

# Finance update Singapore Meeting update

March 26<sup>th</sup> 2014



# Agenda

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Introduction

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Update on FY15 planning process & Calendar

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Future State of Operating Plan & Budget Planning

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FY15 Operating Plan and Budget content  
description

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Update on Financial reporting

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# FY15 Operating Plan & Budget Process

## FY15 FRAMEWORK

**16 DEC - 24 FEB**

ICANN Staff develops a set of operational objectives to be achieved in FY15.

**24 FEB - 6 MAR**

Ad hoc Advisory Working Group\*

**7 MAR - 21 MAR**

ICANN Staff revises the Framework based on recommendations from the Working Group (as needed)

**23 MAR - 27 MAR**

ICANN communicates the Framework to the Community at ICANN 49 in Singapore

## FY15 OPERATING PLAN & BUDGET

**2 JAN - 15 APR**

ICANN Staff drafts an operating plan & budget based on the FY15 Framework draft

**16 APR - 17 APR**

BFC reviews draft Operating Plan & Budget

**24 APR - 4 JUN**

Public Comment on draft Operating Plan & Budget (Community call 22-23 APR)

**21 JUN**

BFC reviews public comments and changes, and recommend approval to the Board

**22 JUN - 26 JUN**

ICANN Board adopts FY15 Operating Plan & Budget

DEC  
2013

JAN

FEB

MAR

APR

MAY

JUN

2014



ICANN

# FY15 Operating Plan & Budget Process (Continued)

## FY15 COMMUNITY SPECIAL REQUESTS BUDGET

**6 JAN - 7 MAR**

Community submits funding requests for specific activities not included in the recurring ICANN budget. Staff reviews.

**23 MAR - 27 MAR**

ICANN Staff reviews recommendations with Community at ICANN 49 in Singapore and sends draft recommendations to BFC.

**16 APR - 17 APR**

BFC reviews recommendations made by ICANN Review Team (Staff)

**26 APR**

BFC recommends Special requests for Board approval

**Dates TBD**

ICANN Board adopts Community Special Requests budget

DEC

2013

JAN

FEB

MAR

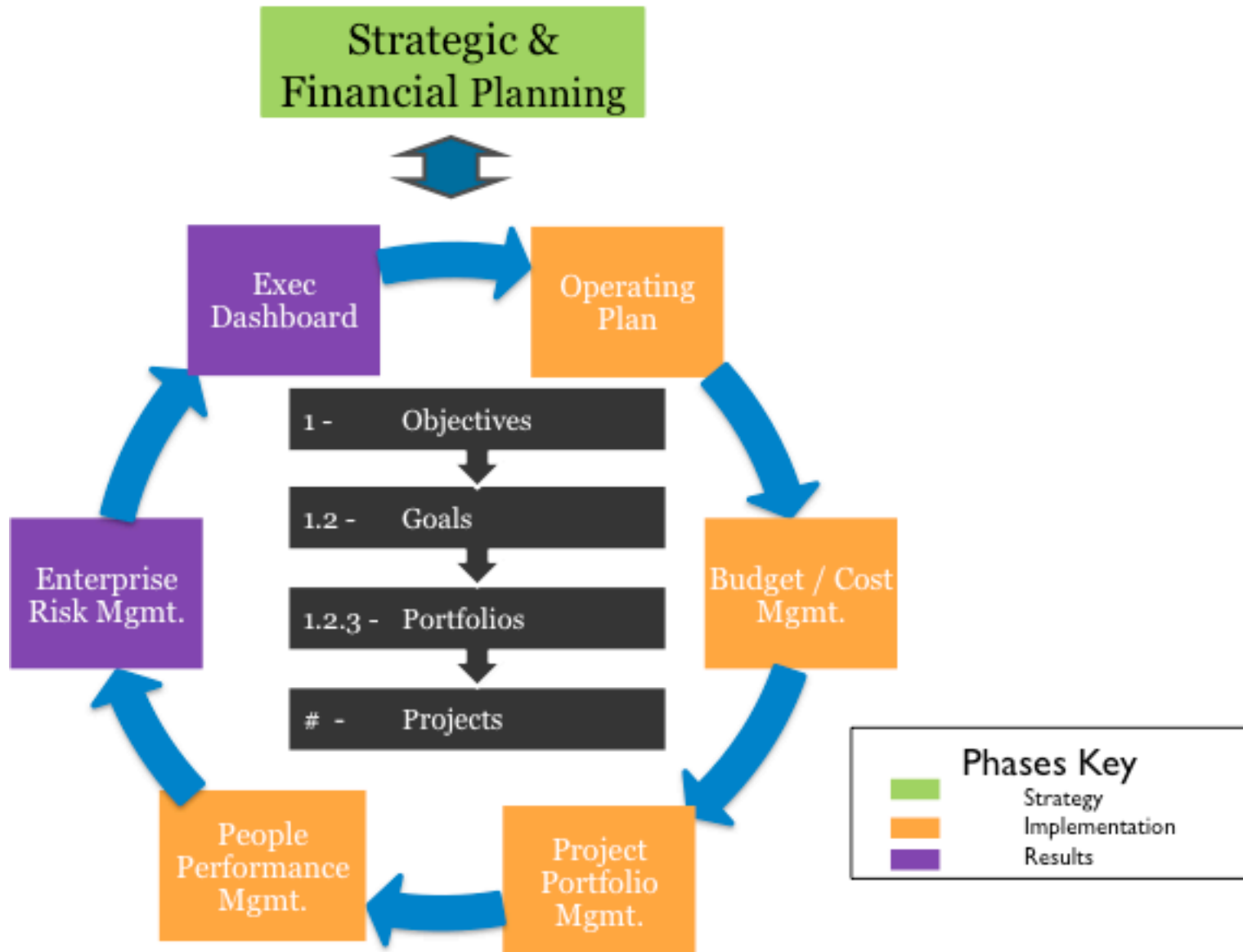
APR

MAY

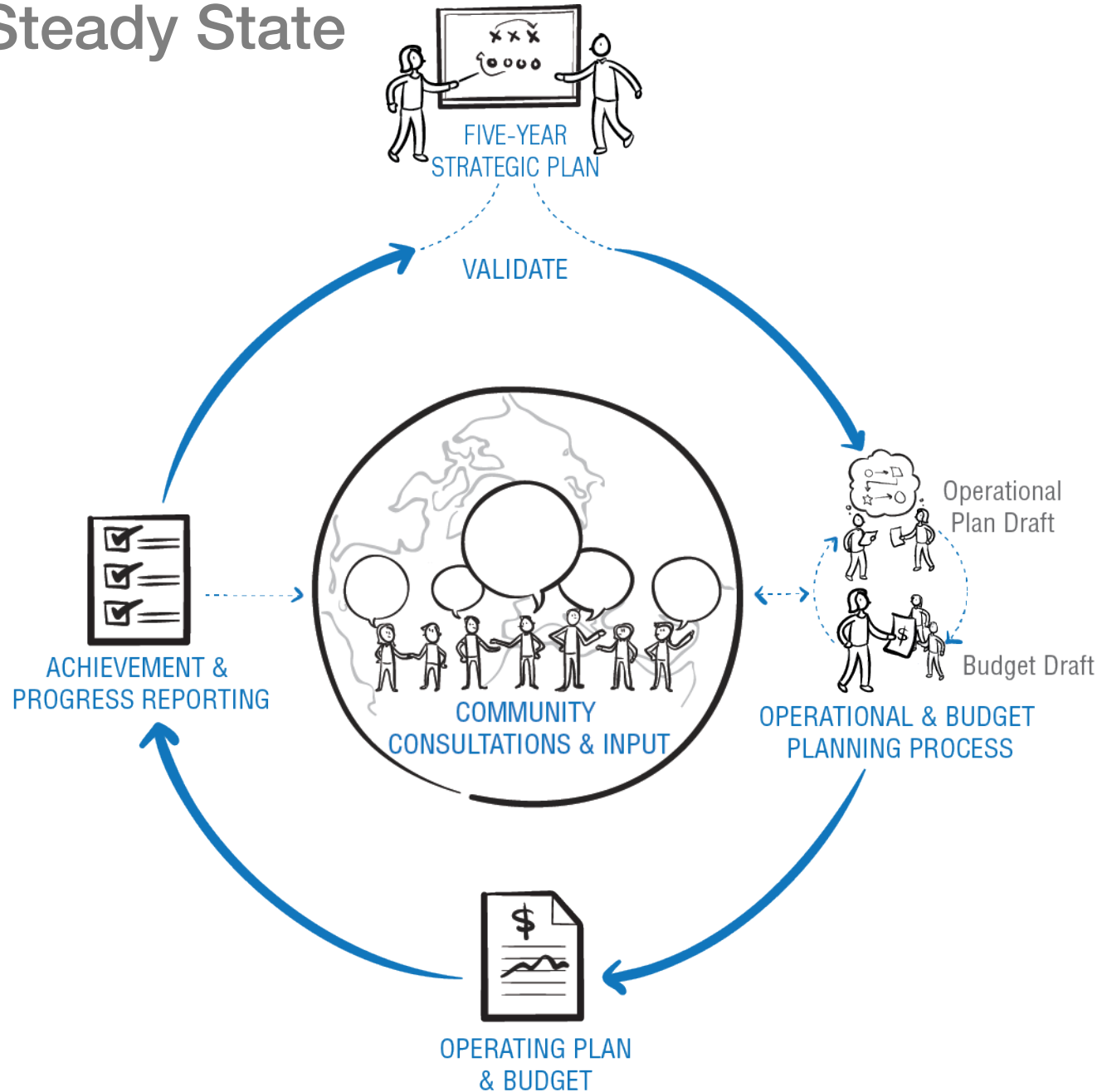
JUN

2014

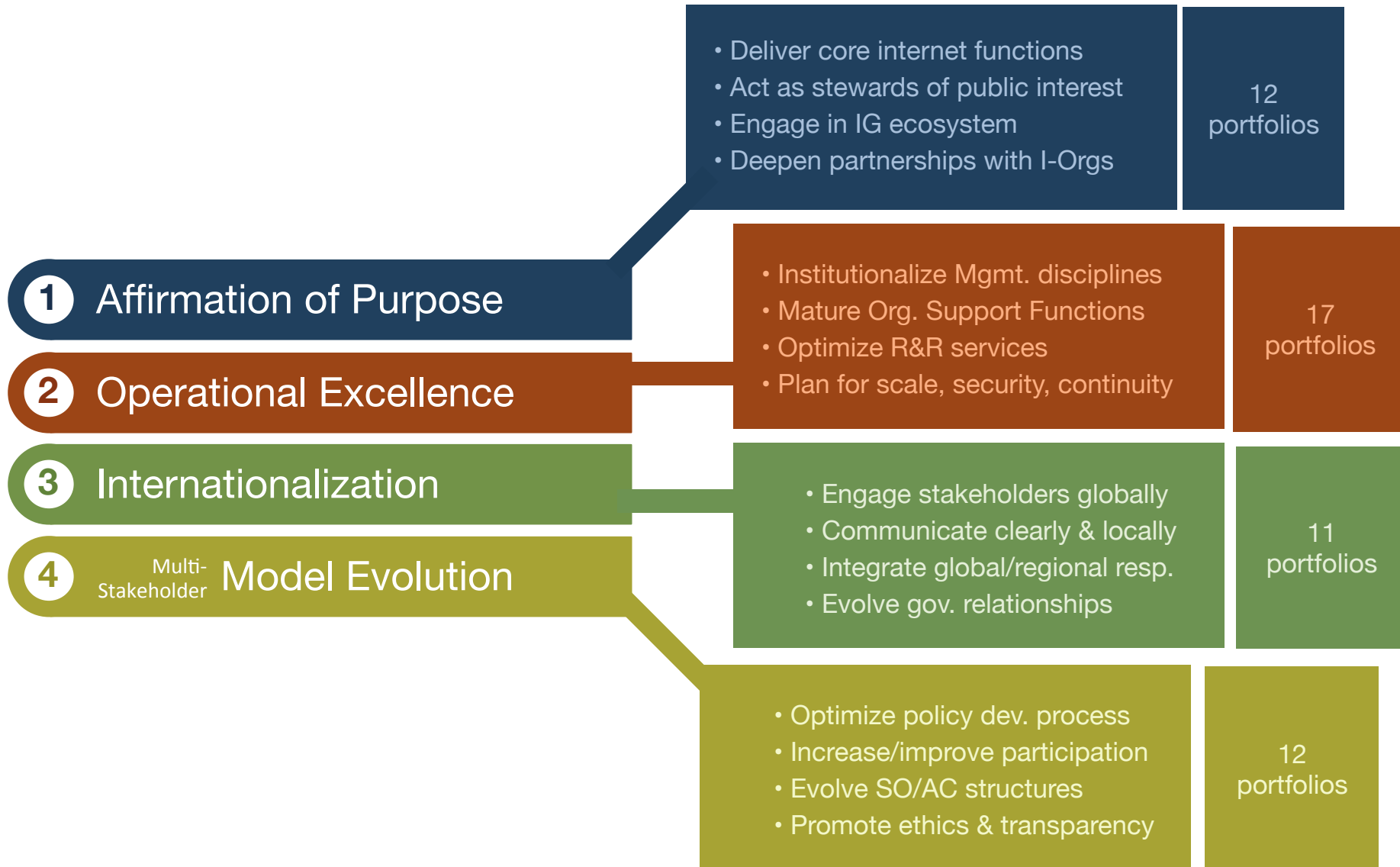
# Management Systems Linkage



# Future Steady State



# Strategic Objectives and Goals



# Upcoming FY15 Operating plan and budget content description

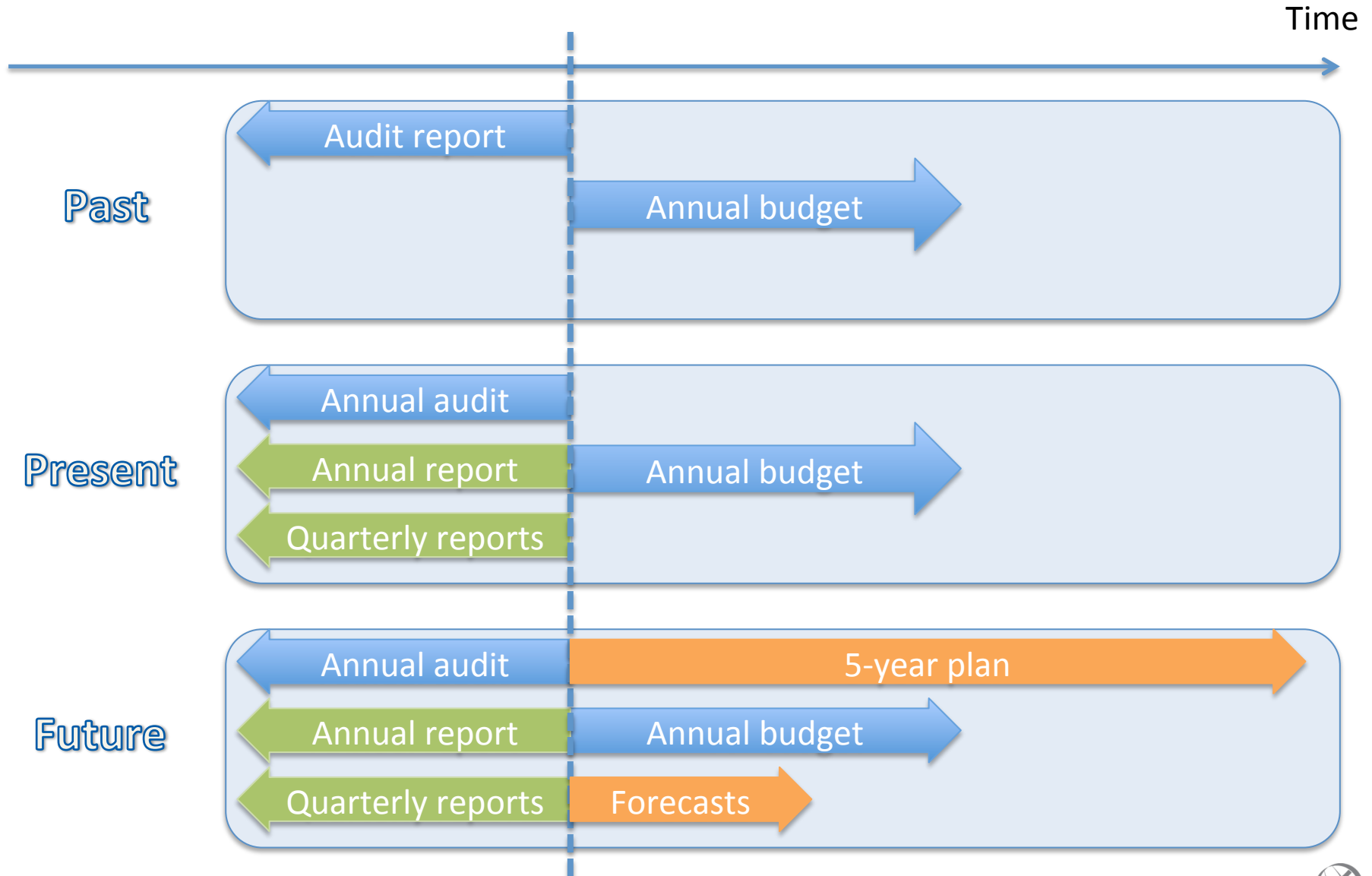
- + Operating Plan: broken down by 52 portfolios with description of key success factor and intended steps to complete within FY15.
- + Statement of Operations (aka “P&L”)
  - + with a breakdown of operating expenses by nature (personnel, travel,...) and by function (Executive, GSE, Operations,...)
  - + FY15 Budget compared to FY14 forecast.
- + Breakdown of FY15 total operating expenses by objective/goal/portfolio/project.



# FY15 process: Next Steps

- + Draft FY15 Operating Plan and Budget published for public comments – Target date 24 April 2014
- + Open kickoff calls immediately following the publication for public comments (week of April 28).
- + Review public comments, formulate response, submit to BFC review and approval (June 5 to June 20).

# Update on financial reporting 1/3



# Update on financial reporting 2/3: ICANN Website

Translations at ICANN

العربية

简体中文

Français

Русский

Español



GET STARTED

NEWS & MEDIA

POLICY

PUBLIC COMMENT

RESOURCES

COMMUNITY

## RESOURCES

### ▶ ABOUT ICANN

### ▼ GOVERNANCE AND REPORTS

#### ▶ Governance

#### ▶ Agreements

Accountability & Transparency

#### ▶ AOC Review

Annual Report

### ▼ Financials

Current

General

Historical

Investment Policy - ICANN

Investment Policy - New gTLD

## Current Year (FY14) Financial Information

This section provides current year financial information which includes:

- [Adopted Operating Plan and Budget for FY14](#) [PDF, 1.05 MB]
- FY14 SO/AC Budget Requests Granted [[PDF](#), 238 KB] [[XLSX](#), 38 KB]
- [ICANN Remuneration as of 1 July 2013](#) [PDF, 132 KB]
- Financial Analysis
  - Quarterly Reports
    - [ICANN Quarterly Report Q1-FY14](#) [PDF, 813 KB]
    - [ICANN Quarterly Report Q2-FY14](#) [PDF, 738 KB]

# Update on financial reporting 3/3: Quarterly report table of content

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# Appendix



OBJ	GOAL	PORTFOLIO
Affirmation of Purpose	<b>1.1 Deliver core internet functions</b>  Key Success Factor for this Goal: <ul style="list-style-type: none"><li>Demonstrate that ICANN is performing core internet functions in a dependable, transparent and accountable manner</li></ul>	1. WHOIS core function/service & improvements
		2. Support identifier technology innovation
		3. IANA Operations
		4. Internationalized Domain Names (IDNs)
		5. Security Stability & Resiliency of Identifier System
		6. Root System
		7. DNS OPS / SSR



OBJ	GOAL	PORTFOLIO
Affirmation of Purpose	<p><b>1.2 Act as steward of the public interest</b></p> <p>Key Success Factors for this Goal:</p> <ul style="list-style-type: none"><li>• Clarify and promote an understanding of the Public Interest</li><li>• Develop and assess "Guidance" for ICANN's stewardship</li></ul>	<p>1. Affirmation of Commitment Reviews</p> <p>2. Define, build a guidance framework &amp; identify programs to meet public interest</p>



OBJ	GOAL	PORTFOLIO
Affirmation of Purpose	<h3>1.3 Engage in the internet governance ecosystem</h3> <p>Key Success Factors for this Goal:</p> <ul style="list-style-type: none"> <li>• Increase the number of organizations with public mutual recognition of roles and responsibilities with ICANN (in the IG ecosystem)</li> <li>• Identify, track and communicate to efforts under this goal relevant parts of the strategy panels, including the work of the 4th Panel</li> <li>• Contribute to ICANN's efforts on the evolution of the Internet governance ecosystem, and contribute to relevant areas of IG undertaken by other departments</li> <li>• Identify and operationalize key areas relevant to ICANN's evolution in the Internet governance ecosystem, including the AoC</li> </ul>	<p>1. ICANN participation in Internet Governance meetings processes dialogues and other initiatives</p> <p>2. Support Internet Gov. Ecosystem advancement</p>





OBJ	GOAL	PORTFOLIO
<b>Affirmation of Purpose</b>	<p><b>1.4 Deepen partnerships with internet organizations</b></p> <p>Key Success Factors for this Goal:</p> <ul style="list-style-type: none"><li>• Establish clarity on roles and responsibilities of Internet Organizations with in framework</li><li>• Establish (a) framework(s) for partnership</li></ul>	<p>1. Build stronger partnerships with Internet organizations and initiatives</p>



OBJ	GOAL	PORTFOLIO
Operational Excellence	<b>2.1 Institutionalize Management Disciplines</b>  Key Success Factors for this Goal: <ul style="list-style-type: none"><li>• Management understands and utilizes standardized systems and processes</li><li>• Put in place the mechanisms to enable management to make timely and effective decisions</li><li>• Conduct timely implementation/tracking of AoC recommendations, as directed by the Board</li></ul>	1. Management Systems Mapping
		2. Strategic Initiatives
		3. AoC Reviews Implementation
		4. Business Excellence via EFQM Standards



OBJ	GOAL	PORTFOLIO
Operational Excellence	<b>2.2 Mature organizational support functions</b>  Key Success Factors for this Goal: <ul style="list-style-type: none"><li>Standardize systems and processes to work across the organization in an integrated fashion</li><li>Quality services are delivered timely and effectively</li></ul>	1. IT Infrastructure
		2. DNS internal infrastructure
		3. Contractual Compliance Functions
		4. Meetings ops
		5. Legal internal support and Support ICANN Board
		6. Support Function Operations



OBJ	GOAL	PORTFOLIO
Operational Excellence	<b>2.3 Optimize gTLD Services</b>  <b>Key Success Factor for this Goal:</b> <ul style="list-style-type: none"><li>Define and implement the right services that find acceptance with the targeted stakeholders</li></ul>	1. GDD Services
		2. GDD Customer Service
		3. GDD Operations
		4. New gTLD Program
		5. Outreach and Relationship Management with Existing and New Registry, Registrar Community



OBJ	GOAL	PORTFOLIO
Operational Excellence	<b>2.4 Plan for Scale, Security, Continuity</b>  Key Success Factor for this Goal: <ul style="list-style-type: none"><li>Develop, communicate and maintain continuity plans to mitigate risk and ensure organizational scalability</li></ul>	1. Enterprise Risk Management (ERM)
		4. Business Continuity



OBJ	GOAL	PORTFOLIO
Internationalization	<b>3.1 Engage Stakeholders Globally</b>  Key Success Factor for this Goal: <ul style="list-style-type: none"><li>Expand and diversify participation of stakeholders from around the world to strengthen ICANN</li></ul>	1. Engage Stakeholders Regionally
		2. Digital Engagement
		3. Global Stakeholder Engagement Planning
		4. Language Services



OBJ	GOAL	PORTFOLIO
Internationalization	<h3>3.2 Communicate Effectively with Stakeholders</h3> <p>Key Success Factor for this Goal:</p> <ul style="list-style-type: none"><li>• Grow and diversify communications activity to demonstrate ICANN's role in distinct geographies and for target audiences</li></ul>	1. Raise awareness of ICANN worldwide
		2. Raising Awareness of IANA Functions, Performance & Reporting



OBJ	GOAL	PORTFOLIO
Internationalization	<b>3.3 Integrate Global and Regional Responsibilities</b>  Key Success Factors for this Goal: <ul style="list-style-type: none"><li>• Establish rapid and dynamic two-way information flow between regional and global functions</li><li>• Ensure appropriate resource allocation to regional offices</li><li>• Optimize alignment and integration of matrix responsibilities</li></ul>	1. Global matrix development
		2. Hub and engagement offices (strategy and planning)
		3. Internal Communications





OBJ	GOAL	PORTFOLIO
Internationalization	<h3>3.4 Evolve Government Relationships</h3> <p>Key Success Factor for this Goal:</p> <ul style="list-style-type: none"><li>• Increase and grow number of relevant (targeted/focused) governments, IGOs and regional organizations that recognize and accept the role of ICANN</li></ul>	<div>1. Engagement with Governments IGOs</div> <div>2. Support GAC Engagement</div>



OBJ	GOAL	PORTFOLIO
Multi-Stakeholder Model Evolution	<h2 data-bbox="237 401 1000 501">4.1 Optimize Policy Development Process</h2> <p data-bbox="237 582 902 625">Key Success Factors for this Goal:</p> <ul data-bbox="237 644 1000 1051" style="list-style-type: none"><li data-bbox="237 644 1000 815">• Enable, strengthen and promote participation of all stakeholders interested in the policy development, policy related and advisory processes</li><li data-bbox="237 829 1000 951">• Improve predictability of policy development, and policy related advisory processes</li><li data-bbox="237 965 1000 1051">• Improve implementability of policies and advice</li></ul>	<p data-bbox="1093 565 1663 636">1. Enable Stakeholder Collaboration, Communication &amp; Engagement</p> <p data-bbox="1093 1022 1798 1093">2. Support policy development, policy related and advisory activities</p>



OBJ	GOAL	PORTFOLIO
Multi-Stakeholder Model Evolution	<b>4.2 Increase &amp; Improve Global Participation</b>  Key Success Factor for this Goal: <ul style="list-style-type: none"><li>• Increase numbers, diversity and pathways for engagement by participants interested in ICANN activities and events</li></ul>	1. Advanced Media Tools for Outreach and Participation (labs, new icann.org, etc.)
		2. Deploy Collaboration Platform (platform for sharing)
		3. Deploy Community Participation & Engagement Programs & Services



OBJ	GOAL	PORTFOLIO
Multi-Stakeholder Model Evolution	<b>4.3 Evolve SO/AC Structures</b>  Key Success Factors for this Goal: <ul style="list-style-type: none"><li>Establish a framework for evolving SO/AC structure to increase inclusion, efficiencies and effectiveness<ul style="list-style-type: none"><li>a) Establish mechanism for participation and reputation while improving ease of engagement</li><li>b) Engagement to ensure legitimizing multistakeholder model</li></ul></li><li>Improve mechanism for participation, representation and ease of engagement to ensure legitimizing the multistakeholder model</li></ul>	1. Evolving Multistakeholder Model
		2. Advancing MSM Innovation
		3. Organizational Reviews



OBJ	GOAL	PORTFOLIO
Multi-Stakeholder Model Evolution	<b>4.4 Promote Ethics and Transparency</b>  Key Success Factors for this Goal: <ul style="list-style-type: none"> <li>• Review, improve and promote existing accountability and transparency mechanisms</li> <li>• Ensure observance of a clearly defined ethical framework</li> </ul>	1. Conflicts of Interest & Organizational Ethics
		2. Conflicts of Interest Management
		3. Board Accountability and Transparency
		4. Bylaws-mandated Accountability and Transparency Mechanisms

# Thank you!

