation | Expertise | Research | Drafting | Advising



Manage Processes

Working Groups | Public Comment | Secretariat Services



Inform Stakeholders

Relationship Building | Communications Materials



Why We Do It



Bottom-up, consensus-driven policy development and advice development work is at the core of the ICANN mission.

Question and Answer Session

Agenda Item 5



Resources

Agenda Item 6



Resources

ASO Address Council Policy Update

https://aso.icann.org/documents/presentations

ccNSO agendas

https://community.icann.org/x/A4JJD

GNSO Policy Briefing

https://go.icann.org/gnsobriefing

At-Large agendas

https://community.icann.org/x/AwQVD

GAC agendas

https://gac.icann.org/agendas

RSSAC publications

https://www.icann.org/groups/rssac/documents

SSAC publications

https://www.icann.org/groups/ssac/documents

Policy Outlook Report

https://go.icann.org/outlook75

Prep Week materials

https://75.schedule.icann.org/prep-week

ICANN Learn

https://learn.icann.org/







One World, One Internet

Visit us at icann.org



@icann



facebook.com/icannorg



youtube.com/icannnews



flickr.com/icann



linkedin/company/icann



slideshare/icannpresentations



soundcloud/icann

APPENDIX



Country Code Names Supporting Organization (ccNSO)

ICANN | ccNSO

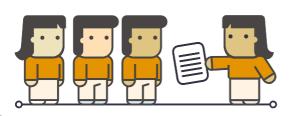
Country Code Names Supporting Organization

The ccNSO (Council and members) works on global policies relating to country code top-level domain name (ccTLD) policies (e.g., .br, .uk).



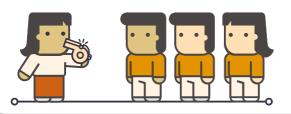
ccNSO Policy Development Process

REQUEST AN ISSUE REPORT



- Issue manager determines if issue is within the scope of ccNSO.
- ICANN Bylaws define and limit the scope of issues.

INITIATE THE PDP



1)

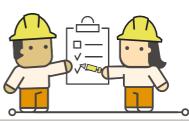
ccNSO Council, ICANNBoard, Regional ccTLD organizations, SO/AC or at least 10 members of ccNSO may request Issue Report.

ccNSO Council appoints issue manager.



2

SCOPE THE ISSUE



3

- If issue is in scope and ccNSO Council approves Issue Report, PDPbegins.
- ccNSO Council gives Public Notice and opens Public Comment Period.





ccNSO Policy Development Process



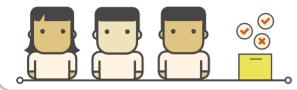
WG opens Public CommentPeriod.

WG produces FinalReport.

ccNSO Council requests GACinput.



VOTE BY ccNSO (TWO STAGES)

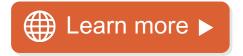


 ICANN Board votes on Final Report recommendations, but national laws remain paramount. Stage 1. ccNSO Council deliberates Final Report and if adopted, makes recommendation to its members.

Stage 2. If members approve, ccNSO Council submits Final Report to ICANN Board.



VOTE BY ICANN BOARD



ccnso.icann.org

5

6

ICANN | ccNSO

Country Code Names Supporting Organization



Address Supporting Organization (ASO)

ICANN | ASO

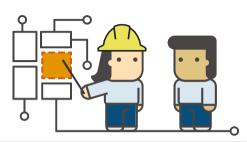
Address Supporting Organization

ASO Address Council (AC) is composed of 15 volunteers – 3 from each of the Regional Internet Registries (RIRs)* – who work on global Internet Protocol (IP) Address Policy.



ASO Policy Development Process

IDENTIFY THE ISSUE



 Any individual may submit global policy proposal to ASO AC or RIR.

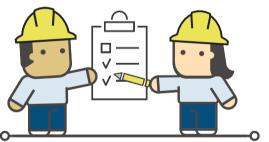
RIR PDP generates global policy proposal. ICANN Board may also request global policy proposal.

ASO AC appoints Policy Proposal Facilitator Team (PPFT).

ASO AC or PPFT determine if global policy proposal requires specific IANA functions actions or outcomes.

ASO AC oversees global PDP.

DETERMINE REQUIREMENTS



REVIEW BY RIRS ANDASO AC



Five RIRs review global policyproposal.

Five RIRs must approve identical global policy proposal.

Five RIRs submit approved global policy proposal to ASO AC for review.



ASO Policy Development Process

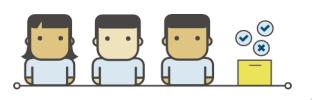


ASO AC submits ratified global policy proposal to ICANN Board.

ICANN Board may accept, reject, request changes or take no action.



REVIEW BY ICANN BOARD



*Regional Internet Registries (RIRs)

AFRINIC Africa

APNIC Asia and Pacific region

ARIN Canada, parts of the Caribbean and North Atlantic islands, and the U.S.

LACNIC Latin America and parts of the Caribbean

RIPE NCC Europe, the Middle East and parts of Central Asia





aso.icann.org



